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Asynchronous evolution of the Indian and East Asian Summer Monsoon indicated by Holocene moisture patterns in monsoonal central Asia

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article info abstract

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The numerical meta-analysis of 92 proxy records (72 sites) of moisture and/or temperature change confirms earlier findings that the dominant trends of climatic evolution in monsoonal central Asia since the Last Glacial roughly parallel changes in Northern Hemisphere summer insolation, i.e. the period following the Last Glacial Maximum was characterized by dry and cold conditions until 15 cal. kyr BP, followed by a warm, wet period coincident with the Bølling/Allerød warm period and terminated by a cold, dry reversal during the Younger Dryas period. After an abrupt increase at the start of the Holocene, warm and wet conditions prevailed until ca. 4 cal. kyr BP when moisture levels and temperatures started to decrease.

Ordination of moisture records reveals strong spatial heterogeneity in moisture evolution during the last 10 cal. kyr. The Indian Summer Monsoon (ISM) areas (northern India, Tibetan Plateau and southwest China) exhibit maximum wet conditions during the early Holocene, while many records from the area of the East Asian Summer Monsoon indicate relatively dry conditions, especially in north-central China where the maximum moisture levels occurred during the mid-Holocene. We assign such phenomena to strengthened Hadley Circulation centered over the Tibetan Plateau during the early Holocene which resulted in subsidence in the East Asian monsoonal regions leading to relatively dry conditions. Our observations of the asynchronous nature of the two Asian monsoon subsystems on millennial time scales have also been observed on annual time-scales as well as implied through the spatial analysis of vertical air motion patterns after strong ascending airflows over the Tibetan Plateau area that were calculated from NCEP/NCAR reanalysis data for the last 30 years. Analogous with the early Holocene, the enhancement of the ISM in a 'future warming world' will result in an increase in the asynchronous nature of the monsoon subsystems; this trend is already observed in the meteorological data from the last 15 years.

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1. Introduction

The monsoonal regions of central Asia are assumed to be particularly vulnerable to past and present climatic change as they represent the transition zone between three circulation systems; the Indian Summer Monsoon (ISM), the East Asian Summer Monsoon (EASM) and the Westerlies (Fig. 1) [\(Porter and An, 1995; B. Wang,](#page-16-0) [et al., 2001; Hong, et al., 2005; Wang, 2006; Clift and Plumb, 2008\)](#page-16-0).

In recent years, several studies have attempted to reconstruct late-Quaternary climatic histories by reviewing published proxy records in a descriptive manner; however, these have displayed inconsistent trends and contradictory explanations. [An et al. \(2000\)](#page-15-0) first reported an asynchronous Holocene Optimum in different regions of China, which appeared ca. 9000 years ago in north and northeast China, and as late as 3000 years ago in south and southeast China. In contrast, [He et al. \(2004\)](#page-15-0) found a west-east trend. By applying a consistent methodology to infer effective moisture from proxy records, [Herzschuh \(2006\)](#page-15-0) found only slight differences in the temporal moisture patterns between the ISM, EASM and Westerlies. The 'out-of-phase' relationship between arid central Asia and eastern Asia observed by [Chen et al. \(2008\)](#page-15-0) was explained by differences in the moisture evolution between areas influenced by the mid-latitude Westerlies and areas that are dominated by the Asian Summer Monsoon. [Maher \(2008\)](#page-16-0) argued that temporal differences between the Indian and the East Asian monsoonal moisture maximum may origin from differences in the seasonality of both monsoon systems.

Furthermore differing opinions exist concerning the temporal relationship between insolation variations and monsoonal strength. Although most authors agree that the monsoonal maximum lags behind the insolation maximum, they have inferred different lag times (3000 yrs, [Overpeck et al., 1996;](#page-16-0) 1500 yrs, [Fleitmann et al.,](#page-15-0) [2007\)](#page-15-0); and two contrasting ideas exist concerning a gradual [\(Over](#page-16-0)[peck et al., 1996; Y.J. Wang et al., 2005; Fleitmann et al., 2007\)](#page-16-0) versus an abrupt [\(Morrill et al., 2003\)](#page-16-0) monsoon retreat pattern.

The external and internal forcing mechanisms of the Asian Summer Monsoon are key to understanding regional moisture patterns, however are still under debate. It is widely accepted that monsoonal changes on millennial or longer time-scales are predominantly forced by orbitally-induced changes in solar insolation and subsequent shifts in the position of the ITCZ ([Sirocko et al., 1993;](#page-17-0) [Overpeck et al., 1996; Y.J. Wang et al., 2001, 2005; Fleitmann et al.,](#page-17-0) [2003; P.X. Wang et al., 2005; Fleitmann et al., 2007\)](#page-17-0). Smaller monsoonal oscillations that are observed on decadal to annual timescales were assumed to be affected by the solar activity through the "transfer effect" of the northern Atlantic Ocean [\(Y.J. Wang et al.,](#page-17-0) [2005\)](#page-17-0). Hypotheses concerning internal driving mechanisms focus on the effects of the thermal contrast between the Eurasian continent and the surrounding oceans, exerted by changes in oceanic thermohaline circulation [\(Webster et al., 1998](#page-17-0)), sea surface temperatures (SST) ([Bush, 2001,2005](#page-15-0)), teleconnections in atmospheric circulation [\(Y.J. Wang, et al., 2005\)](#page-17-0) and/or high-latitude ice volume [\(Overpeck et](#page-16-0) [al., 1996](#page-16-0)). In addition, results from general climate models demonstrate that the relative position of the westerly jet to the Tibetan Plateau probably also affected monsoon evolution ([Sato, 2009\)](#page-16-0).

Using meteorological data available for the last 50 years, various monsoon indices were constructed to trace recent interannual variability in the monsoonal circulation and its subsystems (the ISM and the EASM) [\(Webster and Yang, 1992; Goswami et al., 1999;](#page-17-0) [B. Wang et al., 2001; Huang, 2004\)](#page-17-0). Different interannual variations between the two subsystems are related to different convectional

Fig. 1. Overview map showing the study area and atmospheric circulation systems (ISM, Indian Summer Monsoon; EASM, East Asian Summer Monsoon; Westerlies). Arrows show 60-year mean summer (June, July, August) wind fields based on NCEP/NCAR reanalysis data (Level 1000 hPa) from Jan. 1948 to Dec. 2008.

Fig. 2. (a) Spatial distribution of palaeoclimatic records used in this study (numbers refer to the records in [Table 1\)](#page-3-0) and the extensions of three sub-regions. For sites with both pollen and non-pollen based records, the numbers of pollen ones are shown in the brackets. (Line L1–L2, present monsoon boundary; Line L3–L4, 105°E longitude) (b) Number of published records during the last 20 years.

heat sources assumed to originate from either changing summer SSTs [\(Goswami et al., 1999; Zveryaev and Aleksandrova, 2004\)](#page-15-0) or El Nino-Southern Oscillation (ENSO) [\(Chang et al., 2000; B. Wang et al., 2001](#page-15-0)).

Numerous new records and further information based on previous work have been published in the last 3 years (Fig. 2b), necessitating the development of a new synthetical review. Herewith, we provide a statistical meta-analysis of moisture patterns in monsoonal central Asia, as inferred from proxy records covering the last 18,000 years compared with spatial information concerning recent monsoonal development derived from NCEP/NCAR reanalysis data (National Centre for Environmental Prediction/National Centre for Atmospheric Research). We aim to: (1) synthesize the spatial and temporal patterns of moisture evolution in the monsoonal regions of central Asia, extending the data set by [Herzschuh \(2006\)](#page-15-0); (2) investigate their relationships with extra-regional and global climate trends to identify potential forcing mechanisms, and (3) investigate the suppressant effect of the ISM on the EASM on both millennial and annual time-scales. From the comparison of vegetation and nonvegetation records, we (4) attempt to identify the point at which humans, rather than climate, became the dominant force driving regional vegetation composition.

2. Study area

The monsoonal regions of central Asia covered in this study (70°E– 130°E, 20°N–50°N) encompass most parts of China, Mongolia, northern India and Kyrgyzstan ([Fig. 1\)](#page-1-0). Due to the large latitudinal and altitudinal extents, various climatic (from tropical to subpolar, and high-cold alpine zones) and vegetation zones (from tropical rain forest to boreal evergreen forest, together with desert and alpine tundra) are represented within the study area. Located towards the eastern margin of the Eurasian continent, the climates of northern India, southwest China and eastern China are influenced by monsoon activity, having warm, humid summers coupled with cold, dry winters. Towards the west, there is a strengthening of continental conditions while the semi-arid and arid areas of northwestern China are dominated by westerly circulation.

3. Materials and methods

3.1. Selection of palaeoclimatic records

A large number of palaeoclimatic records from monsoonal central Asia have been published during the last two decades. However there is a large disparity in sample resolution and age control. To ensure sufficient data quality, all records included in our analyses were required to meet the following criteria:

- 1) Analysed proxies should be indicative of moisture and/or temperature change.
- 2) Records should provide continuous information for at least 4000 years since 18 cal. kyr BP.
- 3) The sequences should be constructed using a reliable chronology, with at least four dating control points during the Holocene.
- 4) Sequences should have a sufficient resolution $\left(< 200 \right)$ years for the Holocene period).

Due to the low information density from Mongolia, Inner Mongolia and northwest China, ten records with less dating control points or lower resolution were also included in our data set. 92 records (40 pollen records and 52 non-pollen records) from 72 sites were selected for this study (see detailed information and locations in [Table 1](#page-3-0) and Fig. 2a). Non-pollen records are based on various proxies including geophysical (lithological description, color index, grain size, magnetic susceptibility etc.), geochemical (organic and inorganic carbon content, stable carbon and oxygen isotope ratios, elemental composition) and biological data (diatoms, ostracods and chironomidae). It is still debated whether isotopic records from ice cores reflect temperature change or local moisture evolution. As such, we didn't use these records for moisture reconstruction (e.g. Dunde, [Thompson](#page-17-0) [et al., 1990](#page-17-0); Guliya, [Thompson et al., 1997](#page-17-0)). Due to the differing nature of various climate archives, it is impossible to consistently translate each individual proxy into semi-quantitative climate signals at all sites. Therefore, after initially crosschecking the plausibility of their interpretations, we largely agreed with the original conclusions reached by the authors when classifying proxy-based climatic

Palaeoclimatic records from Central Asia arranged from south to north (abbreviations see below).

a Dating reliability, A: Average frequency of dating (1-every 1500 yrs, 2-every 3000 yrs, 3-every 5000 yrs, 4-less often); B: Maximum interval of dating (1-2000 yrs, 2-4000 yrs, 2-6000 yrs, 4-more); C: Old carbon reservoir influence possible, 2-reservoir effect checked within 500 yrs, 3-reservoir effect checked, 4-reservoir effect not checked); D: Continuality of the record (1-nor or only slight changes in the sediments, no interruptions, 2interruptions, 3-interruptions possible, covered by dating, 4-no attempt made to interruptions); E: Presentation of chronology (1-presentation of detailed dating results, with extensive discussion, 2-presentation of origin discussion, 3–presentation of original dating results, 4–no presentation of original chronology).

^b Methods: Underlined methods are considered by the chronological resolution; B–biomarkers; C–carbonate content; Ch–Chironomidae; Co–color; C/N–carbon/nitrogen ratios; D–diatoms; dC–carbon isotope; dO–oxygen isotope; E– elements; G–grain size; lam.–laminations; M–minerals; Mo–mollusks; MS–magnetic susceptibility; O–organic content; Os–Ostracod; P–pollen; S–sediment description; X–X ray diffraction.

inferences from the records. Only ten records span the complete period between 18 and 0 cal. kyr BP. About 90% (84 sites) of the records cover at least 7000 14C years. With the exception of 11 records from stalagmites, loess or ice cores that were dated by means of U/Th, OSL or TL, all sequences were dated using radiocarbon methods, mostly AMS¹⁴C dating. Most authors provided the original ¹⁴C data, allowing us the opportunity to consistently re-establish age–depth relationships for all records. Following a correction for the 'reservoir effect' (necessary for 28 sites), all ¹⁴C ages were computed to calendar ages using Calib 5.0.1 software and the 'IntCal04' database ([Reimer et](#page-16-0) [al., 2004\)](#page-16-0). All ages in this text are given as calibrated ages with the notation 'cal. yr BP'. With the exception of 9 records from areas containing very few records, most sequences have a resolution of less than 200 years, which sometimes extends as far as decadal resolution [\(Table 1](#page-3-0)). In order to later evaluate the relationship between pollen and non-pollen records, sites with both pollen and non-pollen sequences were treated as two separate records. In cases where several records (both pollen and non-pollen) have been published from the same site (e.g. Qinghai Lake: two pollen records [\(X.Q. Liu](#page-16-0) [et al., 2002; Shen et al., 2005](#page-16-0)) and more than five non-pollen records [\(Lister et al., 1991; Ji et al., 2005; Shen et al., 2005; Liu et al., 2007; Ji](#page-16-0) [et al., 2009](#page-16-0))), all individual records were evaluated during an initial screening step. Due to the consistency of the climatic inferences, we decided to include only the most recent record in our analyses.

In order to further examine sub-regional patterns in climate signals, we assigned our records to three sub-regions namely the area outside of the present monsoon boundary, the ISM area and the EASM area [\(Fig. 2a](#page-2-0)). Owing to the fact that there is no definitive spatial boundary between the EASM and ISM, the definitions of sub-regions used here are based on the current understanding of monsoonal systems despite the fact that this is subject to change.

3.2. Inferences of moisture and warmth indices for palaeoclimatic records

We translated the moisture (temperature) signals from the separate studies into a moisture (warmth) index of a five-part scale $(-2, -1, 0, +1, +2)$: the lowest value (-2) indicates the driest (coldest) intervals for each site since 18 cal. kyr BP while the maximum $(+2)$ indicates the wettest (warmest) periods, (0) indicates that climatic conditions were similar to present. The justification for designating an ordinal moisture (warmth) scale is that each individual site may not be linearly comparable to others due to differences in geographic location, archives, proxies used and sensitivity. Moreover, owing to the qualitative nature of the palaeoclimatic records, it is impossible to translate this information on a finer climatic scale. The moisture (warmth) scales are relative, in a semi-quantitative sense and are only applicable to each individual site [\(Chen et al., 2008; Zhao et al., 2009\)](#page-15-0). Relative moisture (warmth) sequences were constructed for each 100–year interval during Holocene period (12–0 cal. kyr BP), and for each 200-year interval before the Holocene (18–12 cal. kyr BP).

Mean moisture index values for selected time slices were mapped using a Kriging interpolation model in the ArcGIS 9.2 program [\(Johnston et al., 2001\)](#page-16-0).

3.3. Mapping and ordination of palaeomoisture index results

Ordination techniques are powerful tools to assess underlying patterns in large data sets. However, due to the low number of temperature records and the lack of sufficient moisture records before 10 cal. kyr BP, numerical analyses were performed only on 65 moisture sequences spanning the last 10 cal. kyr, including 15 pollen and 50 nonpollen based records. Almost 25% of the records (19 counts) don't quite cover the whole period and contain gaps, usually of less than thousand years. To avoid subsequent biases, missing data was generally replaced with mean values from the nearest three sites when available; such

mean values were also standardized to our five-part scale (e.g. Ahung Co, [Morrill et al., 2006](#page-16-0); Nam Co, [Zhu et al., 2008](#page-18-0)).

To examine the underlying patterns of our synthesized climate indices, principal component analysis (PCA) using moisture indices from selected sites as variables and the time slices as observations (data were centered by species without transformation), was performed using CANOCO 4.5 for ordination ([ter Braak and Smilauer,](#page-17-0) [2002\)](#page-17-0). Almost identical results were obtained by non-metric Multidimensional scaling (nmMDS; results not shown) that was processed through the Brodgar 2.5.7 program [\(Zuur et al., 2007\)](#page-18-0).

To evaluate concordance between pollen and non-pollen based records, Procrustes analysis based on the results of four PCA axes from both pollen and non-pollen records, was performed using the R 2.9.0 Program [\(Mardia et al., 1979](#page-16-0)). The significance of the Procrustes fit was tested using 101 random permutations, which were modified to allow restricted permutations for time series data (pers. comm. Gavin Simpson). Correlation using symmetric Procrustes rotation was found to be 0.8399 combined with a significance of 0.009901 (meaning that the permutations failed only once during the calculation).This indicates significant concordance between pollen and non-pollen data sets ([Peres-Neto and Jackson, 2001\)](#page-16-0) implying synchronous signals between pollen and non-pollen records on centennial timescales as reported by [Seppa and Bennett \(2003\)](#page-17-0). This essentially means that Holocene pollen based records are not biased by anthropogenic activity, permitting us to use them together for ordination purposes.

PCA scores of the first two axes were mapped in order to extract regional moisture evolution pattern in Holocene using Kriging interpolation model in ArcGIS 9.2 program ([Johnston et al., 2001](#page-16-0)).

3.4. Meteorology data of the recent past

Meteorological data used in this study are obtained from the NCEP/ NCAR reanalysis project. Due to the fact that the reanalysis system and the model used remain unchanged throughout the period, the reanalyzed data provide a reliable database data for studying interannual circulation variability ([Kalnay et al., 1996; Kistler et al.,](#page-16-0) [2001\)](#page-16-0). In this study, monthly mean winds (u-wind, v-wind and omega-wind) at standard pressure levels (totally 17 standard levels) from the reanalysis data for the period from January 1979 to December 2008 were used.

The Monsoonal Hadley Index (MH Index), a dynamical index for Indian Summer Monsoon variability defined by [Goswami et al.](#page-15-0) [\(1999\),](#page-15-0) is calculated as the meridional wind-shear anomaly (between 850 hPa and 200 hPa) averaged across the ISM dominated area (70°E– 110°E, 10°N–30°N), expressed as:

$$
MH_{ISM} = V_{850}^{*} - V_{200}^{*} \tag{1}
$$

Where V_{850}^* and V_{200}^* are the meridional wind anomalies at 850 hPa and 200 hPa respectively, averaged over the summer season (June to August) throughout the defined ISM region. All calculations and maps were computed using Grid Analysis and Display System (GrADS) Version 1.8 ([Berman et al., 2001](#page-15-0)).

4. Results

4.1. Variations in the mean moisture and warmth indices

In total 40 pollen based and 52 non-pollen based records provide moisture indices from 72 sites, while 18 pollen based and 18 nonpollen based sequences are indicative of changes in warmth. The mean indices for both moisture and warmth during the last 18 cal. kyr are shown in [Fig. 3](#page-6-0). These show near-synchronous changes in pollen and non-pollen records, confirming the previous findings from

Fig. 3. Synthesized Holocene climate indices in Central Asia (a, b) and comparison with selected palaeoclimatic proxy records $(c - i)$. (a) Mean moisture (solid circles) and warmth (hollow circles) indices based on all synthesized records; (b) Mean climate indices based on pollen (moisture, solid circles; warmth, solid diamonds) and non-pollen records (moisture, hollow circles; warmth, hollow diamonds); (c) GISP2, Greenland, after [Grootes et al., 1993;](#page-15-0) (d) Dongge Cave D4, China, after [Dykoski et al., 2005;](#page-15-0) (e) Cariaco Basin, Venezuela, after [Hughen et al., 2000;](#page-15-0) (f) Qunf Cave, Oman, after [Fleitmann et al., 2007;](#page-15-0) (g) Hulu Cave, China, after [B. Wang et al., 2001; H.Y. Wang et al., 2001; Y.J. Wang et al., 2001](#page-17-0); P.J. Wang et al., 2001; P.J. Wang e (h) 723A-Core, Arabian Sea, after [Gupta et al., 2003](#page-15-0); (i) MD972441-Core, Sulu Sea, after [Oppo et al., 2003.](#page-16-0)

Procrustes analyses and outlining the general trends for moisture and warmth evolution over the last 18 cal. kyr.

throughout northern India, Mongolia, Kyrgyztan and Xinjiang (China) [\(Fig. 6o](#page-9-0)).

Despite a scarcity of available data for periods prior to 15 cal. kyr BP, mostly dry and cold conditions prevailed. Thereafter, a relatively wet and warm period which lasted for ~2000 years (synchronous with the Bølling/Allerød period in the north Atlantic region) was followed by a 1500-yr dry, cold phase, probably reflecting the Younger Dryas event. At the beginning of Holocene, both moisture levels and temperatures increased abruptly reaching a maximum at around 10 cal. kyr BP. The Holocene Optimum lasted until 8 cal. kyr BP followed by a trend towards drier and colder conditions, which prevailed until the latter part of the Holocene.

Synthesized moisture indices from three sub-regions (see additional online material, Fig. S1), confirm synchronous increase in moisture availability at the beginning of the Holocene (12–10 cal. kyr BP). However, different trends occurred following the period of maximum moisture. Moisture levels decreased first within the area outside of the present monsoon boundary around 7.5 cal. kyr BP (but with another wet period between 5 and 2 cal. kyr BP); by 6.5 cal. kyr BP moisture levels had also decreased in the ISM area and by ca. 4.5 cal. kyr BP in the EASM area.

4.2. Frequency of impacts of anthropogenic activity

In [Fig. 4](#page-7-0), we summarize the previous studies within our data set that were judged by the authors to show evidence of anthropogenic impacts. The earliest human influences are described in studies from the Chinese Loess Plateau at 8 cal. kyr BP [\(Huang et al., 2004\)](#page-15-0). After 6 cal. kyr BP, and especially from 4 cal. kyr BP onwards, evidence for anthropogenic impact increases and reaches a maximum during the last 1000 years (see also in [Fig. 6](#page-9-0)o). Spatial analysis shows that the records of anthropogenic impact centre around southern China and the Chinese Loess Plateau region and, are sparsely distributed

4.3. Temporal and spatial analysis of PCA axes scores

The axes scores from PCA performed on the combined pollen and non-pollen data sets of the last 10 cal. kyr are shown in [Fig. 4](#page-7-0). The first axis (PC1), accounting for 46.3% of the total variance, shows relatively high values (ca. 1.5) until 7 cal. kyr BP, then decreases gradually over the following 3000 years to values of -1 . After this, for the last 4000 years, values remain negative. Compared to the relatively steady PC1, the second axis (PC2, accounting for 13.4%) shows more fluctuations. Following a gentle increase over 3500 years, PC2 reaches a maximum at about 5000 cal. yr BP which lasts for 2000 years, then decreases sharply to negative values of -0.5 in less than 1000 years. Values slightly fluctuated during the last period, illustrating a different temporal pattern from PC1.

The two PCA axes also show distinctive spatial patterns [\(Fig. 5](#page-8-0)). PC1 decreases stepwise from south to north i.e. from the highest values in northern India and southwestern China, to the lowest values in Mongolia. PC2, in contrast, shows a more continuous decrease from southeast to northwest, except for two sites from the northeastern part of China which show negative values such as those found in northwestern China and western Mongolia. Additionally, roughly the same results were obtained for the warmth index (not shown), but they were not interpreted due to the low density of data points (only 26 sites available).

Independent PCA analyses of moisture indices since 10 cal. kyr BP for three sub-regions ([Fig. 4](#page-7-0) c,d) present consistent first axis patterns with high values between 10 and 7 cal. kyr BP, and a gradual decrease to the present conditions until 4 cal. kyr BP. However for the second axis values, the region outside of present monsoon boundary, ISM and EASM areas show strongly differing signals with peaks at 5.5–4 cal. kyr BP, 8–7 cal. kyr BP and 7–4.5 cal. kyr BP respectively.

Fig. 4. Temporal pattern of results after ordination analysis for the last 10 cal. kyr BP, as: (a) PC1 of all records (together with Northern Hemisphere solar insolation changes in June at 30°N, 40°N and 50°N, after [Laskar et al., 2004\)](#page-16-0), (b) PC2 of all records, (c) PC1 of records from each sub-region, (d) PC2 of records from each sub-region; (E) Residuals after Procrustes analysis (line), and records deemed to have been impacted by human activity within this study (columns). In (c) and (d), solid lines indicate EASM area, dash lines indicate ISM area and dot lines indicate the area outside of present monsoon boundary.

5. Discussion

5.1. Reliability of climate inferences from proxy records in monsoonal central Asia

Despite taking utmost care in both site selection and methodological approach, the climatic information that we obtained from our meta-analyses faced the following problems:

- 1) Temporal resolution and age-control of records differ. Generally, records derived from stalagmites have the highest resolution of decadal or even near-annual resolution. As the most common archive, lacustrine sediments from either underwater cores or dry sections vary in resolution from annual to centennial scales.
- 2) Spatial resolution of our data differs as archives are not evenly distributed across the study area. As shown in [Fig. 2,](#page-2-0) most of our records were obtained from central China, the eastern Tibetan Plateau and Inner Mongolia whilst information from remote areas in northern and western Tibet and from desert areas in northwestern China and Mongolia is sparse due to the lack of available archives.
- 3) Sensitivity to climate change differs in various proxies. On account of the nonlinear response to climate change, proxy-based moisture and temperature reconstructions all have individual signalresponse relationships. Furthermore, warmth records are probably not as reliable since most proxies are dependent on moisture and encompass a mixture of precipitation and temperature signals (e.g. vegetation changes, lake level fluctuations, stable isotope records, etc.). In addition to this, our assumptions that associations exist between warm and wet conditions and between dry and cold conditions may have artificially biased the climatic inferences.
- 4) The reliability of climate inferences from glacial flora is limited due to formerly low $CO₂$ concentrations that supported the expansion of drought resistant vegetation which results in an underestimation of moisture levels from pollen records [\(Cowling and Sykes,](#page-15-0) [1999; Jackson and Williams, 2004; H. Wu et al., 2007](#page-15-0)).

5) Since the appearance of civilizations, anthropogenic activity has had an increasingly important impact on climate records and becomes more apparent in records dated since 6000 cal. yr BP, and especially since 1000 cal. yr BP (Fig. 4). Furthermore the trend of records with an apparent human impact perfectly matches a significant increase in residuals following Procrustes analysis, meaning that the largest difference between pollen and non-pollen based records for the last 1000 years might be caused by the progressive importance of anthropogenic activity which is consistent with the results of [Zhao et al. \(2009\).](#page-18-0) The main focus of our study has been on climate change whilst exploring the impact of humans was a secondary aim in order to assess the reliability of the climatic inferences. Even though no records in our data set support the transition between human- and climatedriven vegetation changes as suggested by [Dearing et al. \(2008\)](#page-15-0) based on data from Erhai Lake, the level of continuous human activity has potentially biased the climatic significance of the proxies, especially in central China during the second half of the Holocene.

5.2. Spatial and temporal climate patterns in monsoonal central Asia since 18 cal. kyr BP

The main features of the climate history of monsoonal central Asian can be inferred from changes in the mean moisture (warmth) index values [\(Fig. 3;](#page-6-0) Fig. S1) and from maps showing spatially interpolated moisture (warmth) index values of selected time slices [\(Fig. 6\)](#page-9-0).

The majority of the 16 records that were available for the period from 18 to 15 cal. kyr BP reflect dry to moderately dry together with cold to moderately cold conditions throughout China ([Fig. 6](#page-9-0)a, i), yielding minimum mean values for the whole study interval [\(Fig. 3](#page-6-0)), indicating that monsoonal central Asian climate in the time immediately after the LGM was characterized by dry and cold conditions. This is in agreement with inferences from high-latitude ice core records (GISP2, [Grootes et al., 1993; Stuiver et al., 1995](#page-15-0); Vostok, [Sowers et al., 1993; Petit et al., 1999](#page-17-0)) and low-latitude marine

Fig. 5. Spatial distribution of results after ordination analysis based on moisture indices from 72 sites as: (a) PC1, (b) PC2. Symbols indicate values for each site whilst colored bands represent interpolated zones through the Kriging model.

records (Arabian Sea, [Sonzogni et al., 1998;](#page-17-0) South China Sea, [Wang](#page-17-0) [et al., 1999; Steinke et al., 2001](#page-17-0); Sulu Sea, [Linsley, 1996; Oppo et al.,](#page-16-0) [2003\)](#page-16-0). However, two sites, namely Chaka salt lake (Qaidam Basin) and Xiaogou section (Chinese Loess Plateau), document abnormally wet conditions [\(Fig. 6](#page-9-0)a). The authors inferred that these were related to the reduced evaporation rates during glacial times [\(Liu et al.,](#page-16-0) [2008a; Wu et al., 2009](#page-16-0)).

Moderate dry conditions were largely recorded between 15 and 12 cal. kyr BP ([Fig. 6b](#page-9-0)). However, two major climate events are clearly indicated by the mean values. Firstly a warm, wet period culminating at around 14 cal. kyr BP is consistent with findings of an initial increase in the Indian Summer Monsoon in the Arabian Sea area [\(Figs. 3, 6](#page-6-0)g, j) [\(Overpeck et al., 1996](#page-16-0)), which was synchronous with the Bølling/Allerød warm period recognized in European and North Atlantic climate records [\(Weaver et al., 2003](#page-17-0)). Secondly, a cold reversal immediately prior to the Holocene apparent in many records [\(Figs. 3, 6h](#page-6-0)) is probably related to the Younger Dryas cold event [\(Dansgaard et al., 1989](#page-15-0)). However, due to the low number of records available, it is difficult to define chronological control for these events. Using our available data it can only be stated that the Bølling/Allerød

period was warm and wet and that the Younger Dryas was cold and dry, and that both events occurred in central China, on the western Tibetan Plateau and in northern Mongolia.

Moisture and warmth increased abruptly and wet conditions prevailed in the western part of our study area at the beginning of the Holocene [\(Figs. 3 and 6c](#page-6-0), k) representing a second enhancement of

Fig. 6. (a-f) Spatial distribution of moisture indices from Central Asia for defined time periods. (g, h) Distributions of records with the Bølling/Allerød warm period and Younger Dryas cold event. (i–n) Spatial patterns of warmth indices during the same periods as (a–f). (o) Temporal and spatial distribution of inferred human impact records in our dataset. For moisture and warmth indices, symbols indicate the values for each site during certain periods while the gray bands present the interpolated zones through Kriging model.

the summer monsoon after the LGM which is also documented in marine and stalagmite records from across the Asian monsoonal regions ([Hodell et al., 1999; Dykoski et al., 2005; Shen et al., 2006;](#page-15-0) [Fleitmann et al., 2007\)](#page-15-0).

When considering average moisture and warmth indices across the whole study area, the early Holocene (10–7 cal. kyr BP, [Figs. 3 and 6d](#page-6-0), j) represents the wettest and warmest phase since 18 cal. kyr BP. This period is also recognized as the Holocene Optimum period in reviews by [An et al. \(2000\) and Herzschuh \(2006\),](#page-15-0) and is furthermore consistent with a maximum in the Asian Summer Monsoon recorded in ice core records from Tibetan Plateau (Guliya, [Thompson et al., 1997;](#page-17-0) Dunde, [Liu](#page-16-0) [et al., 1998](#page-16-0)), marine records [\(Overpeck et al., 1996](#page-16-0)) and terrestrial monsoon records from outside our study area ([Fleitmann et al., 2003,](#page-15-0) [2007](#page-15-0)). The mapping of moisture indices reveals regional differences.

Whilst maximum moisture conditions are concentrated in southwest China, records from Mongolia, Inner Mongolia and Xinjiang indicate moderately dry conditions consistent with a period of low lake levels observed by [Chen et al. \(2008\).](#page-15-0) However, newly published climatic data from two Mongolian lakes, Hoton Nur ([Rudaya et al., 2009](#page-16-0)) and Lake Hovsgol [\(Murakami et al., 2009](#page-16-0)), imply that the early Holocene was characterised by a moisture optimum which is probably indicative of strong spatial differences in the moisture history of Mongolia during the early Holocene.

The period between 7 and 4 cal. kyr BP [\(Fig. 6e](#page-9-0), m) is still warm and wet on average but is characterized by a clear southeast– northwest moisture gradient which is different from the early Holocene wet phase. Maximum moisture levels in southeast China were attained during the mid-Holocene which is explained by the 'southward moving Holocene Optimum Period' hypothesis outlined by [An et al. \(2000\)](#page-15-0) despite the potential uncertainty raised from their low resolution records. However maximum moisture levels during the mid-Holocene were also recorded in Korea ([Fujiki and Yasuda,](#page-15-0) [2004; Nahm et al., 2006\)](#page-15-0), Japan [\(Schöne et al., 2004](#page-17-0)) and the East China Sea area ([Li et al., 1997](#page-16-0)). In contrast, decreasing moisture levels were recorded in northern India, southwest China and in other terrestrial archives from the Indian Summer Monsoonal area such as the stalagmite records ([Fleitmann et al., 2003; Dykoski et al., 2005;](#page-15-0) [Fleitmann et al., 2007](#page-15-0); etc.) and marine records from Arabian Sea [\(Overpeck et al., 1996; Gupta et al., 2003\)](#page-16-0), which are unanimously related to decreasing monsoonal activity.

For the last 4000 years, the environment has been more or less equal to the present day, apart from a number of weak moisture signals from southeast China. The overall conditions are slightly drier than those during the previous stage that was assigned to a further decrease in the Asian Summer Monsoon ([Liu et al., 2001; Huang et al.,](#page-16-0) [2004; Dykoski et al., 2005; Shao et al., 2006; Cosford et al., 2008; Hu et](#page-16-0) [al., 2008](#page-16-0)).

In summary, climatic changes in monsoonal central Asia area follow a global pattern, with significant coherence with high-latitude ice core records and low-latitude marine sequences. However, our meta-analyses revealed marked spatial patterns in Asian moisture history that are not yet fully understood in terms of the driving mechanisms.

5.3. The asynchronous nature of the Asian monsoonal subsystems: past and present

The PCA analysis performed on the entire region reveals potential moisture evolution patterns. PC1 retains relative high values from 10 to 7 cal. kyr BP, followed by a gradual decrease towards present-day levels, exhibiting high values in northern India, Tibet and southwest China ([Figs. 4a](#page-7-0), [5\)](#page-8-0). Similar patterns have also been reported from other areas influenced by the Indian Summer Monsoon such as the Arabian Sea ([Overpeck et al., 1996; Gupta et al., 2003](#page-16-0)) and southern Oman [\(Fleitmann et al., 2003, 2007](#page-15-0)). This pattern, coherent with the PC1 values from the individual sub-regions ([Figs. 2](#page-2-0)a; 4c), indicates the expanded influence of Asian Summer Monsoon during the early Holocene, accounting for the synchronous increases in moisture levels at the beginning of Holocene and the following moisture maximum, which is well recorded in each sub-region (see supplementary, Fig. S1).

However, apart from this overall trend, regional differences in moisture evolution which originate from additional moisture sources or from moisture-suppressing mechanisms are indicated by the PC2 axis results from each sub-region [\(Fig.](#page-7-0) 4d). PCA axis 2 of the ISM region exhibits an additional strong moisture signal during the early Holocene (8–7 cal. kyr BP), but starts to decrease after 7 cal. kyr BP. This is probably indicative of the retreat of the ISM (Fig. S1). The PC2 scores from the EASM region account for as much as 21% of the total variance and reveal that many records, especially cave records from central eastern China ([Liu et al., 2001; Shao et al., 2006; Cosford et al.,](#page-16-0) [2008; Hu et al., 2008](#page-16-0)), were subject to a maximum moisture supply during the mid Holocene (7–4.5 cal. kyr BP) ([Figs. 4d](#page-7-0), S1). A similar pattern is seen in by records from the Korean peninsula [\(Fujiki and](#page-15-0) [Yasuda, 2004; Nahm et al., 2006\)](#page-15-0) and in marine records from the East China Sea ([Li et al., 1997\)](#page-16-0) and the Japan Sea [\(Jian et al., 2000; Schöne](#page-16-0) [et al., 2004\)](#page-16-0). Thus, after spatial–temporal analyses, the two primary signals revealed in PCA analysis over the whole region can be interpreted as indices of the Asian Summer Monsoon subsystems with PC1 indicating the Indian Summer Monsoon and PC2 the East Asian Summer Monsoon.

The PC2 scores from the region outside of the present monsoon boundary ([Fig. 4](#page-7-0)d) indicates that many records show a wet stage between 5 and 2 cal. kyr BP (Fig. S1). Considering the transitional position of this region and the regression of Asian Summer Monsoon, these wet conditions during the late-Holocene probably reflect the influence of the Westerlies as was reported by [Vandenberghe et al.](#page-17-0) [\(2006\) and Chen et al. \(2008\)](#page-17-0). However, probably owing to the lack of continuous records, our study does not confirm an out-of-phase moisture evolution pattern during the mid and late Holocene in the arid central Asian area as reported by [Chen et al. \(2008\)](#page-15-0) who described a dry early Holocene (12–8 cal. kyr BP) and relatively wet mid to late Holocene (since ca. 7 cal. kyr BP).

Hence, the regions influenced by the two Asian Summer Monsoon sub-systems evolved in notably different ways during the first half of the Holocene. The Indian Summer Monsoon (PC1) mirrored the path of Northern Hemisphere solar insolation, presenting a decreasing trend throughout the Holocene with maximum rates of change during the mid-Holocene. In contrast, the East Asian Summer Monsoon (PC2) shows a maximum moisture period between 7 and 4 cal. kyr BP which occurred immediately after the intense Indian Summer Monsoon period ended. Reassessing the main driving forces and mechanisms of Asian Summer Monsoon, namely insolation (1), sea surface temperature (2) and Hadley Circulation (3), may be the key to understand the different patterns of the two subsystems.

- (1) The strength of the Indian Summer Monsoon is assumed to be largely driven by the summer ocean-continent temperature gradient that depends on insolation changes on the Tibetan Plateau at ~30°N ([Ruddiman and Kutzbach, 1989; Sirocko et al.,](#page-16-0) [1993; Overpeck et al., 1996; Y.J. Wang et al., 2001; Fleitmann](#page-16-0) [et al., 2003; Yuan et al., 2004; P.X. Wang et al., 2005; Y.J. Wang](#page-16-0) [et al., 2005; Fleitmann et al., 2007\)](#page-16-0). The land–ocean contrast of the East Asian Summer Monsoon area reflects insolation changes in the low-elevation Asian interior between 30 and 50°N. As the pattern of the insolation curves are approximately similar between 30 and 50°N, the asynchronous nature of the monsoonal subsystems is not forced by regional insolation differences on the Asian continent.
- (2) Moreover, palaeo-SST records from marine areas surrounding southern and eastern Asia (MD77194, Arabian Sea, [Sonzogni et](#page-17-0) [al., 1998](#page-17-0); 74KL, Arabian Sea, [Sonzogni et al., 1998](#page-17-0); GC18287-3, South China Sea, [Steinke et al., 2001;](#page-17-0) Core 17940-1/2, South China Sea, [Wang et al., 1999](#page-17-0); Core-255, East China Sea, [Li et al.,](#page-16-0) [1997;](#page-16-0) Core-B3GC, middle Okinawa Trough, [Jian et al., 2000](#page-16-0); MD76, western tropical Pacific Ocean, [Stott et al., 2004\)](#page-17-0), show similar, relatively stable conditions during the Holocene, negating a potential Oceanic Thermal driven hypothesis.
- (3) Hadley circulation, which is defined as global zonal-symmetric meridional circulation ([Oort and Yienger, 1996](#page-16-0)), has been widely recognized as an important factor for the evolution of the Asian Summer Monsoon ([Joseph, 1978; Tanaka et al., 2004;](#page-16-0) [Mitas and Clement, 2006\)](#page-16-0).

Based on meteorological data from the NCEP/NCAR reanalysis database, we reconstructed the Indian Summer Monsoonal Hadley (MH_{ISM}) Index for the ISM region (70°E–110°E, 10°N–30°N) since

Fig. 7. (a) Reconstructed Indian Summer Monsoon Hadley Circulation Index (MH_{ISM} Index) for the last 30 years based on NCEP/NCAR reanalysis data. (b) Hadley Circulation Index differences between strong and weak ISM years during the last 30 years, shadow regions indicate areas where monsoonal indices were defined. (c) Hadley Circulation Index differences between the second and the first half of the last 30 years. SSI2 – southerly shear index over the combined areas (a, 5°–15°N, 120°–145°E; and b, 5°S–5°N, 90°–120°E), defined in [Wang and Fan \(1999\)](#page-17-0).

Fig. 8. Correlation between two Asian monsoon indices, MH_{ISM} (Indian Summer Monsoon, [Goswami et al., 1999](#page-15-0)), and Southerly Shear Index 2 (East Asian Summer Monsoon, [Wang](#page-17-0) [and Fan, 1999\)](#page-17-0), during the last 60 years, based on NCEP/NCAR reanalysis data. Open circles indicate excluded data that were identified by twice standard deviation from the regression line. Most of the years excluded show extreme El Niňo/La Niňa events (e.g. 1988, 1991 and 1994).

1979 [\(Fig. 7a](#page-12-0)), following the method described by [Goswami et al.](#page-15-0) [\(1999\)](#page-15-0), which was proven to be consistent with monsoonal precipitation indices (Indian Monsoon Rainfall and Extended Indian Monsoon Rainfall). Calculated as the anomalous meridional windshear, the broader scale Monsoonal Hadley (MH) Index is also suitable for describing the whole Asian Summer Monsoon system based on meteorological data from an extended area (20°E–160°E, 20°S–70°N) [\(Goswami et al., 1999](#page-15-0)). The difference in the MH index between strong ISM years (with MH_{ISM} index above $+0.5$) and weak ISM years (with MH_{ISM} index below -0.5) is shown in [Fig. 7b](#page-12-0). Compared to the weak ISM years, relatively high values during strong ISM years were found in northern India, southwest China and some southern Asia areas, confirming the strong influences of the ISM system. Interestingly, areas surrounding this positive centre all showed negative values, indicating a weaker wind-shear that most probably hinders ascendance of even cause descending airflow in comparison with normal years. In particular, the north-central China region situated in the EASM region shows strong negative values. Considering a further dynamic index for EASM defined as Southerly Shear Index (SSI2) by [Wang and Fan \(1999\)](#page-17-0), a generally negative correlation between modern ISM and EASM (R^2 = 0.3285, p < 0.001) is achieved for the last 60 years (Fig. 8). The low R-value may be caused by further teleconnections which add variation to the monsoon systems such as the Southern Oscillation (SO) and El Niňo that are supposed to influence the Asian Summer Monsoon ([Shukla and Paolino, 1983;](#page-17-0) [Meehl and Arblaster, 1998\)](#page-17-0), as well as Arctic Oscillation (AO), Northern Atlantic Oscillation (NAO) and Siberia High (SH) from the Northern Hemisphere ([Wu and Wang, 2002a,b\)](#page-17-0) that strongly influence the Asian Winter Monsoon.

Furthermore, we assume that a strong Hadley Circulation formed over Bengal Bay, northern India and southwest China, during the early Holocene (10–7 cal. kyr BP) following an increase in Northern Hemisphere solar insolation, resulting in intense Indian Summer Monsoon (see above). Subsequently, strong ascending currents over the heated Tibetan Plateau led to relatively weaker ascending (or even descending) airflows over north-central China area, which can confirmed by the modern vertical wind patterns ([Fig. 9\)](#page-14-0). [Fig. 9](#page-14-0) (a-c) show vertical wind velocity differences between years with strong and weak Tibetan ascending airflows, for three sections: a, 30°N; b, 90°E; c, 115°E. Stronger ascending trends are indicated by negative values (dash lines), while relatively weaker ascending (or descending) airflows were expressed as positive values (solid lines). Obviously, when stronger ascending airflows happened over Tibetan Plateau area, relatively weaker ascending or even descending currents dominated the north-central China area (20°N–50°N, 110°E–150°E). By analogy to the modern data, restrained rising air currents in the north-central China region prevented a strengthening of the EASM, resulting a relatively dry phase during the early Holocene. During the mid-Holocene (7–4 cal. kyr BP), the ISM began to retreat due to a decrease in solar insolation, that resulted in a weakening of the suppressing effects over EASM areas allowing an enhancement of the EASM leading to a moisture maximum not only in north-central China [\(Liu et al., 2001; Shao et al., 2006; Cosford et al., 2008; Hu et al., 2008](#page-16-0)), but also in Korea, Japan and the East China Sea area [\(Li et al., 1997;](#page-16-0) [Fujiki and Yasuda, 2004; Schöne et al., 2004; Nahm et al., 2006](#page-16-0)). Since this time, under the influence of a further decrease in Northern Hemisphere insolation, both ASM subsystems developed towards modern conditions.

In summary, during the Holocene, the evolution of the Asian Summer Monsoon was primarily controlled by Northern Hemisphere solar insolation changes in conjunction with internal interactions between the two subsystems.

6. Conclusions

Our synthesis of 92 palaeoclimatic proxy records (72 sites) indicates that the moisture and temperature history in monsoonal central Asia during the last 18,000 years has generally followed the patterns of the northern hemisphere described in other records. The synthesis curve indicates that monsoon strengthening since the LGM occurred in a rather stepwise fashion whilst the monsoonal retreat since the mid-Holocene has been gradual. The approximately synchronous environmental signals revealed by pollen and nonpollen records negated the potential of human-driven ecosystems except for the last 1000 years where we found significant biases. Our results also indicate that feedbacks between climate change and the human impact on ecosystems were of minor importance during most of the Holocene.

Despite the similar overall trend, we found significant regional differences in the moisture evolution patterns of the last 10,000 years. The ordination analyses revealed different moisture evolution patterns among EASM, ISM areas and the region outside of present monsoon boundary. The increasing moisture levels at many sites outside the present monsoon boundary during the late Holocene are possibly related to the influence of the Westerlies. Furthermore, whilst moisture evolution in the ISM area roughly follows Northern Hemisphere summer insolation reaching a maximum during the early Holocene, many sites in the EASM area stays relatively dry and reaches its maximum moisture levels between 7 and 4 cal. kyr BP. We assume that enhanced Hadley Circulation leads to stronger upperlevel air transport into the EASM monsoon region which regionally hinders ascending airflow motion and hence suppresses monsoonal

Fig. 9. Vertical wind velocity differences between years with strong and weak Tibetan ascending airflows, for three sections: a, 35°N; b, 90°E and c, 115°E. Stronger ascending trends were indicated by negative values (dash lines), while relatively weaker ascending (or descending) airflows were expressed as positive values (solid lines).

circulation. Interpretation of a dynamic Monsoonal Hadley index (MH_{ISM}) that was calculated for the last 30 years using NCEP/NCAR data reveals the same pattern when comparing weak to strong ISM years confirming our mechanistic explanation of the asynchronous nature of the Asian monsoonal subsystems.

Moreover, the reconstructed MH_{ISM} Index ([Fig. 7a](#page-12-0)) increased during the last 30 years confirming observations of an increasing ISM in recent years ([Fleitmann et al., 2003; Gupta et al., 2003; Yuan et al.,](#page-15-0) [2004; Dykoski et al., 2005; Y.J. Wang et al., 2005; Fleitmann et al.,](#page-15-0) [2007\)](#page-15-0). Consequently, when comparing the MH Index of 1979–1994 to last 15 years (1995–2008) a similar pattern was observed as when comparing weak to strong ISM years [\(Fig. 7](#page-12-0)c). This implies that the stronger the ISM becomes in the future, the more the upward air motion in the EASM region will be suppressed which is probably related to drier conditions observed there. This implies that the arid desert–steppe areas of north-central China, being the dust source areas for e.g. Beijing dust storm events ([Qian et al., 2002; Zhang et al.,](#page-16-0) [2003; X. Wang et al., 2008a; Y.B. Wang et al., 2008](#page-16-0)) might suffer from increasing dryness in the future. An early Holocene dust maximum observed in the Sihailongwan Maar Lake sediment record ([Schettler et](#page-16-0) [al., 2006\)](#page-16-0), about 900 km east of Beijing, may be able to analogise to the future time in case that anthropogenically-driven strengthening of Hadley Circulation in Asia will persist. However, the further decreasing summer insolation will probably moderate this effect.

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Appendix A. Supplementary data

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