

The globally distributed genus *Alexandrium*: Multifaceted roles in marine ecosystems and impacts on human health

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ABSTRACT

The dinoflagellate genus *Alexandrium* is one of the major harmful algal bloom (HAB) genera with respect to the diversity, magnitude and consequences of blooms. The ability of *Alexandrium* to colonize multiple habitats and to persist over large regions through time is testimony to the adaptability and resilience of this group of species. Three different families of toxins, as well as an as yet incompletely characterized suite of allelochemicals are produced among *Alexandrium* species. Nutritional strategies are equally diverse, including the ability to utilize a range of inorganic and organic nutrient sources, and feeding by ingestion of other organisms. Many *Alexandrium* species have complex life histories that include sexuality and often, but not always, cyst formation, which is characteristic of a meroplanktonic life strategy and offers considerable ecological advantages. Due to the public health and ecosystem impacts of *Alexandrium* blooms, the genus has been extensively studied, and there exists a broad knowledge base that ranges from taxonomy and phylogeny through genomics and toxin biosynthesis to bloom dynamics and modeling. Here we present a review of the genus *Alexandrium*, focusing on the major toxic and otherwise harmful species.

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1. Introduction

Among the genera responsible for harmful algal blooms (HABs), the genus *Alexandrium* is certainly one of the most important in terms of the severity, diversity, and distribution of bloom impacts. Of the more than 30 morphologically defined species in this genus, at least half are known to be toxic or have otherwise harmful effects (Table 1). One unique feature of this genus is that three different families of known toxins are produced among species within it – saxitoxins, spirolides, and goniodomins. This toxigenic diversity is not found in any other HAB genus.

The most significant of these toxins in terms of impacts are the saxitoxins, responsible for outbreaks of paralytic shellfish poisoning (PSP), the most widespread of the HAB-related shellfish poisoning syndromes. The impacts of PSP outbreaks include human intoxications and death from contaminated shellfish or

fish, loss of wild and cultured seafood resources, impairment of tourism and recreational activities, alterations of marine trophic structure, and death of marine mammals, fish, and seabirds. The macrocyclic imine spirolides, thus far known only from *Alexandrium ostenfeldii* (Cembella et al., 2001) and possibly *Alexandrium peruvianum* (as listed in the IOC taxonomy database; Moestrup et al., 2011), are potent fast-acting neurotoxins when administered intraperitoneally into laboratory rodents. No human cases of shellfish poisoning from spirolides have been documented, however, and subsequent toxicological investigations have not justified their inclusion in regulatory regimes for seafood toxicity. The goniodomins produced by *Alexandrium monilatum* and *A. hiranoi* (formerly *Goniodoma pseudogonyaulax*; Hsia et al., 2005) cause paralysis and mortality in finfish. They are not linked to human illness, and are not a major problem on a global scale.

Many of the species within *Alexandrium* have been well studied scientifically, leading to major advances in our understanding of their biogeography, genetics, toxinology, physiology, ecology and management. Here we present a review of the *Alexandrium* genus, focusing on the major toxic or harmful species. Space limitations preclude a comprehensive review of all aspects of all species in this large genus. Instead, examples are provided of research results and observations that are broadly informative or that are indicative of

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Table 1

Morphotaxonomic assignments and toxicity among *Alexandrium* species. Toxin production may be highly inconsistent and therefore toxigenicity is reported only when at least one strain of the species is known to produce the designated toxin.

Species	Basionyms/synonyms	First description	Toxin type	Comments
<i>Alexandrium acatenella</i> ^a (Whedon & Kofoid) Balech	<i>Gonyaulax acatenella</i> Whedon & Kofoid <i>Protogonyaulax acatenella</i> (Whedon & Kofoid) Taylor <i>Gessnerium acatenellum</i> (Whedon & Kofoid) L.Loeblich & Loeblich III	Whedon and Kofoid (1936)	Saxitoxins	Toxin type assumed only from mouse bioassay symptoms of shellfish toxicity associated with blooms
<i>Alexandrium affine</i> ^a (Inoue & Fukuyo) Balech	<i>Protogonyaulax affinis</i> Inoue & Fukuyo <i>Alexandrium fukuyoi</i> Balech	Fukuyo et al. (1985)	Saxitoxins	Typically low toxicity or non-toxic
<i>Alexandrium andersonii</i> Balech ^a <i>Alexandrium angustitabulatum</i> ^a Taylor <i>Alexandrium balechii</i> ^{a,b} (Steidinger) Balech	Possible synonym of <i>A. minutum</i> <i>Gonyaulax balechii</i> Steidinger <i>Gessnerium balechii</i> (Steidinger) Loeblich III & Loeblich, 1979 <i>Pyrodinium balechii</i> (Steidinger) Taylor, 1976	Balech (1990) Balech (1995) (Hansen et al., 2003) Steidinger (1971)	Saxitoxins Saxitoxins None known	Most commonly non-toxic Strains from the type locality weakly toxigenic Blooms coincident with mass fish mortalities in type locality probably due to oxygen depletion
<i>Alexandrium camurascutulum</i> MacKenzie & Todd <i>Alexandrium catenella</i> ^a (Whedon & Kofoid) Balech	<i>Protogonyaulax catenella</i> (Whedon & Kofoid) Taylor <i>Gessnerium catenellum</i> (Whedon & Kofoid) Loeblich & Loeblich <i>Gonyaulax catenella</i> Whedon & Kofoid	MacKenzie and Todd (2002) Whedon and Kofoid (1936)	None known Saxitoxins	
<i>Alexandrium cohorticula</i> ^a (Balech) Balech	<i>Gonyaulax cohorticula</i> Balech <i>Protogonyaulax cohorticula</i> (Balech) Taylor <i>Gessnerium cohorticula</i> (Balech) L. Loeblich & Loeblich III	Balech (1967)	Saxitoxins	Japanese strains reportedly toxigenic, but possible misidentification of <i>A. tamiyavanichii</i>
<i>Alexandrium compressum</i> ^a (Fukuyo, Yoshida & Inoue) Balech	<i>Protogonyaulax compressa</i> Fukuyo, Yoshida & Inoue	Fukuyo et al. (1985)	None known	
<i>Alexandrium concavum</i> ^{a,b} (Gaarder) Balech emend. Larsen & Nguyen-Ngoc <i>Alexandrium foedum</i> ^{a,b} Balech <i>Alexandrium fraterculus</i> ^a (Balech) Balech	<i>Goniodoma concavum</i> Gaarder <i>Gonyaulax fratercula</i> Balech <i>Gessnerium fraterculum</i> (Balech) Loeblich & Loeblich III <i>Protogonyaulax fratercula</i> (Balech) Taylor	Gaarder (1954) Larsen and Nguyen-Ngoc (2004) ^c Balech (1990) Balech (1964)	None known None known None known	
<i>Alexandrium fundyense</i> ^a Balech <i>Alexandrium gaarderae</i> Nguyen-Ngoc & Larsen	<i>Gonyaulax concava</i> (Gaarder) Balech <i>Alexandrium concavum</i> (Gaarder) Balech	Balech (1985) Larsen and Nguyen-Ngoc (2004)	Saxitoxins None known	
<i>Alexandrium globulum</i> ^b Nguyen-Ngoc & Larsen		Larsen and Nguyen-Ngoc (2004)	None known	
<i>Alexandrium hirano</i> ^{a,b} Kita & Fukuyo	<i>Goniodoma pseudogoniaulax</i> Biecheler <i>sensu</i> Kita, Fukuyo, Tokuda & Hirano (1985)	Kita and Fukuyo (1988)	Goniodomins	
<i>Alexandrium insuetum</i> ^{a,b} Balech <i>Alexandrium kutnerae</i> ^a (Balech) Balech <i>Alexandrium leei</i> ^a Balech	<i>Gonyaulax kutnerae</i> Balech	Balech (1985) Balech (1979) Balech (1985)	None known None known None known	Typically non-toxic, but low level of saxitoxin derivative reported from Vietnamese strain; unknown ichthyotoxins
<i>Alexandrium margalefi</i> ^{a,b} Balech <i>Alexandrium minutum</i> ^a Halim	<i>Alexandrium ibericum</i> Balech <i>Alexandrium lusitanicum</i> Balech <i>Pyrodinium minutum</i> (Halim) Taylor	Balech (1994) Halim (1960) Balech (1989) ^c	None known Saxitoxins	Non-toxic strains also occur, e.g. in the Mediterranean Sea
<i>Alexandrium monilatum</i> ^{a,b} (Howell) Balech	<i>Gonyaulax monilata</i> Howell <i>Gessnerium mochimaensis</i> Halim <i>Gessnerium monilata</i> (Howell) Loeblich III <i>Pyrodinium monilatum</i> (Howell) Taylor	Howell (1953)	Goniodomins	Strongly ichthyotoxic

Table 1 (Continued)

Species	Basionyms/synonyms	First description	Toxin type	Comments
<i>Alexandrium ostenfeldii</i> ^a (Paulsen) Balech & Tangen	<i>Goniodoma ostenfeldii</i> Paulsen <i>Gonyaulax ostenfeldii</i> (Paulsen) Paulsen <i>Heteraulacus ostenfeldii</i> (Paulsen) Loeblich III <i>Gessnerium ostenfeldii</i> (Paulsen) Loeblich III & L.A. Loeblich <i>Triadinium ostenfeldii</i> (Paulsen) Dodge <i>Pyrodinium phoneus</i> Woloszynska & Conrad <i>Gonyaulax tamarensis</i> var. <i>globosa</i> Braarud <i>Gonyaulax globosa</i> (Braarud) Balech <i>Gonyaulax trygveii</i> Parke <i>Protogonyaulax globosa</i> (Braarud) Taylor	Paulsen (1904) Balech and Tangen (1985) ^c	Spirolides; saxitoxins	Strains tend to produce either saxitoxins or spiroclides, but rarely both groups
<i>Alexandrium peruvianum</i> ^a (Balech & Mendiola) Balech & Tangen	<i>Gonyaulax peruviana</i> Balech & Mendiola	Balech and de Mendiola (1977)	Spirolides	Spirolides produced by strains from the Mediterranean Sea
<i>Alexandrium pseudogonyaulax</i> ^{a,b} (Biecheler) Horiguchi ex Yuki & Fukuyo	<i>Goniodoma pseudogonyaulax</i> Biecheler	Biecheler (1952)		
<i>Alexandrium satoanum</i> ^{a,b} Yuki & Fukuyo		Yuki and Fukuyo (1992)		
<i>Alexandrium tamarensis</i> ^a (Lebour) Balech	<i>Gonyaulax tamarensis</i> Lebour <i>Gessnerium tamarensis</i> (Lebour) Loeblich III & A.L. Loeblich <i>Protogonyaulax tamarensis</i> (Lebour) F.J.R.Taylor <i>Gonyaulax tamarensis</i> var. <i>excavata</i> Braarud <i>Gonyaulax excavata</i> (Braarud) Balech <i>Protogonyaulax excavata</i> (Braarud) F.J.R.Taylor <i>Alexandrium excavatum</i> (Braarud) Balech & Tangen	Lebour (1925)	Saxitoxins	Non-toxic strains also occur; undefined allelochemicals/ ichthyotoxins may be produced
<i>Alexandrium tamiyavanichii</i> ^a Balech		Balech (1994)	Saxitoxins	
<i>Alexandrium tamutum</i> Montresor, Beran & John		Montresor et al. (2004)	None known	
<i>Alexandrium taylori</i> ^{a,b} Balech		Balech (1994)	Saxitoxins	Usually non-toxic, but also known to produce non-proteinaceous exotoxin
<i>Alexandrium tropicale</i> ^a Balech		Balech (1971)	None known	

^a Species for which a detailed description accompanied with drawings is available in Balech (1995).

^b Species assigned to the subgenus *Gessnerium*.

^c Marks additional references that might be considered for species identification and/or for the clarification of their taxonomy.

approaches leading to improved understanding of other species. One focus is on autecological features that underlie many *Alexandrium* blooms, based predominantly on the small number of species that have been well studied in the laboratory and the field. Another key issue is life cycle transformations and their quantitative effect on bloom dynamics because in this specific area *Alexandrium* blooms have been especially well-characterized and differences from other HAB taxa become apparent. Another unique attribute is that *Alexandrium* genetics have received considerable attention, both from a phylogenetic perspective, and in terms of identifying genes and gene expression patterns for critical pathways, such as that for toxin production.

2. *Alexandrium* species

2.1. Taxonomy and phylogeny of *Alexandrium*

The genus *Alexandrium* was formally established with the description of its type species *Alexandrium minutum* Halim (1960), a small-sized dinoflagellate that produced a 'red tide' in the harbor of Alexandria in Egypt. This genus now includes 31 species (Table 1), many of them originally described under a

different genus name (as *Gonyaulax*, *Protogonyaulax*, *Gessnerium*, *Goniodoma*, and *Pyrodinium*). This fact reflects the intricate taxonomic history of these species, as well as subjective interpretations of the stability and importance of particular morphological characters for the delineation of genera and species. From the morphological point of view, the species now included in the genus *Alexandrium* share a Kofoidian plate pattern of APC (apical pore complex), 4', 6'', 5''', 2''''', 6C, 9-10S (Balech, 1995). Cells are relatively featureless when observed by light microscopy but minor morphological characters become visible after staining and dissection of thecal plates and/or after examination by scanning electron microscopy. Morphological characters for species identification are: cell size, shape, chain formation, ornamentation of the theca, cingular and sulcal excavation, sulcal lists, shape of APC, 1', 6'' and some sulcal plates, such as S.p., S.a., and S.s.a. A detailed illustration, description and discussion of the various species are presented in the monograph by Balech (1995). Resting cysts have been described for many *Alexandrium* species and, with the exception of *A. pseudogonyaulax*, which forms cysts with a distinct paratabulation (Montresor, 1995), they have a smooth wall and a round, oval, or elliptical shape (Matsuoka and Fukuyo, 2003).

The genus *Alexandrium* is subdivided into two subgenera: *Alexandrium sensu strictu* (where the 1' plate is connected to the APC) and *Gessnerium* (where the 1' plate is not connected to the APC; Table 1). When he established the two sub-genera, Balech (1995) already recognized that *Gessnerium* is a heterogeneous group composed of morphologically distinct species.

Molecular phylogenetic analyses – mostly carried out on genes of the ribosomal RNA (rDNA) in unicellular eukaryotes (including dinoflagellates) – confirmed that *Alexandrium* belongs to the Gonyaulacales (e.g., Saldarriaga et al., 2004). Sequence analyses of members of the genus *Alexandrium* support the taxonomic distinction from other gonyaulacoid genera by unequivocally corroborating the monophyletic nature of the genus (e.g., Usup et al., 2002; John et al., 2003b; Saldarriaga et al., 2004). Only a single publication on *Alexandrium* phylogeny suggested a paraphyletic nature of the genus because large ribosomal subunit (LSU) rDNA sequences of *Pyrodinium bahamense* diverged from within a clade otherwise exclusively composed of *Alexandrium* species (Leaw et al., 2005). Though these findings have not been explicitly contested prior to the present review, paraphyly of *Alexandrium* must be doubted as some inconsistencies with previous studies can be observed in the phylogenetic tree presented by Leaw et al. (2005). Moreover, neither the maximum likelihood – nor the maximum parsimony – based analysis in Leaw et al. (2005; Fig. 3(A) and (B), respectively) give statistical support for paraphyly of *Alexandrium*. Our phylogenetic analyses, including LSU rDNA sequences of the majority of the currently recognized *Alexandrium* species and sequences of *P. bahamense* used by Leaw et al. (2005), support the reciprocal monophyly of the two genera (Fig. 1). The close phylogenetic proximity of *Pyrodinium* to *Alexandrium* remains uncontested, as this is consistent with the prior taxonomic assignment to *Pyrodinium* of several species that now belong to *Alexandrium* (Table 1).

The phylogenetic analyses conducted for this review (Fig. 1) identify several well-supported clades in the genus *Alexandrium*, although DNA sequences are not available for all members of the two subgenera (e.g., the *Gessnerium* group species *A. balechii* and *A. foedum*). In any case, as reciprocal monophyly is not found for the subgenera *Alexandrium* and *Gessnerium*, molecular phylogenies do not fully corroborate this taxonomic division of the genus as proposed by Balech (1995). In fact, whereas *A. hiranoi*, *A. monilatum*, *A. pseudogonyaulax*, *A. saotunum* and *A. taylori* consistently form a well-supported clade that diverges early from all species of the subgenus *Alexandrium*, two species of the subgenus *Gessnerium* (*A. margalefii* and *Alexandrium insuetum*) do not fall into this clade (Hong et al., 2008; Touzet et al., 2008a,b; Fig. 1). *Alexandrium margalefii* either shows affinity to this clade with low support (Kim et al., 2005) or relates with only weak to moderate support to a clade including *A. minutum*, *A. angustitabulatum*, *A. tamutum* and the *A. ostenfeldii/A. peruvianum* species complex, where it branches off early (John et al., 2003b; Kim et al., 2005; Touzet et al., 2008a). *A. insuetum* is instead consistently placed within this latter clade (e.g., Hansen et al., 2003; Leaw et al., 2005; Penna et al., 2008; Kremp et al., 2009). While the subgeneric classification by morphological criteria for the majority of *Gessnerium* species seems evolutionarily meaningful, at least in *A. insuetum*, plate characteristics have been suggested to result from convergent evolution (Touzet et al., 2008a).

A close phylogenetic relationship is confirmed for the morphologically defined species *Alexandrium tamarense*, *A. fundyense*, *A. catenella*, *A. affine*, *A. tamiyavanichii*, *A. cohorticula*, *A. tropicale* and *A. fraterculus*. The first three and the latter four species form distinct clusters, respectively. The branching pattern of *A. affine* sequences and these two clades differs depending upon the phylogenetic approach and sequences used for the analysis (e.g., Touzet et al., 2008a,b) and statistical support for either of the

possible branching patterns is low. All species of this larger clade are potentially harmful due to their capacity for PSP toxin production and have been the focus of many studies that included morphological and genetic characterization of strains of different geographical origin. These studies highlighted the existence of species-complexes, such as the *A. tamarense/catenella/fundyense* group, i.e., genetically distinct clusters of strains sharing very similar morphological features.

The three morphospecies *A. tamarense*, *A. catenella* and *A. fundyense* were distinguished based on different combinations of two main characters: the capability to form chains and the presence/absence of a ventral pore between Plates 1' and 4'. Due to the lack of match and inconsistencies between morphological discrimination characters, toxicity, and genetic resolution among the three species, they were thus grouped within the '*A. tamarense* species complex' (Anderson et al., 1994; Scholin et al., 1994). Five ribotypes were identified and named after the geographical origin of the strains: North American, Western European, Temperate Asian, Tasmanian, and Tropical Asian (Scholin et al., 1994). In a subsequent study, a new non-toxic endemic Mediterranean ribotype of *A. tamarense* was described and phylogenetic analyses showed that the isolate identified as *A. tamarense* Tropical Asian ribotype does not belong to the species complex (John et al., 2003b). A recent study that included gene sequence analysis on a worldwide basis confirmed the clustering of ribotypes into five phylogenetically well-supported clades, exclusively including either toxic or non-toxic strains (Lilly et al., 2007). As this study indicated that the geographic distinctions are no longer indicative of the range occupied by members of each group, a group numbering scheme was introduced to replace geographically referenced clade designations (Fig. 1).

Furthermore, morphological distinction of isolates of the different ribotypes shows that phylogenetic clades are not reciprocally monophyletic. This lack of correlation of morphological and molecular characters indicates that the taxonomic distinction of the species *A. tamarense*, *A. catenella* and *A. fundyense* does not reflect the evolutionary relationship within the species complex. Recent studies on reproductive traits of members of the complex support the notion that the evolutionary units as discerned by rDNA analyses are valid species according to a biological species concept (Brosnahan et al., 2010). In that study, isolates from different ribotypes were shown to be reproductively non-compatible by producing only non-viable zygotes (for the reproductive cycle of *Alexandrium*, see below).

Comparable findings have been obtained with other morphospecies. Isolates originally described as *A. angustitabulatum* and *A. lusitanicum* were found to be part of a species complex together with *A. minutum* (Franco et al., 1995; Hansen et al., 2003; Lilly et al., 2005). The analysis of globally distributed strains of the *A. minutum* species complex confirmed the identification of a distinct 'Pacific clade' clustering strains from New Zealand and a larger 'global clade' including both toxic and non-toxic strains, within which microsatellite markers revealed geographic structuring (McCauley et al., 2009). *Alexandrium andersonii* – the fourth member of the *A. minutum* group in the classification proposed by Balech (1995) – does not cluster close to the *A. minutum* clade, but rather in a clade with *A. ostenfeldii* – *A. peruvianum* (e.g., Hansen et al., 2003; Touzet et al., 2008a) or branches off earlier (e.g., Penna et al., 2008; this study, Fig. 1), although overall support for any of these groupings is usually weak.

A. ostenfeldii and *A. peruvianum* are morphologically very similar, but can be separated based on their cell size, on the shape of the S.a. platelet, and the right anterior margin of the 1' plate (Balech, 1995). However, these characters showed considerable variation and overlapping in strains isolated from the Baltic Sea. Moreover, although the geographic coverage of the analyzed

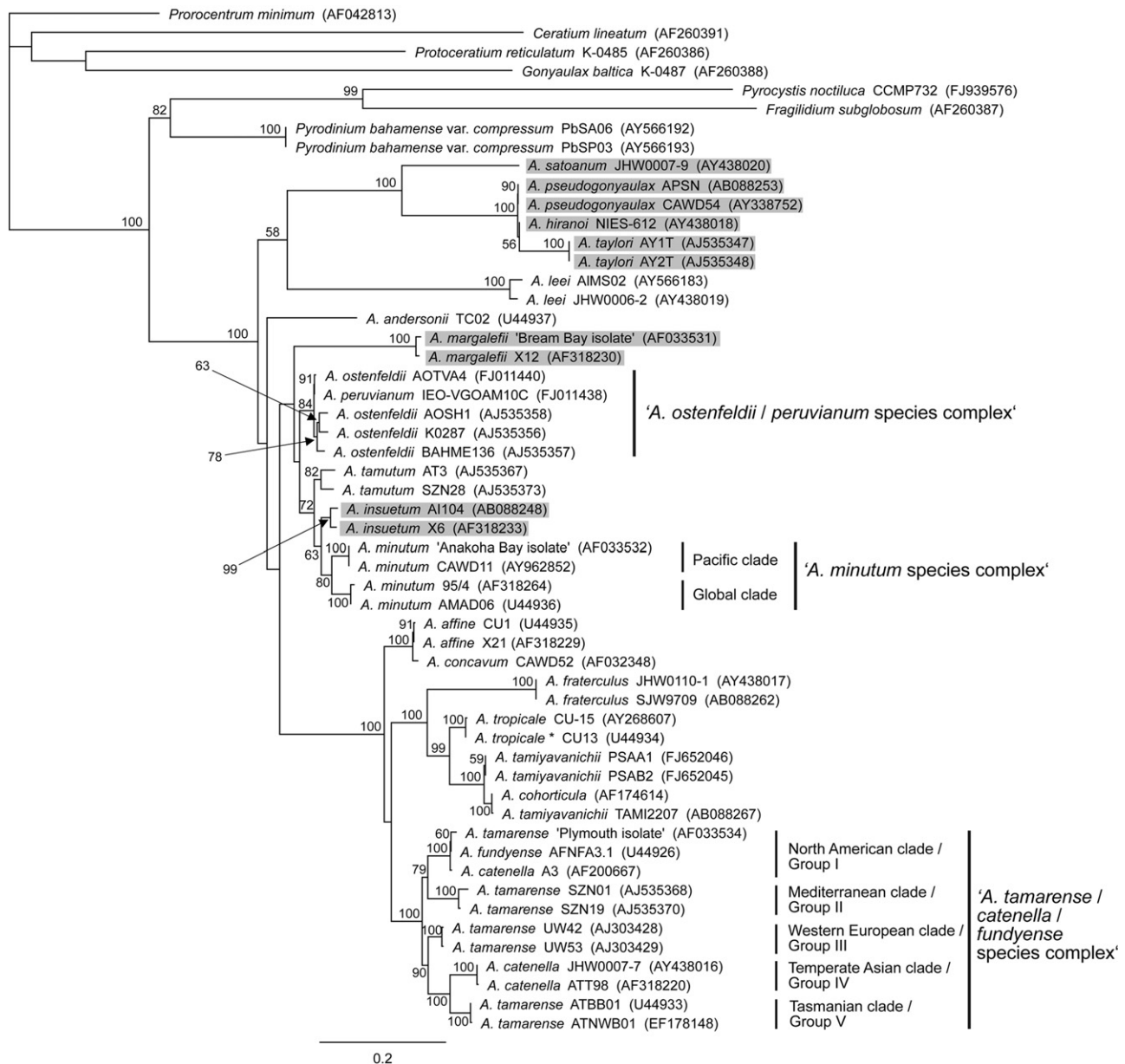


Fig. 1. Phylogenetic tree inferred by maximum likelihood analysis of partial LSU rDNA (D1–D2 domains) of 21 nominal species of *Alexandrium*. Analysis includes a subset of taxa included in the maximum likelihood phylogenetic analysis of 28S rDNA by Touzet et al. (2008a). This analysis was supplemented by additional sequences for some species (or ribotypes of species complexes) from previous phylogenetic studies: *A. pseudogonyaulax* (MacKenzie et al., 2004), *A. tropicale* and *A. minutum* 'Pacific clade' (Lilly et al., 2005), *A. ostenfeldii* (Kremp et al., 2009), *A. tamutum* (Montresor et al., 2004), *A. fraterculus* and *A. taylori* (John et al., 2003b), and *A. tropicale* and *A. tamiyavanichii* (Menezes et al., 2010). In addition, *Pyrodinium bahamense* sequences used in the analyses by Leaw et al. (2005) were included, as well as those of other gonyaulacoid dinoflagellates, to demonstrate monophyly of the genus *Alexandrium*. *Prorocentrum minimum* was set as the outgroup. Sequences were aligned with MAFFT v6.814b (Kato and Kuma, 2002) in Geneious 5.4.4 and the TrN + G model of base substitution was determined according to the Akaike Information Criterion and the Bayesian Information Criterion as the optimal model with jModeltest (Posada, 2008). Maximum likelihood analyses were carried out with PhyML (Guindon and Gascuel, 2003) in Geneious 5.4.4 with the following constraining parameters: base frequency ($A = 0.26832$, $C = 0.15771$, $G = 0.25629$, $T = 0.31768$), Transition/transversion ratio for purines: 2.267, Transition/transversion ratio for pyrimidines: 4.725, gamma distribution shape parameter ($G = 0.755$). Branch frequencies from 100 bootstrap replicates are given in percent at the respective nodes if $>50\%$. The two subgenera *Alexandrium* and *Gessnerium* (light gray shaded) do not form reciprocal monophyletic clades. Species complexes, such as the *A. tamarensis* species complex, contain non-reciprocal monophyletic clades according to morphologically determined taxa, which rather resemble evolutionary units with distinct biogeographical distributions and varying degrees of morphological plasticity. *Isolate was originally misidentified as *A. tropicale* (Lilly et al., 2007).

strains is still limited, there is evidence for the presence of distinct genotypes, possibly cryptic species (Kremp et al., 2009). Similar findings have been obtained with *A. tamiyavanichii* and *A. cohorticula*. Again, detailed analysis of strains showed a broad range of characters that does not support their separation into distinct species (Lim et al., 2007; Menezes et al., 2010).

In the genus *Alexandrium*, as for many other protist taxa, the advent of molecular techniques challenged the classification of species based on morphological characters by showing that: (i) a high level of genetic diversity is present within the same

morphospecies, and (ii) some characters for separation of closely related morphospecies show a broad range of variability and do not match molecular genetic clustering.

Morphological and genetic examination of strains obtained from different geographical locations, including the type locality of the different morphospecies, is required to formally re-define several species. Within *Alexandrium* it might be possible to identify 'species-complexes' that share some morphological characters. These complexes, however, will include a higher level of diversity that we now perceive as cryptic species (i.e., the *A. tamarensis* ribotypes

and clades within *A. minutum*) or distinct populations (e.g., the different population subclusters within *A. minutum* or *A. catenella*/Group IV as discriminated by microsatellite markers). Perhaps these are the ‘units’ to track if we are to understand the evolutionary history and dispersion patterns of these dinoflagellates.

One striking example that underlines the necessity of acknowledging molecular characters is the existence of strictly toxic and non-toxic ribotypes within the *A. tamarensis* species complex (Scholin et al., 1994; Lilly et al., 2007). No consensus, however, has been reached on how to reconcile the molecular divergence of clades within species complexes with respect to the taxonomic validity of described species and the potential necessity to define new species on the basis of molecular or other hitherto unrecognized characters. The development of a comprehensive species concept for *Alexandrium* that acknowledges phylogenetic differences among evolutionary lineages would certainly provide benefits for research, as distinctly evolved phylogenetic lineages might differ substantially with respect to their ecological niches and bloom characteristics.

2.2. Species identification and discrimination

Members of the genus *Alexandrium* are among the most difficult HAB taxa for species identification because of the subtle morphological characteristics used for classification, many of which are not easily resolved during monitoring or research programs. Furthermore, as exemplified by the *A. tamarensis* species complex, chain-forming ability, thecal tabulation and cell shape (Balech, 1995) are considered by some to be plesiomorphic features that are not reliable taxonomic markers (John et al., 2003b; Leaw et al., 2005). Morphologically intermediate forms have been observed under different environmental conditions both in culture and in the field (e.g., Anderson et al., 1994), and toxic and non-toxic ribotypes of the same morphologically defined species sometimes co-occur (e.g., Touzet et al., 2009; Brosnahan et al., 2010). Over the last few decades, the introduction of a variety of molecular methods has made possible the discovery of an incredible and unsuspected diversity within phytoplankton communities, including within the genus *Alexandrium*.

A common approach taken with *Alexandrium* species involves the development of species- or intra-specific molecular “probes” that can label cells of interest so they can be detected visually, electronically, or chemically. Progress has been rapid and probes and assays of multiple types are already available for many species and distinct ribotypes (i.e., potential cryptic species). Although cell-surface antibodies have been used, the most promising approach involves short pieces of synthetic DNA (probes or primers) that bind to complementary portions of target molecules in the corresponding HAB species (Tables 2 and 3). These molecular targets, typically ribosomal RNA (rRNA), can be visualized and/or quantified by a variety of techniques such as fluorescent *in situ* hybridization (FISH); sandwich hybridization assays (SHA), and a variety of PCR-based assays described below. These developments have reached the stage where the new molecular counting methods are routinely employed in some research (e.g., Anderson et al., 2005b) and monitoring programs.

2.2.1. Amplification/sequencing-based methods

rRNA genes have been widely used for identification and enumeration, as well as for phylogenetic studies in *Alexandrium* (Table 2). Scholin and Anderson (1994, 1996) were the first to use rRNA genes (small subunit or SSU, 18S rRNA; large subunit or LSU, 28S rRNA) for *Alexandrium* identification and classification in a large-scale restriction fragment-length polymorphism (RFLP) study that especially targeted species- and group-specific sequence differences in these genes.

Among the ribosomal genes, the D1/D2 region of LSU rDNA has also revealed evolutionary relationships and species boundaries within the *A. minutum* group (Lilly, 2003), and thus it has been the basis of numerous identification and biogeographical studies worldwide (Lilly et al., 2002; MacKenzie et al., 2004; Ruiz Sebastián et al., 2005; Menezes et al., 2010). Similarly, multiplex PCR assays have been developed, based upon primers designed from the D1/D2 and ITS regions, for the simultaneous detection and quantification of *Alexandrium* species coexisting in French and Japanese waters (Guillou et al., 2002; Genovesi et al., 2011; Nagai, 2011) and *Alexandrium* cysts in bottom sediments (Erdner et al., 2010).

The rRNA gene has also been used for quantification of *Alexandrium* cells, such as those of *A. minutum*, by addressing the 5.8S rDNA from both preserved environmental samples and cultures (Galluzzi et al., 2004). However, it was recently shown that rRNA gene copy number significantly varies even among *Alexandrium* species, and at least within *A. taylori* also according to growth phase (Galluzzi et al., 2010; Brosnahan et al., 2010). This is a critical consideration when applying quantitative PCR-based techniques for cell enumeration.

Mitochondrial markers have recently emerged as a powerful alternative for species discovery and identification. Under the name of DNA barcoding, these markers, such as the cytochrome c oxidase subunit 1, are used to discriminate unidentified taxa and to assign them to species. However, when applied for the investigation of dinoflagellate diversity, DNA barcoding with mitochondrial markers failed to resolve strains belonging to the genus *Alexandrium* (e.g., Lin et al., 2009; Stern et al., 2010).

2.2.2. Hybridization-based methods

Hybridization protocols based upon taxon-specific molecular probes targeting rDNA regions have also been developed to enable the rapid detection of individual *Alexandrium* species using FISH, SHA, or PCR-based assays (Table 3). This work has been especially productive for the *A. tamarensis* species complex, as well as for *A. minutum* and *A. ostenfeldii* (e.g., Penna and Magnani, 1999; Metfies et al., 2005; John et al., 2005; Diercks et al., 2008; Gescher et al., 2008; Touzet et al., 2010; Erdner et al., 2010).

DNA microarrays (or “chips”) allow the simultaneous analysis of several target genes or taxa in a single experiment, and as such represent a useful tool for studying complex phytoplankton communities. The ALEX CHIP (Gescher et al., 2008) was the first prototype developed for the detection of several *Alexandrium* species. The newly developed biosensor ALGADEC (Diercks-Horn et al., 2011) enabled the detection of *A. minutum* in a semi-automated fashion. In this regard, it appears as a promising device for the study of HABs. The possibility of combining multiple probes targeting multiple species makes this sensor, and related multiplex instruments (e.g., Scholin et al., 2009), an effective approach for detection and quantification of toxic algae in the field.

2.3. Biogeography and evolution

Members of the genus *Alexandrium* are widespread globally, with species present in coastal, shelf and slope waters of subarctic, temperate and tropical regions of the Northern and Southern Hemispheres (Taylor et al., 1995; Lilly et al., 2007). The diversity of *Alexandrium* appears to be higher in the Mediterranean Sea than elsewhere, but this may reflect the level of taxonomic scrutiny more than an actual distribution. For illustration, twelve distinct species (including three ribotypes of the *A. tamarensis* species complex) have been identified so far from this regional sea (Penna et al., 2008; Fig. 2). The *A. tamarensis* species complex appears to be the most widely dispersed and occurs in many locations worldwide, covering all ocean basins and many regional seas (Lilly et al., 2007). On the

Table 2
Primer sequences for ribosomal RNA genes of *Alexandrium* species.

Target gene/marker	Target taxa	Primer name	5'–3' sequence	Reference
Ribosomal RNA genes				
28S rRNA	Dinophyceae	D1R	ACCCGCTGAATTAAGCATA	Scholin et al. (1994)
		D2C	CTTGGTCCGTGTTTCAAGA	
28SrRNA	<i>Alexandrium</i> species	Alex1(r)	ACCACCCACTTTGCATTCCA	Guillou et al. (2002)
	<i>Alexandrium catenella</i> (TA clade)	Acat1(r)	GCACTACAATCTCACTGAGG	
	<i>Alexandrium catenella</i> (NA clade)	Acat3(r)	AAGTCAACACTCCACCAA	
	<i>Alexandrium minutum</i>	Amin2(r)	AGCACTGATGTGAAGGGCT	
	<i>Alexandrium fundyense</i>	(f)	GAATGCAAAGTGGGTGG	Dyhrman et al. (2006)
28S rRNA D1/D2	<i>Alexandrium tamarensis</i>	Atama-F3	ACCTTTGCACATGAATGATAAGTC	Nagai (2011)
		Atama-R1	CATCCCCAAGCACAGGAAC	
	<i>Alexandrium catenella</i>	Acat-F3	CAAAGTAAACAGACTTGATTCTC	
		Acat-R2	GAAAGCAACCTCAAGGACAAG	
	<i>Alexandrium fraterculus</i>	Afra-F1	GCTTGAATTGTTTGTGAAC	
		Afra-R3	GTCAGTGTAAAGCTTGTGGG	
	<i>Alexandrium pseudogonyaulax</i>	Apseu-F2	GGGTGGTAAATTCACGCAAG	
		Apseu-R2	TGGCAACAGCTGACAATCGCA	
18S rDNA	<i>Alexandrium monilatum</i>	1F	AACCTGGTTGATCCTGCCAGT	Rogers et al. (2006)
		1800R	TCCTTCTGCAGGTTACCTAC	
ITSs and ribosomal RNA genes				
ITS1-5.8S-ITS2	<i>Alexandrium</i>	ITSA	CCTCGTAAACAAGGCTCCGTAGGT	Adachi et al. (1994)
		ITSB	CAGATGCTAAGTTCAGCA	
ITS1-5.8S-ITS2	<i>Alexandrium</i>	P1	GTAGATCCGGTGAACCTTGCAGAAGGA	Spalter et al. (1997)
		P2	ATCGAATTCCTCCGTTACTTATATGC	
	<i>Alexandrium</i>	5.8S-b5'	YGATGAAGAATGCAGCAAMATG	Galluzzi et al. (2004)
		5.8S-b3'	CAAGCAHACCTTCAAGMATATCC	
ITS1-5.8S-ITS2	<i>Alexandrium</i>	5.8S-5'	GCAADGAATGTCTTAGCTCAA	Galluzzi et al. (2005)
	<i>Alexandrium minutum</i>	ITS1m (f)	CATGCTGCTGTGTTGATGACC	
		5.8S-3'	GCAMACCTTCAAGMATATCCC	
ITS1-5.8S-ITS2	<i>Alexandrium andersonii</i>	5.8S-5'	GCAADGAATGTCTTAGCTCAA	Penna et al. (2007)
		ITS2an	GATGACACGTTTCGGCAAG	
	<i>Alexandrium catenella</i>	ITS1c	AGCATGATTTGTTTTTCAAGC	
		5.8S-3'	GCAMACCTTCAAGMATATCCC	
	<i>Alexandrium tamarensis</i>	5.8S-5'	TGTTACTTGTACCTTTGGGA	
		ITS2t	ACAACACCCAGTTCAAT	
	<i>Alexandrium taylorii</i>	ITS1t	TGGTGTGTAATGCGGTTGT	
		5.8S-3'	GCAMACCTTCAAGMATATCCC	
ITS1-5.8S-ITS2	<i>Alexandrium taylorii</i>	Tay5'	TGGTGTGTAATGCGGTTGT	Galluzzi et al. (2010)
		Tay3'	AGGAAATGGCACCAGAATGC	
18S-ITS1-5.8S-ITS2-28S	<i>Alexandrium catenella</i>	FACAT	TGATATTGGGCAACTGTAA	Genovesi et al. (2011)
	<i>Alexandrium tamarensis</i>	FATAM	TGGTAATCTTCATTGATTACAATG	
		TACATAM	AACATCTGTAGCTCACGGAA	
ITS	<i>Alexandrium tamiyavanichii</i>	Atami-F1	AAGCTTGCTGTGGGTACAGA	Nagai (2011)
		Atami-R1	TACAGCTCACAGCAATGCAG	
	<i>Alexandrium affine</i>	Affn-F1	CTTGCTCAAGCTGGTATGTC	
		Affn-R2	GTCATGTTACCATTTCACCA	

(f) forward and (r) reverse.

other hand, members of this species complex seem to be largely absent from the equatorial tropics.

Whereas many biogeographical studies of *Alexandrium* are based upon examination of vegetative cells, the hypnozygotes or

cysts are highly resistant to decay and thus facilitate studies of the distribution of some species in modern sediments and their linkages with environmental conditions. Cysts of *A. tamarensis* have been found within a surface water temperature range of

Table 3
Probe sequences for target ribosomal DNA genes of *Alexandrium* species.

Probe name	Target gene	Sequence (5'–3')	Specific for	Reference
AOST1	18S	CAACCTTCCCAATAGTCAGGT	<i>A. ostenfeldii</i>	Metfies et al. (2005)
AOST2	18S	GAATCACCAAGGTTCCAAGCAG	<i>A. ostenfeldii</i>	Metfies et al. (2005)
AOST02	18S	CACCAAGGTTCCAAGCAG	<i>A. ostenfeldii</i>	John et al. (2003a)
ALEXMIN1	18S	CCCAGAAGTCAGGTTTGGAT	<i>A. minutum</i> (AY831408, AY883006, AJ535380, AJ535388)	Nölte, unpublished
Act1	28S	GCCTTGCAGCCAAAACCCA	<i>A. catenella</i> (Temperate Asian Clade, Group IV)	Sako et al. (2004)
ATNA01	28S	AGTGCAACACTCCCACCA	<i>A. tamarensis</i> (North American Clade, Group I)	Miller and Scholin (1998)
Atm1	28S	ACACCCACAGCCAAAGCTC	<i>A. tamarensis</i> (North American Clade, Group I)	Sako et al. (2004)
ATAM01	28S	TTCAAGGCCAAACACTG	<i>A. tamarensis</i> species complex	John et al. (2005)
ATNA02	28S	AACACTCCCACCAAGCAA	<i>A. tamarensis</i> (North American Clade, Group I)	John et al. (2005)
ATWE03	28S	GCAACCTCAAACATG	<i>A. tamarensis</i> (Western European Clade, Group III)	John et al. (2005)
ATME04	28S	CCCCCACAAGAACTT	<i>A. tamarensis</i> (Mediterranean Clade, Group II)	John et al. (2005)
AMINC	18S	GAAGTCAGGTTGGATGC	<i>A. minutum</i>	Diercks et al. (2008)
AMINCNEXT	18S	TAATGACCACAACCTTCC	<i>A. minutum</i>	Diercks et al. (2008)
TamA	28S	TCACCCACAGCCAAAACCTA	<i>A. tamarensis</i> (Western European Clade, Group III)	Touzet et al. (2010)
TamToxC	28S	GCAAGTGCAACACTCCCACCA	<i>A. tamarensis</i> (North American Clade, Group I)	Touzet et al. (2010)

–0.6 to 26.8 °C with the highest relative abundances in regions between 5 and 15 °C. Members of this species complex can be regarded as characteristic of temperate/subtropical regions in brackish to fully marine and oligotrophic to eutrophic environments (Marret and Zonneveld, 2003).

Although many *Alexandrium* species are known to be widely distributed across several continental coastal and shelf waters, comprehensive distributional data for many regions are still scarce. Hence, the underlying biogeographic constraints and natural distributional patterns remain largely obscure. Nevertheless, for a few species, such as those from the *A. tamarensis* species complex, the observed distributional patterns were seemingly dense enough to formulate an evolutionary model based on vicariance and allopatric speciation to explain the present day distribution as a consequence of plate tectonics, long-term climate variation and related alterations in paleoceanographic conditions (Scholin et al., 1995; John et al., 2003b). In other *Alexandrium* species, the formation of genetic population structure and eventually the divergence of evolutionary lineages are most likely driven by the same factors. An understanding of differentiated evolutionary lineages with distinct biogeographies in other species or species complexes, such as *A. minutum* (Lilly et al., 2005; McCauley et al., 2009), *A. ostenfeldii* (Kremp et al., 2009), *A. tamiyavanichii* (Menezes et al., 2010), is already emerging. As more detailed studies on these taxa are carried out, common patterns may become prominent for the evolutionary forces shaping *Alexandrium* species and populations.

Over the last century, these natural processes have been augmented by human activities such as ballast water discharge (e.g., Bolch and de Salas, 2007) or shellfish stock transfers. Some argue that the dramatic increase of recorded HAB events and changes in their intensity over the last decades are at least partially a consequence of human-mediated range extensions of HAB species, including those of *Alexandrium* (Hallegraeff, 1993; Maso and Garcés, 2006). One example is seen in the Mediterranean Sea, which harbors a large number of reportedly invasive toxic and non-toxic *Alexandrium* species. *Alexandrium catenella* was first reported in the Balearic Islands and Catalonia in 1983 (Margalef and Estrada, 1987), and then appears to have spread in the Western Mediterranean region along the French, Spanish, Italian, Greek and Maghrebian coasts (Abadie et al., 1999; Vila et al., 2001; Lugliè et al., 2003; Frehi et al., 2007; Turki et al., 2007).

The emergence of molecular techniques that enable high-resolution genetic characterization of a population will lead to a reexamination of some of these invasion reports. In some cases, species considered as exotic may turn out to be part of a “hidden flora”, and their emergence may then be attributed to climate

change or to other processes that alter the environment in a way that favors their detection (Smayda, 2007). To this end, polymorphic genetic markers such as DNA microsatellites have been developed for some *Alexandrium* species (e.g., *A. tamarensis* North American clade/Group I (Nagai et al., 2004; Alpermann et al., 2006), *A. minutum* (Nagai et al., 2006a), *A. catenella* Temperate Asian clade/Group IV (Nagai et al., 2006b)). An example of the application of these versatile molecular tools is in understanding the sudden appearance of *A. catenella* in Thau Lagoon in the Mediterranean after decades of non-detection during monitoring programs. On the basis of rRNA sequencing, this was argued to be a result of human-assisted introduction (Lilly et al., 2002). However, when Masseret et al. (2009) examined these same strains using hypervariable microsatellite markers, relationships emerged that were not apparent from rRNA studies on the same group. Mediterranean populations were shown to be a distinct lineage and therefore other origins must now be explored.

Detailed analyses of past range extensions and ongoing population differentiation require concerted research efforts with regard to population sampling and method development (e.g., of genetic markers for single-cell genotyping). One such successful effort has been the transregional analysis of population genetic structure of the *A. tamarensis* Group I clade from Japan and Korea (Nagai et al., 2007). Here the degree of genetic differentiation of populations was strongly and positively correlated with geographic distance of sampled populations. However, the observed genetic patterns also allowed identification of some geographically defined populations with deviations from the general model that were most plausibly explained by human mediated interference, e.g., by transfer of *A. tamarensis* cells with shellfish stocks.

A recent study that combined genetic models and indirect connectivity, as estimated by oceanographic modeling, showed the existence of a genetic population substructure for *A. minutum* in the Mediterranean Sea (Casabianca et al., 2011). The observed regional genetic structure (i.e., existence of four distinct genotype clusters in their majority formed by isolates from the Adriatic, Ionian, Tyrrhenian or Balearic-Tyrrhenian Sea) was explained by basin-scale transportation patterns through successive generations of vegetative microalgal cells. In contrast to earlier expectations of broad genetic uniformity in planktonic marine microbes, which were based on assumptions of high dispersal capabilities and large population sizes, such strong intraspecific regional genetic patterns might be observed for the majority of *Alexandrium* species and other microorganisms. This is especially true when complex ecological requirements may pose barriers to dispersal during different stages of their life cycles.

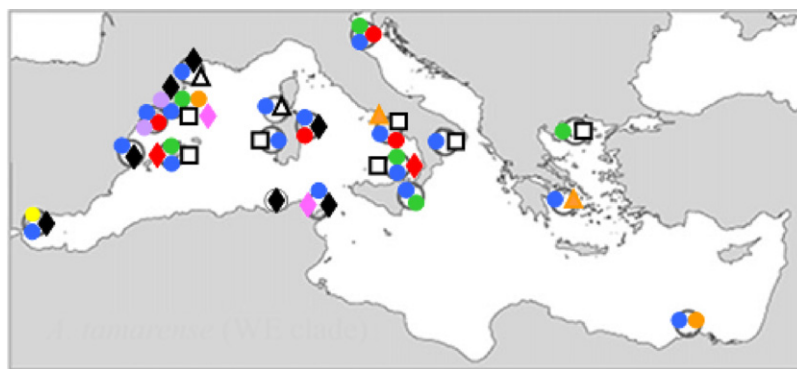


Fig. 2. Distribution of *Alexandrium* species in the Mediterranean Sea, modified from Penna et al. (2008). Open circles represent the sampled stations. Colored circles, square, triangle, and diamond symbols represent the species found by Penna et al. (2008) or by other authors, as defined and based on nucleotide sequences and morphology (see Section 2.3). *Alexandrium andersonii* (▲), *A. minutum* (●), *A. tamutum* (●), *A. peruvianum/A. ostenfeldii* (●), *A. insuetum* (●), *A. margalefi* (◆), *A. pseudogonyaulax* (●), *A. taylori* (●), *A. affine* (●), *A. catenella* Group VI (◆), *A. tamarensis* Group II (□), and Group III (△).

One fascinating aspect of *Alexandrium* biogeography is the distribution of toxic and non-toxic strains of the same species, or of closely related species. Generally, the distributions do not overlap, as is the case for *A. minutum* in Ireland, where toxic forms are found in the south, and non-toxic strains in the west (Touzet et al., 2008a). Two known exceptions are the Shetland Islands in Scotland (Touzet et al., 2010), and Belfast Lough in Northern Ireland (Brosnahan et al., 2010). Toxic and non-toxic species within the *A. tamarensis* complex have been documented in both locations. A possible explanation for this distinct range separation of toxic and non-toxic strains or species was recently demonstrated by Brosnahan et al. (2010) who mated Group I and Group III strains of *A. tamarensis* (toxic and non-toxic, respectively), forming true resting cysts that germinated, but the germling cells could not survive. This reproductive barrier argues that Group I and Group III ribotypes are different biological species and also suggests that biogeographic patterns might be shaped by limited sexual compatibility. Invasions by one type into the range of another may not be successful unless it arrives in overwhelming numbers, because hybridizations are lethal.

3. Life histories

3.1. Life cycle generalities and unique aspects for different species

The life cycle of *Alexandrium* species investigated thus far – as that of most protists – includes different stages that have distinct morphology, physiology and function. Although sharing the same genetic material, the cells of different life cycle stages within a population have important and different functions, but the environmental and/or internal signals that induce transition between those stages are still largely unknown (von Dassow and Montresor, 2011). The reconstruction of the general life cycle pattern, i.e., of the different life stages, can be achieved only with laboratory investigations where cultures are studied under different experimental conditions. Nevertheless, *in situ* studies provide the necessary validation of the experimental approach and are in turn source of new questions for experimental work.

The general scheme of the life cycle of *Alexandrium* species (Fig. 3) can be summarized as follows. There are, however, various aspects (indicated in parentheses below) that may vary from species to species and even among genetically distinct strains of the same species:

- haploid motile stages (cell division modality; chain formation)
- asexual cysts, i.e., pellicle cysts
- haploid gametes (homothallic, heterothallic or complex mating system)
- diploid zygote (fate of the zygote: remains motile, transforms into a long-lived resting cyst, or into a short-term cyst that germinates rapidly)
- diploid non-motile cyst (length of the dormancy period; factors that regulate germination).

3.1.1. The vegetative phase

Alexandrium species – as almost all dinoflagellates – are haploid during their vegetative phase; the diploid stages are the planozygote produced following gamete conjugation (Figueroa et al., 2007) and the sexual cyst or hypnozygote. Vegetative cell division usually occurs through desmoschisis (Figueroa et al., 2007), i.e., each daughter cell maintains half the thecal plates of the mother cells, and couplets of recently divided cells are often recorded in actively growing cultures. A phased cell cycle, with maxima of dividing cells recorded shortly before the end of the dark phase, has been reported for *A. minutum* (Probert et al., 2002).

However, the formation of non-motile division cysts has been reported for three species of the subgenus *Gessnerium*: *A. pseudogonyaulax*, *A. taylorii* and *A. hiranoi* (Kita et al., 1985; Montresor, 1995; Garcés et al., 1998). In *A. pseudogonyaulax*, cells cast off thecal plates and flagella and two (or at times four) flagellated daughter cells emerge from the division cyst (Montresor, 1995). In natural populations of *A. hiranoi* (reported as *A. pseudogonyaulax* in Kita et al., 1985), division cysts are produced at the beginning of the dark period. They settle on the sediments and release two flagellated daughter cells after the initiation of the light phase. In *A. taylorii*, both vegetative division modalities have been reported (Garcés et al., 1998; Giacobbe and Yang, 1999) namely the formation of division cysts, within which 2, 4 or 8 cells were produced, and division through desmoschisis. In the natural environment, the formation of division cysts shows some evidence of a daily rhythm, being preferentially restricted to the dark phase (Garcés et al., 1998).

Chain formation is a definable species characteristic that also represents an example of life stage transition within the vegetative phase; the capability to form long chains is reported for several species such as *A. catenella*, *A. affine*, *A. fraterculus*, *A. cohorticula*, and *A. tamiyavanichii*. Chain formation in *A. catenella* may be stimulated by turbulence (Sullivan et al., 2003), and chain length may decrease in culture, thus suggesting that this feature represents an adaptation to high turbulence upwelling systems. However, this interpretation does not apply to *A. catenella* isolated from Thau Lagoon (Northern Mediterranean), as strains have a high sensitivity to agitation in culture (Collos et al., 2004). Chains of cells have a faster swimming velocity than single cells (Fraga et al., 1989) and might thus migrate diurnally between the deep nutrient-rich layer and the surface. The capability to switch between single cells and chains might also represent a strategy to reduce grazing.

Another stage transition within the vegetative phase is represented by the formation of pellicle cysts, which are non-motile cells surrounded by a thin wall (Anderson and Wall, 1978; pellicle cyst terminology reviewed in Bravo et al. (2010)). Pellicle cysts can be formed as a reaction to environmental stress conditions such as turbulence, the presence of parasites, or passage through the gut of grazers. Pellicle cysts have no mandatory maturation period and can revert to the vegetative motile stage once stress conditions are over. The capability to rapidly turn into a pellicle cyst might represent an effective defense strategy against parasite attacks. In fact, when *A. ostenfeldii* was exposed to the parasitic flagellate *Parvilucifera infectans* or to waterborne cues produced by them, a large fraction of the population became temporary cysts, which were more resistant to parasite infection (Toth et al., 2004).

3.1.2. The sexual phase

Gametes of *Alexandrium* species are either undifferentiated from vegetative cells or are smaller in size. The mechanisms leading to the differentiation of gametes, as well as the modalities of the recognition system between gametes are still unknown. In induction of the sexual phase, conjugation starts after cells pair, facing their ventral side. The appearance of conjugating gametes and formation of larger and biflagellate planozygotes is generally obtained by transferring vegetative cells into diluted N- or P-deprived culture medium (e.g., Anderson and Lindquist, 1985). However, the difficulty of distinguishing gametes in natural populations limits the possibility to link specific nutritional factors with the onset of the sexual phase. In *A. hiranoi*, formation of smaller division cysts producing four smaller motile cells has been interpreted as the process leading to the formation of gametes; these smaller cells fuse and produce a biflagellate swimming zygote or

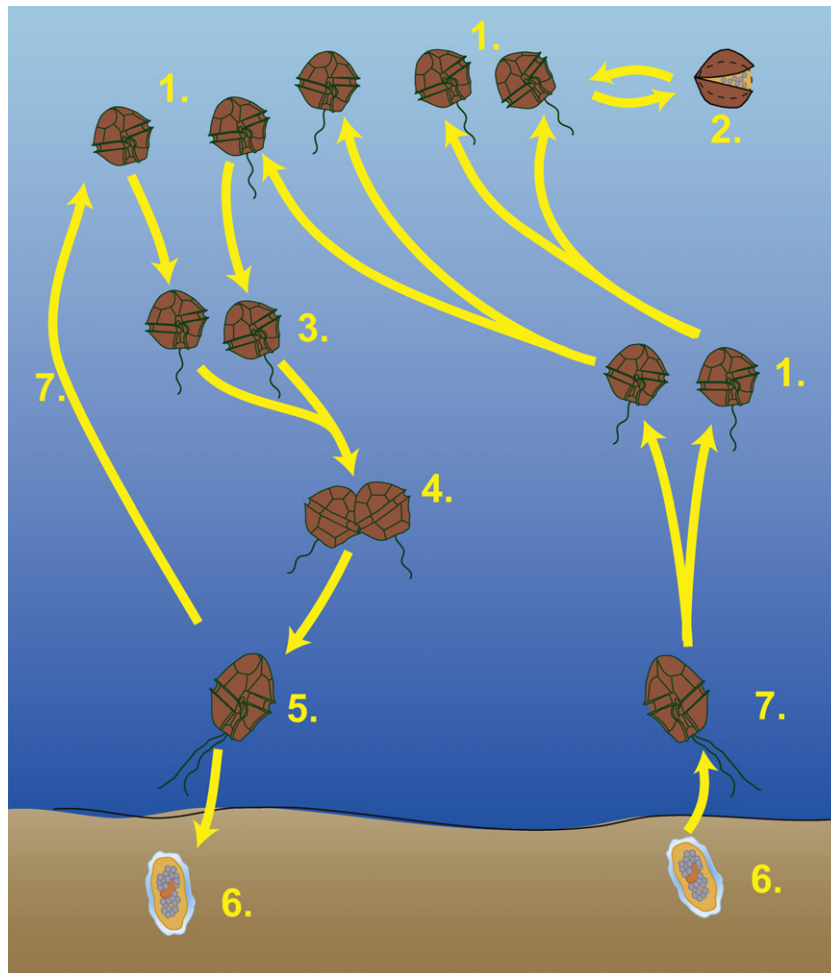


Fig. 3. Schematic representation of the life cycle of heterothallic *Alexandrium* species. Species have a haplontic life cycle, i.e. the motile vegetative cells (1) are haploid. Under specific conditions, usually related to stress, some vegetative cells can transform into a non-motile pellicle cyst (2) that can rapidly switch back to the motile stage when conditions improve. The sexual phase starts with the formation of gametes (3), which conjugate (4) and form a diploid planozygote (5). Depending on environmental conditions, the planozygote can transform into a resting cyst (hypnozygote (6) or, for some species, can undergo meiosis and produce a vegetative cell (1). Cysts can spend variable periods of time in the sediments and, upon germination, release a motile cell termed a planomeiocyte (7) which divides to produce vegetative cells (1).

planozygote (Kita et al., 1993). The inhibitory effect of concavalin A and tunicamycin on the conjugation process in *A. catenella* has been interpreted as evidence for agglutinin-like compounds involved in gamete-gamete recognition (Sawayama et al., 1993).

In the last decade, evidence has been provided for a number of cyst-forming dinoflagellate species, including some *Alexandrium* (*A. minutum*, *A. tamutum* (Figueroa et al., 2007) *A. taylorii* (Figueroa et al., 2006), *A. catenella* (Figueroa et al., 2005), *A. peruvianum* (Figueroa et al., 2008a)) that the transition between planozygote and resting cyst is not an obligate one. Furthermore, the planozygote can indeed undergo multiple alternate transitions, depending on environmental conditions. In *A. taylorii*, the planozygote can either undergo cell division to produce two vegetative cells, or transform into a short-term pellicle cyst, or into a long-term resting cyst (Figueroa et al., 2006). When pairing gametes were isolated into different culture media, direct division prevailed in nutrient replete media, whereas the formation of pellicle cysts mainly occurred in P-depleted medium or in diluted medium, and the formation of thick-walled resting cysts was only observed in N-depleted media. However, the response of planozygotes to different nutrient conditions does not follow a consistent pattern among species. In fact, encystment of *A. catenella* planozygotes was high both in N-depleted medium and in nutrient replete conditions (Figueroa et al., 2005). The high

production of pellicle cysts observed in P-depleted medium for *A. taylorii* was confirmed, and pellicle cysts were able to germinate into a motile vegetative cell within a few days. A similar life cycle pattern in which the planozygote either divided – when transferred into nutrient-replete medium – or transformed into a short-term pellicle cyst when incubated in N- or P-depleted medium was described for *A. peruvianum* (Figueroa et al., 2008a). The formation of sexual resting cysts in this species was observed in culture when mixing strains of opposite mating type, but never observed when individual planozygotes were isolated into different media. This raises the possibility that other factors, such as cell concentration (Uchida, 2001), might play a role in determining the fate of planozygotes.

3.1.2.1. Mating system. The mating system can be assessed by detecting the formation of zygotes in clonal strains, or in pair-wise crosses of clonal strains. In fact, assuming that cysts represent the diploid stage deriving from the fusion of two gametes, the culture resulting from the germination of a cyst contains a mixture of the two parental types. Moreover, evidence for sexual compatibility should be provided by the observation of planozygotes and not only by resting cysts, due to the fact that the two processes might be uncoupled, i.e., planozygotes can be produced but they do not necessarily transform into cysts. Homothallic, heterothallic, and more complex mating systems have been reported within the

genus *Alexandrium*. The first mating studies carried out on *A. catenella* (Yoshimatsu, 1981, 1984) demonstrated a heterothallic mating system, and that the chain of cells produced from the germination of a sexual cyst included two different mating types, i.e., cells in the posterior and anterior half of the chain were different types. In contrast, experiments on monoclonal strains suggested a homothallic system for *A. affine* (Band-Schmidt et al., 2003). The mating system of *A. tamarensense* (as *A. excavatum*) and the reproductive efficiency was investigated by crossing multiple clonal strains and monitoring the presence of fusing gametes, cyst formation and subsequent germination success (Destombe and Cembella, 1990). Both auto-compatible (putatively homothallic) and heterothallic strains were determined, and one strain was capable of crossing with all the others, suggesting that this species has a complex mating system. This system involves a spectrum of mating compatibility rather than two defined mating types, a finding confirmed by Brosnahan et al. (2010).

3.1.2.2. Cyst formation, maturation, and germination. The planozygote formed from gamete fusion can follow different routes, one of which is the formation of hypnozygotic resting cysts, when there is a temporary suspension of germination due to both exogenous and endogenous factors. The length of the maturation period during which germination of newly formed cysts is not possible even under favorable conditions and the factors that induce and modulate encystment and excystment are important in population dynamics. For *Alexandrium* species studied in the laboratory, encystment has been induced by inoculating strains into culture medium with reduced concentration of N- or P-nutrients or into diluted media (e.g. Anderson et al., 1984; Figueroa et al., 2005). Besides depleted nutrients, other factors might influence encystment success (see Olli et al. (2004)) for a discussion of methods and terminology to quantify encystment). Cyst production may vary with temperature (e.g., Anderson et al., 1984) and specific bacteria can play a role in inducing or inhibiting encystment in *A. tamarensense* (e.g., Adachi et al., 1999).

Estimates of the length of the maturation period range widely, from 2 months for the tropical *A. affine* (Band-Schmidt et al., 2003), 28–55 days for Tasmanian populations of *A. catenella* (Hallegraeff et al., 1998), 1–3 months for *A. peruvianum* (Figueroa et al., 2008a), and 12 months for *A. tamarensense* from the St. Lawrence estuary (Castell Perez et al., 1998). When maturation is complete, cysts can germinate if permissive environmental conditions are met. Storage of cysts in the dark and at low temperature synchronized the germination of *A. pseudogonyaulax* cysts upon their re-exposure to the light (Montresor and Marino, 1996). The composition of the encystment medium can also modulate the length of maturation period in *A. catenella*; cysts produced in a diluted medium had a longer maturation period than those produced in N- or P-depleted conditions (Figueroa et al., 2005). Furthermore, maturation took longer when cysts were incubated in full strength medium versus in seawater. Above all, a considerable difference in maximum germination frequency and in germling viability has been detected among experiments carried out with different parental strains, further complicating the delineation of the factors that regulate life cycle transitions. These results call for comparative studies carried out using standardized experimental protocols with different strains for each species, and/or with populations from different geographic areas.

Information on excystment patterns and rates has been obtained from natural cyst assemblages stored under conditions comparable to those recorded in the field, and re-suspended in the light (and at times also in the dark) over a range of temperatures. The advantage of this approach is that cysts are produced under natural conditions and represent the integrated response to environmental factors. Cysts of *A. tamarensense*

collected in the Cape Cod area had a temperature window for germination between 5 and 21 °C (Anderson and Rengefors, 2006). Natural cyst assemblages of the same species collected from Japanese coastal sediments and incubated at conditions matching those recorded in the field showed a clear seasonal pattern of germination, related to low temperature conditions (10–15 °C) in the bottom sediments (Itakura and Yamaguchi, 2001). A broad temperature window for germination (2–16 °C) was described for *A. tamarensense* cysts collected in the cold St. Lawrence estuary (Castell Perez et al., 1998). Excystment was not triggered by exposure to the light or by temperature shifts. The germination of cysts in natural sediments showed a marked seasonality with higher values (>50%) from August to October. The results argued for either a temperature-controlled cyst maturation period, i.e., in colder waters the maturation period is longer, or an endogenous annual clock that controls the timing of germination. Evidence for the second mechanism had been provided for *A. tamarensense* populations collected from the Gulf of Maine, where a clear seasonal pattern of cyst germination was detected under constant conditions and for multiple successive annual cycles (Anderson and Keafer, 1987).

Yet another variation of this mechanism was recently reported by Ni Rathaille and Raine (in press), who could not detect an endogenous annual clock in laboratory-stored *A. minutum* and *A. tamarensense* cysts from Cork Harbor, Ireland. Instead they found seasonality in germination in cysts collected repeatedly from natural sediments. This suggests a type of secondary dormancy (found in higher plants), whereby cyst germination is seasonal, but the patterns of that regulation are determined by the external environment.

3.2. Role of cysts in population dynamics

A common assumption is that cyst “seedbeds” provide the inoculum for blooms of cyst-forming *Alexandrium* species. The concept of a discrete seedbed may not be appropriate in some locations, however, due to the widespread, dispersed distribution of some cysts and the likelihood that germination will occur over a large area. Nevertheless, there is evidence for localized cyst accumulations, both in estuarine systems and in deeper coastal waters, so perhaps these features are more common than previously expected. For example, cyst mapping within the Nauset Marsh System on Cape Cod revealed three highly localized seedbeds at the extreme ends of the complex network of channels and salt ponds that comprise that system (Crespo et al., in press). Not only are the cysts of *A. fundyense* found predominantly in three kettle holes or salt ponds, with virtually no cysts in between, but also detailed field surveys during bloom season documented the tight link between these cyst seedbeds and the areas of bloom initiation and retention within the system. A similar linkage between cyst accumulations in lagoons, harbors, or other such sites is found in the Mediterranean, and is responsible for localized blooms of *A. catenella* in Thau Lagoon (Genovesi et al., 2009) and Tarragona Harbor (Bravo et al., 2008). Examples of cyst seedbeds in deeper coastal waters are less common, perhaps due to the expense and difficulty of large-scale mapping, but some large studies have been conducted, revealing accumulations stretching hundreds of km along the shore, and 50 km or more offshore, such as those for *A. fundyense* in the Gulf of Maine (e.g., Anderson et al., 2005c).

In temperate regions, *Alexandrium* cysts remain quiescent during the winter months i.e., the cysts are mature and capable of germination, but are prevented from doing so by cold temperatures (Anderson, 1998; Anderson and Rengefors, 2006). As discussed above, a remarkable second level of germination control has been demonstrated for *A. fundyense* cysts and for which an

internal, annual clock restricts germination to certain times of the year (Anderson and Keafer, 1987; Matrai et al., 2005). This endogenous annual clock drives the seasonality of *A. fundyense* blooms in deeper, coastal waters where environmental cues in bottom waters are weak.

Anoxia is yet another factor that regulates cyst germination, because cysts can germinate only in the presence of oxygen (Anderson et al., 1987). In bottom sediments, this tends to comprise only those cysts found at the very surface – perhaps the top few millimeters. The number of cysts that contribute to the bloom initiation process is therefore generally small relative to the total number in the sediments. This is in part because more cysts are often buried below the sediment surface than are present in the top, oxygenated layer (Anderson et al., 1982).

The size of the cyst germination inoculum from this surface layer may be small. For example, evidence is now emerging from germination flux experiments in Japanese embayments (Ishikawa et al., 2007) or in temperate salt ponds on Cape Cod (E. Vahtera, unpub. data) that germination rates are a fraction of a percent per day – meaning that 20% or less of the cysts in the top few millimeters of surface sediments might germinate in a 6–8 week season with a germination flux rate of only $\sim 0.4\% \text{ d}^{-1}$. With typical *A. fundyense* cyst concentrations in surface sediments in Cape Cod salt ponds (Crespo et al., in press), a week of germination would lead to an inoculum cell concentration of $\sim 70\text{--}100 \text{ cells L}^{-1}$ at bloom initiation, roughly equivalent to what has been observed in the early stages of such blooms (Anderson et al., 1983; Crespo et al., in press). In subsequent weeks, the germination flux would be similar, but those cells would be greatly outnumbered by dividing cells in the water column. With an estimated inoculum of this size, the magnitude of the resulting bloom population appears to be regulated by factors affecting cell growth and retention, and not by the abundance of cysts in bottom sediments.

As is the case with localized salt ponds and embayments discussed above, examples of discrete cyst seedbeds that lead to large-scale regional blooms do exist. Quantitative cyst maps in deeper, open coastal waters are available for *A. tamarensis* and *A. fundyense* (e.g., Anderson et al., 2005c), *A. catenella* (e.g., Yamaguchi et al., 1995), *A. minutum* (Erard-Le Denn et al., 1993) and *A. ostenfeldii* (MacKenzie et al., 1996). Cembella et al. (1988) argue that *A. tamarensis* cysts along the northern shore of the St. Lawrence estuary initiate the toxic blooms which cause PSP on the south shore and further downstream in the estuary. On the northeast coast of Britain, *A. tamarensis* cyst accumulations in the Firth of Forth have been linked to toxic blooms in the adjacent coastal waters to the north (Lewis et al., 1995). Evidence for the existence of a regional seedbed is also found in studies in the Gulf of Maine where a strong correlation between the abundance of *A. fundyense* cysts and the size of subsequent blooms (expressed as the extent of PSP toxicity closures along the coast) has been documented (McGillicuddy et al., 2011).

3.3. Role of cysts in maintaining population genetic structure and functional diversity

Cysts are long-lived and can be expected to contribute not only to initiation of planktonic populations in the next planktonic growth phase, but as well – although presumably to a lesser extent – to that in consecutive years. Patterns of excystment and subsequent survival and growth are therefore suggested to have considerable influence on the genetic structure of *Alexandrium* populations. According to a conceptual model, derived from microsatellite- and AFLP-based population genetic analyses, cyst seedbeds of *Alexandrium* harbor a similar population genetic structure and diversity to that found in planktonic populations (Alpermann et al., 2009). Interannual differentiation of planktonic

populations as the result of clonal selection and shifts in genotype frequencies due to variations in selective constraints of the environmental regimes is the most likely explanation for observed population genetic substructures. Within a single year, environmental selection for differential growth and encystment can similarly act to establish and reinforce population structure. For example, an *A. fundyense* (Group I) bloom in the northeastern U.S. was shown to contain at least two genetically distinct sub-populations, comprising either early-bloom or late-bloom samples, whose succession is presumably influenced by environmental conditions (Erdner et al., 2011). These temporal differences in population composition are reinforced during the mating and encystment process, as the most probable matings will occur between genotypes from the same sub-population. The resulting cysts will be deposited at different times during the bloom but maintain the distinctive genetic signatures of their sub-populations, thereby maintaining the diversity of the overall regional cyst pool. The phenotypic adaptations of the progeny resulting from the germination of the resting cysts, may be the result of the exogenous environmental factors and the parental origin, as was first demonstrated by Figueroa et al. (2005) with *A. catenella* monoclonal cultures. With their diverse composition of descendants derived from successful growth of planktonic vegetative cells from different years, benthic cyst seedbeds constitute a genetic repository and may contribute substantially to the persistence of resident populations of *Alexandrium* by retaining a high degree of functional genetic diversity.

4. Physiology and nutrition

The traditional diatom bloom model cannot adequately describe *Alexandrium* blooms; as mentioned by Heisler et al. (2008), we need to “move away from simplistic inorganic nutrient-dose-yield models”. Although *Alexandrium* is an opportunistic genus relative to nutrition, simple relationships with classical nutrients should not be expected. *Alexandrium* has the ability to grow in both nutrient-rich (Townsend et al., 2005; Spatharis et al., 2007) in relatively pristine waters (Anderson et al., 2002), but also in waters where nutrient abatement has been carried out (e.g., Collos et al., 2009). It is difficult therefore to generalize about the nutrient-niche of *Alexandrium*, and the nutrient-dependent mechanisms that select for individual genera and among species that will bloom.

4.1. Carbon

Alexandrium species take up inorganic C and produce oxygen like other autotrophs, but, as for other dinoflagellates, respiration (R) appears to be higher than in other phytoplankton classes, both relative to gross photosynthesis (PS) (Falkowski and Owens, 1978) and growth rate (Langdon, 1987). This is thought to be due to high energy requirements for maintenance of their large genome, with motility costs assumed to be negligible (Raven and Richardson, 1984). The compensation irradiance (when PS = R) for *Alexandrium tamarensis* (= *Gonyaulax tamarensis*) was also found to be higher than for representatives of other phytoplankton classes (Falkowski and Owens, 1978). This tends to indicate that *Alexandrium* can be adapted to high irradiances (Smayda, 2008), although evidence to the contrary also exists (Chang and McClean, 1997). No photo-inhibition of growth could be shown up to $800 \mu\text{mol photons m}^{-2} \text{ s}^{-1}$ for a Chilean strain of *A. catenella* (Carignan et al., 2002), but high sensitivity to UVB radiation was demonstrated.

Inorganic C losses through respiration are probably important, but there is apparently very little excretion of organic C by *Alexandrium* (Chen and Wangersky, 1996; Flynn et al., 2008). Inorganic C fixation was found to be influenced by N uptake, either

decreasing (Collos et al., 2004, 2007), or increasing (Leong et al., 2010) as N uptake increased, depending on the cell nutritional state. Uncoupling of C and N metabolism is also exemplified in cultures with large (2–4-fold depending on species and/or strains) increases in C/N ratios following N exhaustion over time scales of 10–17 days (Flynn et al., 1996). Diel changes in C/N cellular ratios also occur in *A. tamarensis* (MacIntyre et al., 1997) and *A. catenella* (Collos et al., 2006). In the former, the amplitude of such variations was higher under N-deficiency (11–18 molC/molN) than under N-sufficiency (7–10 molC/molN).

4.2. Nitrogen

Alexandrium growth rates on nitrate, ammonium and urea have been compared in many laboratory culture studies (e.g., Levasseur et al., 1995; Matsuda et al., 1999; Hamasaki et al., 2001; Dyhrman and Anderson, 2003). Generally, growth rates on ammonium are higher than on nitrate, but the differences are not always significant, except for one *A. catenella* strain (Dyhrman and Anderson, 2003). Urea is taken up by *Alexandrium* and typically supports growth in both laboratory cultures and in the field (Collos et al., 2007). Growth on urea may be lower than on either nitrate or ammonium, but again, the differences are not substantial, except for a strain of *A. catenella* (Matsuda et al., 1999) and one of *A. fundyense* (Levasseur et al., 1995), for which no growth was reported with urea as the sole N-source. John and Flynn (1999) reported that amino-N from amino acids cannot support significant growth of *A. fundyense*. The differences in N-dependent growth observed among strains must be tempered with the caveat that background N concentrations and sources were not always well controlled.

Early studies on *A. tamarensis* showed that soil extract could increase growth relative to that on purely inorganic medium (Prakash, 1967). More detailed work confirmed the role of humic substances in enhancing growth in various media (Prakash and Rashid, 1968; Gagnon et al., 2005). In the latter study, humic additions significantly enhanced growth rates of *A. tamarensis* relative to controls. Concentrations of these humic substances remained constant throughout exponential growth phase, suggesting that they were acting mainly as growth promoters. Carlsson et al. (1998) reported an increase in *A. catenella* growth rate on nitrate-based medium when humic substances of terrestrial origin were added. Doblin et al. (2001) showed that humic substances in equimolar concentrations could replace nitrate as an N source and support similar growth rates of the same species.

Riverine dissolved organic nitrogen (DON; >1 kDa) did not yield significant differences between various ratios of NO₃/DON on growth of *A. tamarensis* in f/2 medium, although chlorophyll content decreased as riverine DON increased (Stolte et al., 2002). In contrast, Fagerberg et al. (2009) reported that *A. minutum* could benefit from riverine high molecular weight (10–100 kDa) DON. Similarly, DON from marine diatom blooms significantly increased (by 34%) the growth rate of *A. catenella* in cultures (Loureiro et al., 2009) relative to growth on nitrate only. Ammonium was not responsible for the increased growth, implying that DON was used directly.

Nitrogen uptake kinetics of *Alexandrium* species are not very different from those of other phytoplankton (Kudela et al., 2010), with the possible exception of linear kinetics, (i.e., no substrate saturation) for urea uptake (Jauzein et al., 2008a), N-loss exemplified by release of nitrite during nitrate assimilation (Flynn and Flynn, 1998) and release of ammonium during urea assimilation (Jauzein et al., 2008a). Multiphasic kinetics allow *Alexandrium* species to exploit patches of elevated nutrient concentrations, but they are also competitive at scavenging low N levels (e.g., Collos et al., 2007). In some cases, substrate inhibition of uptake occurs for ammonium at concentrations of 100 μM (Leong et al., 2010).

There are also large intra-specific differences in uptake and assimilation kinetics (Collos et al., 2006; Jauzein et al., 2008a). Furthermore, for a given strain, changes in kinetic parameters, such as the half-saturation constant (K_s) and maximum uptake rate (V_{max}) occur over the course of a day for both ammonium and urea, in relation with the daily irradiance change (Jauzein et al., 2008a). In natural populations of *A. catenella*, K_s for ammonium can change by an order of magnitude over a time scale of a few days (Collos et al., 2007).

Dark uptake has been observed in *Alexandrium* but mostly for ammonium and urea, with very little nitrate uptake occurring in the dark (MacIsaac et al., 1979), or most nitrate being released as nitrite (Flynn and Flynn, 1998). The dark/light uptake ratios were related to the oxidation state of the N-source (Leong et al., 2010).

The nitrate uptake systems of *A. catenella* and *A. minutum* were shown to be very sensitive to inhibition by ammonium (Collos et al., 2004; Maguer et al., 2007). Ammonium was also found to inhibit the urea uptake system of *A. catenella*, but this phenomenon seemed to be strongly strain-dependent. Whereas strains from Thau lagoon on the French Mediterranean coast were very sensitive, strains from the Spanish Mediterranean coast were much less so, indicating a possible geographical difference linked to different nutrient regimes (Jauzein et al., 2008b).

Alexandrium cells accumulate ammonium internally but there are large inter-specific (Thoresen et al., 1982; Flynn and Flynn, 1998) as well as intra-specific (Collos et al., 2006) differences. In some cases, internal ammonium can represent up to 30% of the total cell N of *A. catenella* strain TL01 (Collos et al., 2006), a high value for phytoplankton but average for dinoflagellates; this was related to high uptake rates. Compared to other dinoflagellates, the N physiology of *Alexandrium* species is characterized by abnormally high internal levels of glutamine and arginine, as possible precursors of PSP toxins (e.g., Anderson et al., 1990).

4.3. Phosphorus

Although in most instances inorganic P is considered to be the primary P-nutrient for natural *Alexandrium* bloom populations, organic P compounds such as adenosine triphosphate or guanosine diphosphate can increase the growth rate of some *Alexandrium* species significantly (Matsuda et al., 1999). Glycerophosphate is also sometimes used as a better P-source than inorganic phosphate in culture medium (Prakash, 1967; Achiha and Iwasaki, 1990; but see Matsuda et al., 1999). Low molecular weight organic-P, such as phosphomonoesters, is apparently hydrolyzed to inorganic phosphate before being used for growth (Gagnon et al., 2005).

Inorganic P uptake for *Alexandrium* has been characterized in a few studies (Cembella et al., 1984; Yamamoto and Tarutani, 1999; Ou et al., 2008). Half-saturation constants range from 0.01 to 2.6 μM, and were related to growth rate in *A. catenella* (Jauzein et al., 2010). No multiphasic kinetics have been reported, but the range of concentrations tested so far is also limited. *Alexandrium* appears to be a “storage specialist” in that it can use phosphate pulses for luxury consumption and storage for future use during periods of P-depletion (Yamamoto and Tarutani, 1999; Labry et al., 2008).

4.4. Trace metals and vitamins

Early studies reported high iron (Fe) requirements for *Alexandrium* (Anderson and Morel, 1979; Doucette et al., 1989). Very recently, He et al. (2010) studied the effect of Fe limitation on *A. tamarensis*. Growth rate and chlorophyll a content were reduced by half, and protein by a factor of three in Fe-limited cells (1 nM Fe) relative to Fe-replete controls (1 μM Fe).

Siu et al. (1997) studied in detail the metal requirements for *A. catenella*. The optimal ranges found for cobalt, copper, iron,

manganese, molybdenum, selenium and zinc do not deviate significantly from the composition of commonly used culture media, with the possible exception of selenium. The latter was found to be required in the range 20–100 nM, whereas, the concentration recommended in recent artificial seawater (e.g., ESAW) recipes is only 1 nM and 10 nM in K and L1 media supplements to natural seawater (Andersen et al., 2005). Both selenium and nickel are now often added for growing *Alexandrium* species, e.g., for growth of *A. fundyense* in f/2 medium with urea as N-source (Taronger-Oldenburg et al., 1997). In contrast, other metals such as copper are sometimes reduced to grow *Alexandrium* (Taronger-Oldenburg et al., 1997), relative to concentrations given for f/2 medium.

Vitamin requirements of *A. catenella* from Hong Kong waters (Siu et al., 1997) do not deviate from those of other phytoplankton. This contrasts with a strain of *A. catenella* from the South China Sea and of *A. minutum* from Rio de Vigo (Spain), which required cyanocobalamin only, but neither biotin nor thiamine (Tang et al., 2010).

4.5. Mixotrophy

In one of the earliest studies on toxin production in *Alexandrium*, Proctor et al. (1975) reported uptake of fourteen ^{14}C -labeled organic compounds by *A. catenella*, the most prominently retained in cells being guanine, guanosine, formate and urea. For *Alexandrium* species, there is evidence for uptake of large molecules such as dextran-labeled with fluorescent markers (Legrand and Carlsson, 1998), and humic substances labeled with ^{14}C (Doblin et al., 2001). Those humic substances are thought to play a role as growth promoters (by complexing metals or affecting nutrient transport mechanisms) rather than sources of nutrients (Gagnon et al., 2005).

Urea assimilation by *Alexandrium* involves the enzyme urease (Dyhrman and Anderson, 2003). Urease activity was highest in N-starved and urea-grown cultures, and undetectable in nitrate-grown cultures. Indirect evidence from mass balance considerations indicates use of DON other than urea both in laboratory cultures (Collos et al., 2004, 2006) and natural populations of *A. catenella* (Collos et al., 2007).

Under P deficient conditions, some *Alexandrium* species are known to produce alkaline phosphatase (Oh et al., 2002; Ou et al., 2006) allowing use of organic P. Although Flynn et al. (1996) could not establish alkaline phosphatase activity as an indicator of phosphate stress in three *Alexandrium* species, Oh et al. (2002) and Jauzein et al. (2010) reported synthesis of this enzyme below an inorganic P threshold of 0.4–1 μM in *A. tamarensis* and *A. catenella*.

4.6. Phagotrophy

Phagotrophy is apparently widespread among *Alexandrium* species (Jeong et al., 2010). Both bacteria and flagellates have been observed in food vacuoles of *Alexandrium* (Jeong et al., 2004). *A. ostentfeldii* is also known as a mixotroph with phagotrophic capabilities, based on examination of food vacuoles (Jacobson and Anderson, 1996). In a recent review of the phagotrophic capacities of mixotrophic dinoflagellates (Jeong et al., 2010), *A. minutum* was reported to ingest cyanobacteria, and *A. catenella* both heterotrophic bacteria and cyanobacteria, but *A. tamarensis* could also ingest other prey such as haptophytes, cryptophytes, small diatoms, the raphidophyte *Heterosigma akashiwo* and the dinoflagellates *Amphidinium carterae* and *Prorocentrum minimum*.

4.7. Heterogeneity in gross (or intrinsic) growth rates

Alexandrium species will grow in a variety of media, based either on natural seawater enrichments (e.g., f/2, K, L1) or artificial (e.g., AK, Aquil, ESAW) seawater. Genetic variability in growth rate

is extensive among *Alexandrium* species and strains even when grown under standard conditions. *Alexandrium tamarensis*, for example, can exhibit a range of growth rates (μ) of up to 1.0 d^{-1} . Brand (1981) recorded a high range of μ from 0.19 to 0.66 d^{-1} for 75 clones of *A. tamarensis* grown under identical conditions, whereas Costas (1990) found a larger and Tillmann et al. (2009) a lower clonal variation in μ . It may be significant that the highest μ ever recorded for this species was for a culture incubated under natural irradiance and temperature (Smayda, 1996).

For *A. catenella*, the highest μ recorded in laboratory cultures was 0.55 d^{-1} (Matsuda et al., 1999; Collos et al., 2004), still lower than the highest gross μ (0.89 d^{-1}) recorded for monospecific blooms of the same species by the dilution method (Collos et al., 2007). These discrepancies seem to point out possible inadequacies of culture media and/or culture conditions relative to the natural environment, and the consequent possible underestimation of growth rate of *Alexandrium* species under laboratory conditions. This is important regarding, for example, the wide and long-standing debate on the relative growth rates of diatoms and dinoflagellates, and determination of realistic rates for parameterization of predictive bloom models.

5. Toxins and allelochemical interactions

The genus *Alexandrium* is notorious for the production of potent neurotoxins and other unrelated allelochemicals affecting species interactions and the health of marine fauna, as well as of human seafood consumers via paralytic shellfish poisoning (PSP). *Alexandrium* has the distinction of being the first dinoflagellate genus associated unequivocally as the source of phycotoxins affecting human health. Investigations on the cause of toxicity in shellfish established a link between *A. catenella* (referred to as *Gonyaulax catenella*) in the water column and shellfish toxicity at the Pacific coast and for *A. tamarensis* (referred to as *Gonyaulax tamarensis*) at the Atlantic coast of North America (reviewed by Prakash et al., 1971). The key neurotoxic tetrahydropurine alkaloid saxitoxin (STX) was isolated and characterized from cultures of *A. catenella* (Schantz et al., 1966). The advent of liquid chromatography coupled to tandem mass spectrometry, in concert with high resolution NMR, for structural elucidation has led to the characterization of more than a dozen naturally occurring PSP toxin analogues among various *Alexandrium* species.

Although *Alexandrium* is not the unique source of PSP toxins among dinoflagellates – these toxins are also produced by *Gymnodinium catenatum* and *Pyrodinium bahamense*, as well as several genera of predominantly freshwater cyanobacteria – the wide distribution of this genus renders it the most globally important producer. In this review the multifaceted aspects related to toxigenicity of *Alexandrium* are restricted to a focus on highlights of three major issues: (1) the validity of toxin composition profiles as chemotaxonomic and phenotypic markers within and among *Alexandrium* species; (2) biosynthesis of saxitoxin and analogues and spirolides; and (3) allelochemical interactions between and among species.

5.1. Variation in toxin content and composition

In *Alexandrium*, the composition of PSP toxins typically includes several members of one or more of the following sub-groups: (1) carbamoyl toxins, including saxitoxin (STX), neosaxitoxin (NEO) and the C-11 O-sulfated analogues gonyautoxins (GTX1–GTX4) and (2) N-21 sulfocarbamoyl analogues (B1 = GTX5, B2 = GTX6, C1–C4). *Alexandrium* strains produce different relative amounts of these derivatives, but the composition is a stable phenotypic trait and significant shifts tend to occur only under rather extreme change in

growth regime in batch and semi-continuous cultures (e.g., Hall, 1982; Boyer et al., 1987; Boczar et al., 1988; Anderson et al., 1990).

The production of a certain suite of toxins seems to be fixed genetically for each clonal strain of *Alexandrium* (Anderson et al., 1990; Cembella, 1998 and references therein). Although the PSP toxin profile varies widely within and among *Alexandrium* species, general characteristics can usually serve to identify the distinction from the toxin composition of other dinoflagellate genera (*Pyrodinium* and *Gymnodinium*) and cyanobacteria, or as sequestered in shellfish. For example, in *Alexandrium* species, decarbamoyl derivatives (dcSTX, dcNEO, dcCTX1–4) and the N-21 sulfocarbamoyl analogues C3, C4 are rarely found. Within *Alexandrium*, it is sometimes but not always possible to identify species-specific toxin markers. Members of the *A. minutum* group (including also *A. ibericum*, *A. lusitanicum*, *A. angustitabulatum*) tend to produce primarily or exclusively gonyautoxins (GTX1–GTX4) (Cembella et al., 1987). Among species of the *A. tamarensis* complex, however, toxin profiles are too diverse to be diagnostic for species discrimination.

Cellular toxin content is a less stable phenotypic character of a clonal isolate of *Alexandrium* than its toxin profile (Cembella et al., 1987). Average cellular toxin content of toxigenic *Alexandrium* isolates varies considerably (up to an order of magnitude) among different growth phases and environmental regimes in batch cultures, with maxima usually found in exponential phase and under P-limitation (e.g., Boczar et al., 1988; Anderson et al., 1990). Furthermore, within *Alexandrium* species, clone-specific toxin content can vary from undetectable to >100 fmol cell⁻¹, even among clones isolated from the same geographical population. This implies that cell PSP toxin content is not reliable as a species-, ribotype-, or population-characteristic and must be interpreted cautiously. Even though distributions of toxin phenotypes of *A. minutum* appeared not to overlap in Irish coastal waters, with toxic forms found in the south, and non-toxic strains in the west (Touzet et al., 2008a,b), toxic and non-toxic strains of *A. minutum* cluster together in phylogenetic analyses (Lilly et al., 2005). On the other hand, both toxic and non-toxic phenotypes, corresponding to the Group I and Group III clades within the *A. tamarensis* complex (Lilly et al., 2007) have been documented to co-occur geographically in the Shetland Islands in Scotland (Touzet et al., 2010) and Belfast Lough in Northern Ireland (Brosnahan et al., 2010).

Investigations on PSP toxin composition of *Alexandrium* isolates and natural population have interpreted toxin profiles chemotaxonomically to differentiate among morphotypic and genotypic variants within and among species and geographical populations (Cembella et al., 1987; Anderson et al., 1994). These early studies revealed considerable inter-population variation in toxin composition not only between different locations, but also among isolates within geographical populations, although biogeographical trends could often be discerned. In the Gulf of Maine, the apparent northward gradient of increasing cell toxicity was attributed to differences in total cellular toxin content, as well as to a progressive shift in relative composition to more highly toxic carbamoyl derivatives (Anderson et al., 1994). Multivariate statistical techniques applied to toxin composition data from regionally separated populations showed that in some cases regional populations of *Alexandrium* can clearly be distinguished from others by toxin profiles (Cembella et al., 1987; Anderson et al., 1994; Cembella and Destombe, 1996). Comparison of PSP toxin composition of field samples of planktonic *A. tamarensis* populations from different sampling sites in eastern Canada (Cembella and Destombe, 1996) serves to illustrate biogeographical patterns. Populations from the Bay of Fundy and the St. Lawrence estuary display homogeneity in the relative amounts of PSP toxins, whereas populations from Nova Scotia were characterized by larger intra-regional differences in toxin composition. Based upon

toxin profiles as a chemotaxonomic character at the population level, this implies that the St. Lawrence populations are well mixed and presumably seeded from the same cyst beds at the northern shore of the estuary. Yet they are clearly distinct from the other eastern populations from Nova Scotia, indicating a geographical separation that leads to reproductive isolation of populations.

There are two possible explanations for the development of inter-population differences in PSP toxin composition among *A. tamarensis/fundyense* (Group I ribotype) populations at the Atlantic coast of North America (Anderson et al., 1994). One explanation is that environmental factors favor the selection of certain phenotypically differentiated individuals originating from a common cyst bed. Such locally differing selection during development of vegetative growing populations could lead to the establishment of phenotypically differentiated bloom populations after dispersal to different regions. Alternatively, dispersal of *Alexandrium* populations from different centers of origin may explain inter-population differences in the relative composition of PSP toxins. Whereas the first mechanism is based on the idea of short-term differentiation of planktonic populations, the second implies long-term processes, which might be enhanced by prevailing current patterns.

These two hypotheses were tested using microsatellite analyses of temporally and geographically separated samples from a widespread *A. fundyense* bloom in the Gulf of Maine (Erdner et al., 2011). Results indicate that *Alexandrium* blooms derive from a single regional population of *A. fundyense* comprising at least two genetically distinct sub-populations. These subpopulations were characteristic of early- and late-bloom samples and were collected from the northern and southern areas of the bloom, respectively. The presence of genotypes from both sub-populations in mid-bloom samples from north of Cape Cod, combined with drifter data on current patterns, does not support the presence of separate north and south centers of origin for the bloom. Although the definitive test of these two alternatives – determination of the genetic composition of the cyst seedbeds – remains to be done, it is most likely that *Alexandrium* blooms in this region originate from a common cyst source, congruent with the former hypothesis of Anderson et al. (1994) and the conceptual model proposed by Alpermann et al. (2009).

Distribution of toxin phenotypes of *A. ostenfeldii*, which may produce spirolides and/or PSP toxins, may be interpreted similarly, but available data are more limited than for the *A. tamarensis* complex (Cembella and Krock, 2007). Nevertheless, stability of the spirolide toxin profiles indicates that they may also serve as phenotypic or chemotaxonomic markers. Strains of *A. ostenfeldii* from New Zealand and the Baltic Sea tend to produce exclusively PSP toxins, whereas those from Nova Scotia yield only spirolides, and some from Denmark can synthesize both toxin groups. The spirolide profiles of both isolates and field populations from the northwestern Atlantic are often heavily dominated by 13-desmethyl spirolide C (13-desmeC), but may also contain variants such as spirolide A, B, C or D-type (Cembella et al., 2000, 2001). In contrast, an isolate from the North Sea coast of Scotland yields exclusively 20-methyl spirolide G (20-meG), whereas one from the Celtic Sea contains this analogue, but also slight amounts of 13-desmeC. Multi-year samples of field populations containing *A. ostenfeldii* from the North Sea and adjacent waters consistently showed 20-meG as dominant, albeit that 13-desmeC was also often present, particularly along the Irish coast. In comparison, Mediterranean isolates contain overwhelmingly 13-desmeC. Analysis of spirolide toxin profiles from natural populations and isolates of *A. ostenfeldii* from the Gulf of Maine revealed not only the regional diversity among populations but also the presence of five distinct spirolide toxin phenotypes among isolates (Gribble et al., 2005).

These examples illustrate that biosynthesis of particular toxin analogues is subject to inter- and intraspecific variation, including at the population level and even in some cases among clones within a population. In any case, the interpretation of cell toxin content and composition as phenotypic markers in natural populations of *Alexandrium* or from clonal isolates is subject to major limitations and pre-conditions that are not usually fulfilled in most studies. First, determination of cell toxin content and composition from mixed assemblages can include several *Alexandrium* taxa that may not be resolved morphologically or genetically. Second, in multiclonal populations the cell toxin content and profile can only represent the mean of the relative distribution of toxin phenotypes. Finally, often only one or a few clonal isolates are selected to represent the population without reference to genetic heterogeneity. This invokes the “genetics of survivors” and autecological dependency limitations for population studies. In one of the rare studies comparing PSP toxin variation with genetic markers for a high number of cultured isolates (88 clones), Alpermann et al. (2010) addressed this issue and showed that within a geographical population of *A. tamarensis* (Group I/North American ribotype) from the North Sea, PSP toxin composition was highly heterogeneous among clones. Nevertheless, cluster analysis did reveal hierarchical grouping according to toxin profiles, but no clear linkage to molecular markers such as AFLP and microsatellites. Similar findings were obtained for *A. fundyense* populations from the Gulf of Maine (D.M. Anderson, unpub. data).

5.2. Toxin biosynthesis

The biosynthesis and gene regulation of the tetrahydropurine saxitoxin and analogues in dinoflagellates, and particularly among *Alexandrium* species, has long been the subject of intensive speculation and research interest. Based upon stable isotope precursor labeling experiments followed by NMR for both the cyanobacterium *Aphanizomenon flos-aquae* and *A. tamarensis*, Shimizu (1996) proposed a unique biosynthetic pathway for saxitoxin (STX) involving arginine, acetate, and methionine as building blocks, with assembly initiated by a Claisen condensation between arginine and acetate. Characterization of putative PSP toxin biotransformation enzymes (e.g., N-sulfotransferases) from *A. catenella* and *Gymnodinium catenatum* (Ishida et al., 1998) also tended to support the proposed biosynthetic pathway for both dinoflagellates and cyanobacteria. The fact that PSP toxin profiles in *Alexandrium* exhibit a biparental inheritance pattern that is consistent with Mendelian segregation implies that expression of a specific toxin profile is regulated by nuclear genes (Sako et al., 1992).

Nevertheless, until recently the nature of the saxitoxin biosynthetic genes in *Alexandrium* has remained elusive. As is the case with most large-celled free-living dinoflagellates, *Alexandrium* has a huge nuclear genome (>200 pg DNA), comprising a high number (up to about 150) of chromosomes with permanently condensed chromatin, and lacking canonical histones, but rich in modified nucleotides, and with a high G–C base pair ratio. These factors, in addition to the complexity of genes organized as tandem repeats or with multiple introns, and transcribed by a spliced-leader trans-splicing mechanism (Lin et al., 2010), have to date confounded the sequencing of the *Alexandrium* genome. An early study of *A. fundyense* based upon differential display of genes (Taroncher-Oldenburg and Anderson, 2000) following cell synchronization identified three genes, S-adenosylhomocysteine hydrolase, methionine aminopeptidase, and a histone-like protein, possibly related to PSP toxin production. More recent analysis of expressed sequence tags (ESTs), short sub-sequences transcribed from cDNA libraries, for *A. fundyense*

(Hackett et al., 2005), *A. ostenfeldii* (Jaeckisch et al., 2008), and *A. minutum* (Yang et al., 2010) has facilitated the search for toxin biosynthetic genes. An EST library constructed for the dinoflagellate *A. minutum* (Yang et al., 2010), combined with the application of an oligonucleotide microarray uncovered 192 differentially expressed genes between toxic and non-toxic strains. Although candidate genes for possible involvement in growth regulation and/or toxin biosynthesis were found, there were no confirmed hits for the PSP toxin biosynthetic genes as in cyanobacteria.

In contrast to the biosynthesis of PSP toxins in synchronized *A. fundyense* cells, which occurs in G1 phase of the cell cycle following a light-dependent transition (Taroncher-Oldenburg et al., 1997; Taroncher-Oldenburg and Anderson, 2000), spirolide biosynthesis in *A. ostenfeldii* is restricted primarily to the G2 phase (John et al., 2001). Stable isotope feeding experiments with *A. ostenfeldii* followed by NMR (MacKinnon et al., 2006) confirmed the biosynthesis of spirolide 13-desmethyl C as a polyketide derived from acetate units, with the imine moiety derived intact from glycine. Comparative and functional genomic analysis of an EST library of *A. ostenfeldii* (Jaeckisch et al., 2008) was successful in identifying a range of polyketide synthase (PKS) genes with high sequence conservation in respect to other dinoflagellates producing polyketide toxins, such as *Karenia brevis* (Monroe and Van Dolah, 2008). Specific association of particular PKS genes with spirolide biosynthesis has not yet been confirmed.

The discovery of a saxitoxin gene cluster (*sxt*) and a biochemically determined plausible biosynthetic pathway for saxitoxin in the cyanobacterium *Cylindrospermopsis raciborskii* (Kellmann et al., 2008), and variations of the *sxt* cluster to account for the biosynthesis of sulfated analogues (GTXs) in other cyanobacteria (Soto-Liebe et al., 2010) dramatically accelerated the search for homologous gene clusters in *Alexandrium*. Mass sequencing of mRNA transcripts from saxitoxin-producing strains of *Alexandrium* and several other STX-producing dinoflagellates, coupled with *in silico* transcriptome analyses and various PCR techniques, successfully identified such STX-synthesis genes (Stüken et al., 2011; Hackett et al., in press). Hackett et al. (in press) identified 265 putative homologs of 14 cyanobacterial STX synthesis genes, including all of the genes directly involved in toxin synthesis in cyanobacteria (Kellmann et al., 2008). The *Alexandrium* transcripts of the *sxtA* gene have the same domain structure as those from cyanobacterial homologs, but the dinoflagellate transcripts are monocistronic, occur in multiple copies, and contain typical dinoflagellate spliced-leader sequences. Furthermore, investigation of STX-producing and non-producing dinoflagellate strains from six different genera showed congruence for the presence of the *sxtA* gene and STX-synthesis, except for three strains of *A. tamarensis*, for which *sxtA* was amplified without evidence of STX or derivatives (Stüken et al., 2011).

In spite of the fact that the basic pathway for STX biosynthesis is generally consistent with that proposed originally by Shimizu (1996) for both cyanobacteria and dinoflagellates, molecular evidence now suggests that the functional homologs of *sxtA*, *sxtG* and *sxtB* arose independently in dinoflagellates and cyanobacteria (Hackett et al., in press; Stüken et al., 2011).

5.3. Allelochemical interactions

Allelochemical activity towards potential protistan and macrozooplankton grazers and/or resource competitors has been widely documented among *Alexandrium* species. Against other protists, allelochemical effects of exposure to *Alexandrium* cells or cell-free culture medium (filtrate) of *Alexandrium* spp. typically results in immobilization of target cells followed by their lysis or cyst formation (Tillmann and John, 2002; Fistarol et al., 2004). Addition of filtered culture medium of an allelopathic strain of *A. tamarensis*

to a natural plankton assemblage provoked drastic alterations in the experimental plankton community and especially a marked reduction of ciliate micrograzers. Protists shown to be sensitive to *Alexandrium* allelochemical activity include various diatoms, haptophytes, cryptophytes, chlorophytes, ciliates and even other dinoflagellates; the latter group includes both obligate autotrophic and heterotrophic as well as mixotrophic species (e.g., Hansen, 1989; Arzul et al., 1999; Tillmann and John, 2002; Tillmann et al., 2007). The potency and wide spectrum of putative targets suggests that allelochemical interactions may be highly adaptive and play an important role in *Alexandrium* bloom dynamics and ecological niche differentiation. However, allelopathic activity is not ubiquitous among *Alexandrium* populations or universally effective against all potential targets in natural plankton assemblages; even in extremely dense *Alexandrium* blooms grazing by tintinnid ciliates can contribute to bloom termination (Sorokin et al., 1996).

Neither the chemical nature of the allelochemicals nor their genetic regulation and mode of action are well understood for *Alexandrium* species. Given the frequent occurrence of saxitoxin and analogues among *Alexandrium* populations, it has long been postulated and even assumed that these potent sodium-channel blocking neurotoxins act ecologically as a classic chemical defense against grazers and competitors (reviewed by Cembella, 2003) in the “watery arms race” *sensu* Smetacek (2001). This interpretation is now considered overly simplistic or perhaps even generally inaccurate for *Alexandrium*.

Studies of various copepod species grazing upon *Alexandrium* species and isolates differing in PSP toxin content and composition have yielded widely diverging responses. The differential responses among copepods range from loss of swimming coordination and physiological incapacitation through toxin-dependent differential grazing, chemically mediated avoidance and post-ingestion rejection behavior, to no apparent relationship between cellular composition of PSP toxins and grazing behavior, grazer mortality or fecundity (reviewed by Turner et al., 1998). Thus the presence of a universal defense mechanism against copepods linked directly to PSP toxin content or composition of *Alexandrium* cells appears not to be sustainable.

Lytic allelochemical activity of selected strains of *Alexandrium* spp. towards a wide variety of both photoautotrophic and heterotrophic protists was apparently unrelated to the cellular PSP toxin content (Tillmann and John, 2002). Further experiments with multiple clones of *A. tamarensis* from the Scottish east (Tillmann et al., 2009) showed high clonal heterogeneity in lytic potency against the cryptophyte *Rhodomonas salina* and the heterotrophic dinoflagellate predator *Oxyrrhis marina*, but without obvious association to cellular PSP toxin content or composition. These results indicate that PSP toxins are not the primary allelochemical in *Alexandrium* and may not be crucial in determining outcomes of competitive or grazing interactions among protists in natural assemblages.

In the first experiments on grazing interactions of *A. ostenfeldii* and the tintinnid *Favella ehrenbergii* the presence of PSP toxins (albeit as very low cellular levels) was proposed as possible waterborne cues to account for the threshold-dependent retrograde swimming behavior and grazing inhibition of the tintinnid (Hansen et al., 1992). Yet other experiments with this tintinnid exposed to multiple clones of *A. tamarensis* (Hansen, 1989) that varied widely in PSP toxin content failed to show a relationship of these toxins to tintinnid growth or behavior. The later discovery of spirolides in the isolates of *A. ostenfeldii* used in the tintinnid experiments (Hansen, 1989; Cembella et al., 2000, 2001) suggested that spirolides were acting as allelochemicals. This possible linkage was disproved, however, in experiments with *A. ostenfeldii* strains exposed to a wide variety of heterotrophic and phototrophic protists, which

showed that lytic activity was independent of spirolide content (Tillmann et al., 2007).

The potent immobilization and lytic activity of *Alexandrium* allelochemicals against protistan cells appears to target external cell membranes (Ma et al., 2009). Furthermore, it is now clear that this activity is not mediated primarily (if at all) by known low molecular weight phycotoxins. In fact, it appears likely that a complex of allelochemicals, as originally suggested by Arzul et al. (1999) and/or high molecular weight (perhaps macromolecular) components may be involved. Most recent evidence indicates that lytic compounds from *A. tamarensis* increase permeability of the cell membrane for Ca^{2+} ions, but do not specifically bind to these ion channels or cause non-specific lysis of target membranes by detergent-like activity (Ma et al., 2011). Furthermore, although the molecular targets of the lytic compounds are likely to involve sterol components of membranes, the high molecular weight (between 7 kDa and 15 kDa) precludes a direct analogy to the mode of action of karlotoxins.

Other allelochemicals of the genus *Alexandrium* include a heat-labile exotoxin from *A. minutum* (Lush et al., 2001) with potent toxicity towards the brine shrimp *Artemia salina*. A hemolytic exotoxin with a molecular weight >10 kDa and described as proteinaceous has been isolated from *A. taylori* (Emura et al., 2004), and a novel high molecular weight (about 1000 kDa) hemolytic and cytotoxic compound, most likely polysaccharide-based, was reported from *A. tamarensis* (Yamasaki et al., 2008). Nevertheless, different chemical and physical properties and the apparent lack of either polysaccharide or proteinaceous components in the structure of the lytic allelochemicals from *A. tamarensis* that are effective against other protists suggest that these compounds are not related.

Allelochemicals may be produced by *Alexandrium* as effectors of other species, or transduced by *Alexandrium* cells to elicit targeted behavioral and gene expression responses. In the latter case, *A. tamarensis* cell chains were shown to reduce encounter rates with grazers by splitting into single cells or shorter chains and slowing down swimming speed when exposed to waterborne copepod cues (Selander et al., 2011). Naturally occurring concentrations of copepods may provoke a >25-fold increase in cell PSP toxin content in *A. minutum*, which has also been shown to correlate with increased resistance to copepod grazing (Selander et al., 2006). Waterborne cues of copepods induce change in both cell PSP toxin content and gene expression profiles in *Alexandrium* spp. (e.g., Wohlrab et al., 2010; Yang et al., 2011). In a transcriptomic model study of copepod-induced shift-up in cell PSP toxin content in *A. minutum* based upon a DNA microarray (Yang et al., 2011), a limited set of 14 genes were differentially regulated by exposure to water borne cues from copepods. Exposure of *A. tamarensis* to three copepod species (*Calanus helgolandicus*, *Acartia clausii*, and *Oithona similis*) and their corresponding waterborne cues also substantiated the potential for a rapid increase in PSP toxin content in the dinoflagellate (Wohlrab et al., 2010). This functional genomic approach indicated that regulation of serine/threonine kinase signaling pathways has a major influence in directing the copepod-cues into different intracellular cascades and networks in *A. tamarensis*. Bidirectional allelochemical interactions provide a plausible basis for co-evolutionary mechanisms between *Alexandrium* and its predators and competitors in natural bloom populations.

6. Bloom dynamics

6.1. General mechanisms

The complexities of *Alexandrium* blooms in dynamic coastal or estuarine systems are far from understood. One common

characteristic of such blooms is that the coupling between physics and biological “behavior” such as swimming, vertical migration, or physiological adaptation holds the key for understanding these phenomena, yet this is perhaps where our knowledge of this genus is weakest.

Once vegetative cells enter the water column following cyst germination, their net growth and transport are heavily affected by circulation, nutrients, stratification, and other chemical or physical factors (see also biological loss terms below). Although many of these interactions remain uncharacterized, blooms of several *Alexandrium* species have been linked to particular water masses. There are many examples of the importance of fronts in HAB bloom dynamics. For example, patterns of PSP toxicity and *A. tamarensis* cell distributions in the lower St. Lawrence estuary have been linked to the plume produced by the Manicouagan and Aux-Outardes rivers (Therriault et al., 1985). The trans-estuarine freshwater plume generates a highly stratified water column that favors proliferation and retention of vertically migrating *Alexandrium*. The frontal system generated by the Manicouagan and Aux-Outardes plume serves as an initiation zone and the Gaspé current as a transport pathway along the south shore. The physical system is not the entire story, however. Although the riverine plume is essential for *A. tamarensis*, this species is most abundant during mid- to late-summer, even though the characteristics of the plume and the front are well-established for a much longer interval. Clearly, other factors are regulating *A. tamarensis* dynamics. Therriault et al. (1985) suggested that *A. tamarensis* blooms in the St. Lawrence develop only when the proper combination of meteorological and hydrodynamic factors coincide to produce high surface water temperatures, maximum water column stability, low nutrients, and low winds. These dynamics have been explored in a bloom modeling study of the region by Fauchot et al. (2008).

Another example of the importance of physical forcings in *Alexandrium* bloom dynamics is in the Gulf of Maine, where the temporal and spatial pattern of persistent PSP outbreaks have been linked to a large-scale coastal current system that traverses the Gulf (Franks and Anderson, 1992; Anderson et al., 2005a,d). Conceptual models of *A. fundyense* bloom dynamics (Anderson et al., 2005c; McGillicuddy et al., 2005) include key features such as two large cyst “seedbeds” – one in the Bay of Fundy and the other offshore of mid-coast Maine. Cysts germinate from the Bay of Fundy seedbed, causing recurrent coastal blooms in the bay that are self-seeding with respect to future outbreaks in that area. The blooms also contribute to populations in the eastern section of the Gulf as some cells escape the Bay of Fundy and enter the eastern segment of the Maine coastal current (EMCC) where they form blooms. Some *Alexandrium* cells travel south and west with that current, while others are deposited as cysts in the mid-coast Maine seedbed. In subsequent years, these latter cysts (combined with cells from the EMCC) inoculate blooms that cause toxicity in western portions of the Gulf and possibly offshore waters as well.

Another important unknown in the coastal blooms concerns the possible stimulation of *Alexandrium* growth by the unique chemistry of freshwater plumes. More *Alexandrium* cells are typically found within rather than outside the low salinity plumes (e.g., Therriault et al., 1985; Franks and Anderson, 1992), but this could be a result of small-scale physics interacting with the cells migration behavior, or a reflection of higher growth rates within the plume. Freshwater runoff from the heavily forested watershed of the Maine coast contains significant levels of dissolved and particulate organic matter as well as metals and other micronutrients. Some components of this mixture could be critical to the rapid growth of *Alexandrium* cells. Iron is a likely candidate for a stimulatory micronutrient, as Wells et al. (1991) showed that bioavailable iron was elevated in nearshore waters characteristic of the coastal current, and depleted offshore in the Gulf of Maine.

The measured iron levels were within the range of those that stimulated or limited *A. tamarensis* growth in laboratory cultures.

The large number of *Alexandrium* species involved in HAB events throughout the world makes it difficult to generalize about environmental controls of bloom dynamics. The nutrition of these organisms is not unusual, although mixotrophy has been reported for some *Alexandrium* species (Jacobson and Anderson, 1996; Legrand and Carlsson, 1998) and more are probably capable of this strategy. Like most phytoplankton, *Alexandrium* species will respond to anthropogenic nutrient inputs, but there is no evidence that they are preferentially stimulated compared to other phytoplankters, nor is there compelling evidence of any increase in *Alexandrium* bloom magnitude or frequency as a direct result of pollution or massive nutrient enrichment. Indeed, *Alexandrium* blooms, including many toxic ones, occur in remote and relatively pristine waters, such as those in Alaska (Hall, 1982) or southern Argentina (Benavides et al., 1995).

One generalization on the dynamics of *Alexandrium* populations in shallow embayments is that such blooms are heavily dependent upon local hydrographic conditions and the manner in which these factors interact with cell behavior, especially cyst germination, and vertical migration of vegetative cells. Studies of *A. minutum* in a Mediterranean lagoon by Giacobbe et al. (1996) demonstrated that the spring appearance of the species coincided with enhanced rainfall and freshwater runoff, and with stabilization of the water column. Watras et al. (1982) conducted laboratory growth studies and used the results to parameterize a simple model, indicating that for Cape Cod salt ponds, the development of *Alexandrium* populations depends solely on salinity-dependent temperature regulation of cell division rates. The same model, however, produced a poor prediction of *Alexandrium* bloom dynamics from the Bay of Fundy, presumably because physical forcings are more influential in population accumulation in such open, tidally stirred waters.

Another example of physical/biological coupling and the importance of stratification and cell swimming behavior in embayments was observed in Salt Pond, a small embayment with a shallow entrance sill that restrict outflowing water to the low density surface layer (Anderson and Stolzenbach, 1985). Diel vertical migration of *A. fundyense* kept cells below that depth during the night, and even when the cells migrated close to the surface during the day, they remained deep enough to avoid transport out of the embayment with the outflowing surface layer. A density-driven exchange mechanism rapidly flushes water from these salt ponds, but the residence time of the *Alexandrium* cells is much longer due to the limited vertical extent of the migration. This coupling between organism behavior and the hydrography of the system restricts the extent to which vegetative cells and cysts can colonize adjacent waters and allows *Alexandrium* populations to accumulate to cell concentrations generating high toxicity in shellfish.

The duration of the blooms that have been followed in bays and salt ponds is generally two to three months or less (e.g., Anderson et al., 1983; Han et al., 1992). Giacobbe et al. (1996) describe an *A. minutum* bloom in a Mediterranean lagoon over a six month period, but the cell concentrations were at bloom levels for only two months in spring. In Cape Cod, most *Alexandrium* blooms develop at water temperatures that are non-optimal for rapid growth of vegetative cells. Perch Pond isolates of *Alexandrium* grow fastest at 15–20 °C in the laboratory, but once the water reaches those temperatures in the field, blooms are typically on the decline and new cysts are already falling to the sediments (Anderson et al., 1983). Similarly, Han et al. (1992) found that *A. tamarensis* disappears from the water column of Chinhae Bay, Korea at temperatures well below those that support optimal growth in the laboratory. The implication is that the induction of sexuality

precludes the long-term persistence of vegetative *Alexandrium* cells in the plankton.

Laboratory studies suggest that the induction of sexuality in *Alexandrium* occurs as a result of nutrient limitation, yet this is not well supported by field measurements. One problem in this regard is that gametes are not easily distinguished from vegetative cells in natural populations, and fusing gametes, though distinctive, are rarely observed. Gametes of *Alexandrium* species have thus never been enumerated in field studies. However, it is possible to recognize duplet cells as well as large, darkly pigmented planozygotes (Anderson, 1980) and to tabulate their abundance through time. Only two studies have attempted to enumerate *Alexandrium* planozygotes during blooms in order to quantify the importance of encystment in bloom decline (Anderson et al., 1983; Takeuchi et al., 1995). Both show that sexuality is induced well before the bloom peaks, and that during this late stage of bloom development, planozygotes can comprise 20–40% of the motile population. This underestimates the total percentage of cells that become cysts, however, since it cannot account for the dynamic nature of the zygote sub-population. Each day, some planozygotes fall to the sediments as cysts, but new planozygotes appear following gamete fusion. The estimates do suggest that a large fraction of the bloom population encysts, and thus that bloom decline may be linked more to life cycle transitions than to grazing or other loss factors.

Studies in three Cape Cod salt ponds over two bloom seasons demonstrated that planozygote formation did not coincide with an obvious decrease in ambient nutrients (Anderson et al., 1983). In fact, planozygotes in the plankton and new cysts at the sediment surface were first observed when external nutrients were at or above concentrations equivalent to those measured during the earlier stages of bloom development when vegetative growth was rapid. It may be that as the ambient temperature increased during the blooms, the rates of uptake and metabolism of nutrients increased as well. Thus nutrient concentrations that were sufficient for balanced (but slow) growth at colder, early-bloom temperatures may not have been sufficient to maintain balanced growth when waters warmed and the *A. tamarensis* growth rate increased. A gradual decrease in internal nutrient pools would thus occur, leading to nutrient limitation. Alternatively, other factors may regulate sexuality and cyst formation, such as cell density dependence similar to quorum sensing.

Thau Lagoon on the Mediterranean coast of France is another area where *Alexandrium* bloom dynamics have been intensively studied. Blooms of *A. catenella* are common, but water temperature must be around 20 °C, and a period of calm weather is necessary. Thus blooms occur either in spring or fall, but major wind events will suppress them. Water temperature is probably a proxy for other variables such as turbulence, since dinoflagellates, including *A. catenella*, are very sensitive to agitation (Therriault et al., 1985). Unlike diatom blooms that are closely related to rain events and flash floods leading to nutrient inputs through the watershed into Thau lagoon, *A. catenella* does not necessarily bloom following a rain event and can even bloom following three weeks of dry weather. Thus, this species probably relies either on dissolved organic matter produced by diatom blooms (Loureiro et al., 2009) or particulate organic matter from picocyanobacteria (Collos et al., 2009).

From a long-term perspective, *A. catenella* blooms in Thau lagoon appear to follow a period of oligotrophication, characterized by a steady decline in soluble reactive phosphorus over 30 years (summer values ranged from about 10 to 1 µM and winter values from 3 µM to undetectable at present; Collos et al., 2009). This is consistent with observations in the Seto Inland Sea of Japan where blooms of *Alexandrium* species increased following reduction in nutrient inputs (e.g., Imai et al., 2006). Quoting from

Anderson et al. (2002): “as the waters became less eutrophic and large biomass blooms decreased, there was a shift in species composition, leading to a greater prevalence of some that are responsible for shellfish poisonings in humans, such as *Alexandrium tamarensis* and *A. catenella*”. Given the opportunistic behavior of *Alexandrium* species with respect to limiting nutrient acquisition, their blooms may be independent of eutrophic processes as defined from “classical” dissolved inorganic concentrations only.

6.2. Loss terms

Investigation of biological loss terms is a critical but often underrepresented component of attempts to understand and predict *Alexandrium* bloom dynamics. In Thau lagoon, evidence for biological loss terms include microzooplankton grazing rates that can match gross growth rates of *A. catenella* (Collos et al., 2004, 2007). In nearby Tarragona harbor in Spain (Garcés et al., 2005), microzooplankton grazing was not considered to be the main cause of *A. catenella* bloom termination. Other loss terms likely included cell lysis, microbial infection by viruses or bacteria, parasite attack, and encystment (Garcés et al., 2005).

Jeong et al. (2010) reviewed ingestion and clearance rates of copepods on *Alexandrium* spp. among other mixotrophic flagellates. Macrozooplankton grazing is generally thought to be much less important than microzooplankton grazing in regulating populations of *A. minutum* (Calbet et al., 2003). Non-toxic *A. tamarensis* as well as toxic *A. catenella* are found to be excellent prey for the ciliate *Favella* spp. (Jeong et al., 2010). Among other predators, heterotrophic and mixotrophic dinoflagellates are also known to feed readily upon *Alexandrium*.

Very little is known about interaction between viruses and *Alexandrium* species. Loureiro et al. (2009) mention virus densities between 30 and 80 × 10⁹ cells L⁻¹ in cultures of *A. catenella* and these were thought to keep the bacterial population from becoming dominant. Viral linkages to *Alexandrium* growth and mortality remain one of the major unknowns in the ecology of this genus.

The parasitic dinoflagellate *Amoebophrya* and the perkinsozoan flagellate *Parvilucifera* are both known to infect *Alexandrium* spp. (reviewed by Salomon and Imai, 2006). The latter parasite has now been found to infect the mobile zygote or the pellicle cyst of *A. minutum*, but not the thick-walled resting cyst or hypnozygote (Figueroa et al., 2008b). In addition, strain-specific host resistance to *P. sinerae* was documented for *A. minutum* (Figueroa et al., 2010). In Northern Brittany, *Amoebophrya* was shown to regulate populations of *A. minutum* (Chambouvet et al., 2008; Montagnes et al., 2008). Variables such as turbulence appear to reduce parasite infection for *A. minutum* (Llaveria et al., 2010). Infestation by *Amoebophrya* was also shown to be a major factor contributing to the decline of two blooms of *A. catenella* blooms in Puget Sound, Washington, USA (Nishitani et al., 1984). Although it has not been well documented with empirical data, parasites could be more important than microzooplankton as loss factors (Montagnes et al., 2008).

7. Modeling

Models of various types have been developed to describe and investigate physiology, toxicity, and bloom dynamics of *Alexandrium*. At the physiological level, growth and PSP toxin content of *A. fundyense* was modeled by John and Flynn (2002) from data for ammonium- and nitrate-grown cultures that were either P-replete or P-stressed. The model demonstrated a good fit to almost all cellular quota data and allowed the authors to examine the consequences of recycling toxin-N, versus not producing toxins at

all. These calculations suggested that there may not be a specific evolutionary advantage to toxin production, possibly explaining the significant variability in PSP toxin synthesis capabilities within the genus *Alexandrium*.

An extension of this model (Flynn, 2002) simulated PSP toxin content for *A. fundyense* in response to N and P nutritional status within a vertical water column in which the organism migrated. Growth in an N-limited water column resulted in a continual (although low level) toxin production with a large population biomass. A sequence of P-stress and nutrient re-feeding events during vertical migration showed an enhancement of PSP toxin content even with only moderately elevated N:P ratios. Although the final biomass was lower in these P-limited simulations, total toxin production was much higher. Vertical migration in stratified waters with moderately high N:P conditions could thus result in the formation of highly toxic populations of *Alexandrium*.

The role of resting cysts on the development of *A. minutum* blooms in a typical Mediterranean semi-enclosed water body (Arenys de Mar Harbor, NW Mediterranean) was studied by means of matrix and dynamic population models (Estrada et al., 2010). A series of scenarios were tested to determine whether excystment and encystment fluxes and changes in the dormancy period had a major effect on bloom intensity and duration. The results highlighted the importance of knowing not only the magnitude and variability of growth and life-cycle transition rates, but also those of loss rates (both in the water column and in the sediment) due to physical or biological factors. Given the maximum but low encystment rates determined for *A. minutum* in the study area (0.01 d^{-1}), this process reduced the peak concentrations of vegetative cells but did not have a major effect on bloom termination. Excystment fluxes could enhance population densities of vegetative cells during times of low or negative net growth rate and during the initial phases of a bloom. Once exponential growth began, however, additional excystment had a negligible effect on bloom magnitude. More complex models will be needed to explore the implications of different life-cycle strategies in a wider natural ecological context.

Models have also been developed to simulate *Alexandrium* population dynamics in the field. These typically have two components – a hydrographic model, and a biological submodel. This type of physical–biological model was developed for *A. tamarense* blooms in the lower St. Lawrence estuary in eastern Canada in order to explore the interactions between cyst germination, cellular growth and water circulation and to identify the effect of physical processes on bloom development and transport across the estuary (Fauchot et al., 2008). The biological model was parameterized using an observed *A. tamarense* cyst distribution, cyst germination rate and timing, and *A. tamarense* growth limitation by temperature and salinity. The model successfully reproduced the timing of the *A. tamarense* bloom in 1998, its coincidence with the combined plumes from two rivers on the north shore of the estuary, and the temporal variations in the north-south gradients in cell concentrations.

Another well-developed physical–biological model is that used to investigate *A. fundyense* and PSP dynamics in the Gulf of Maine (e.g., McGillicuddy et al., 2005). This model is based on a hydrographic submodel that can realistically simulate water motion over this large region, as driven by winds, tides, stratification, river run off, and large-scale forcing from the open ocean. A second submodel is then coupled to the hydrography, simulating the germination of *Alexandrium* cysts from seed beds in the region, and the subsequent growth of the population, regulated by temperature, salinity, sunlight and nutrients. The timing and rates of cyst germination and cell growth are parameterized from laboratory experiments on cultures of *A. fundyense* (Stock et al., 2005). A temperature-dependent mortality function incorporates a

range of loss factors, including grazing and encystment. This model has demonstrated high fidelity at reproducing observations (Stock et al., 2005; He et al., 2008) and thus has been heavily used for hindcasts (looking at past events to understand underlying mechanisms; He et al., 2008; Li et al., 2009). The model is also being used to issue weekly nowcasts and forecasts (looking forward 3 or 4 days) and even seasonal or annual forecasts (McGillicuddy et al., 2011).

8. Overview and summary

The ability of *Alexandrium* species to colonize multiple habitats and to persist over large regions through time is testimony to the adaptability and resilience of this important organism. *Alexandrium* species are not known for rapid or “explosive” growth rates. At large spatial scales (>100 km), population growth is typically not reflected in monospecific blooms but rather in moderate biomass levels and co-occurrence with other species. Blooms are not particularly long-lasting (days to weeks), and seem restricted in time by life cycle transitions. The cyst stage is clearly important in the population dynamics of many *Alexandrium* species, but the nature of this linkage varies among habitats. In shallow embayments, cysts and motile cell blooms are tightly coupled, whereas in large temperate estuaries and open coastal waters, the linkage is more difficult to define and quantify. In both of these habitats, most of the cysts in the sediments do not germinate due to bioturbation, burial, and inhibition of germination by anoxia. Even when only the cysts in surface sediments are considered, the bulk of the widely distributed cysts in deeper waters may germinate too slowly or too far from suitable growth conditions to be a factor in coastal blooms.

Estimates of the inoculum size from excystment are small – on the order of tens to hundreds of cells per liter, suggesting that major blooms require multiple, sustained vegetative divisions that in turn depend greatly on environmental conditions affecting motile cells. Nevertheless, the size of an excystment inoculum can have a bearing on the magnitude of a bloom, especially if that bloom is limited temporally due to seasonal temperatures or to some form of endogenous regulation of excystment and encystment.

In small-scale blooms in embayments and in widespread coastal blooms, physical/biological coupling is a critical feature of population accumulation, growth, and dispersal. Behavioral adaptations such as vertical migration are important features in this regard. Bloom termination is clearly linked to life cycle transitions, although the relative importance of encystment relative to grazing or other loss factors has not been sufficiently investigated.

In one sense, *Alexandrium* species appear to use a type of r-selection strategy, producing many “offspring” in the form of cysts, only a few of which ever germinate to inoculate blooms. On the other hand, a complex life history and a low growth rate are often considered K-strategies. The production of toxins and other allelochemicals to mediate inter-specific interactions is also more typical of the latter adaptive strategy. This group of dinoflagellates does not therefore easily fit into such fixed categories.

Overall, the *Alexandrium* species that have been studied in detail have proven to be remarkably resilient and capable of colonizing a wide spectrum of habitats and hydrographic regimes. It is thus of no surprise that the biogeographic range of these species has expanded in recent times and that associated PSP outbreaks remain a significant global problem.

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