

1 **Vegetation and Climate Change during Marine Isotope Stage 3 in China**

2 Zhao et al.

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22 **Abstract**

23 Fossil pollen records from 45 sites across China, mostly with poor chronology and coarse
24 resolution, were used to document MIS 3 vegetation and climate change and to understand the
25 large-scale controls. During MIS3, vegetation type was mostly forest in the eastern part of
26 China, and forest steppe/meadow in the north and Tibetan Plateau, while in the arid China the
27 vegetation was steppe desert. The Vegetation scale change shows higher values in MIS3 than
28 in LGM, especially for the period of 53-40 ka. Our results also indicate that MIS 3 vegetation
29 was not as good as during Holocene optimum, suggesting less warm and wet climate;
30 however, probably similar to the modern vegetation. The close relationship between
31 vegetation and insolation and summer monsoon intensity suggests that climate variations,
32 probably both temperature and precipitation, are the primary cause of regional vegetation
33 change. Additional well-dated, high-resolution paleoclimate records from many locations
34 across China will be needed, in order to understand the vegetation change on millennial and
35 centennial scale within MIS 3 and climate control mechanisms.

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37 **Keywords:** Fossil pollen; MIS 3; vegetation change; climatic controls; China

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44 **1. Introduction**

45 MIS 3 has drawn lots of attention across the world due to its special interstadial climate
46 during the last glacial (e.g., Brandefelt et al., 2011; Allen et al., 1999; Hughen et al., 2006;
47 Barron and Pollard, 2002; Lambeck et al., 2002; Wright et al., 1995; Meerbeeck et al., 2009;
48 Voelker et al., 2002; Huntley et al., 2003). Greenland ice core oxygen isotope records
49 (Dansgaard et al., 1993; North Greenland Ice Core Project Members, 2004) indicate that
50 climate varied between cold (stadial) and mild (interstadial) conditions during MIS 3,
51 approximately 60-30 ka. Voelker et al. (2002) compiled global terrestrial and marine sites in
52 order to provide an overview on the spatial distribution of centennial-resolution climate
53 records of MIS 3 glacial. The results show that in general, climate conditions were warmer
54 and more humid during interstadials, and colder and more arid during stadials. Based on
55 equilibrium climate simulations, Van Meerbeeck et al. (2009) suggested that enhanced
56 northern Hemisphere seasonality, insolation difference and freshwater forcing could have
57 contributed to the climate difference between Marine Isotope Stage 3 and the Last Glacial
58 Maximum. In China, many studies have revealed the possible warm and humid climate during
59 MIS 3. Herzschuh (2006) reviewed 75 palaeoclimatic records (including 14 records covering
60 part of MIS 3) and found MIS 3 (50-25 ka) is a relatively wetter period than MIS 2. Shi et al.
61 (2002; 2003) and Yang et al. (2004) suggested that a warm and wet climate occurred during
62 late MIS 3 (40-30 ka) mainly based on ice core, lacustrine sediments, pollen and
63 paleoentology, loess/paleosol sequence and cave oxygen isotope, mostly from northwestern
64 China and the Tibetan Plateau. Yu et al. (2002; 2007) used 19 coarse resolution pollen records
65 at 40-30 ka and applied a biomization method to reconstruct the vegetation types. The
66 reconstructions show that forest in southeastern China extended northwards into the present

67 northwestern steppe region, while the northern boundary of tropical forest in southern China
68 was shifted to the north of 24°N. However, the vegetation change during the entire MIS 3 in
69 China and climate controls are still poorly understood due to limited records and few
70 synthesis studies. In addition, some important questions are still not clear, mainly including:
71 (1) When was the best vegetation period during the MIS 3? (2) Was the vegetation during
72 MIS 3 similar to the Holocene? (3) Did precipitation change or temperature change cause
73 the vegetation change?

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75 In this paper, we review the pollen data from 44 sites across China (see the locations and site
76 information in Fig. 1 and Table 1). The objectives of this paper are to document the
77 vegetation change during MIS 3 and to understand the vegetation response to climate change
78 and attempt to address the scientific questions above-mentioned. This synthesis would not
79 only bring together existing pollen data but also assist the design of paleoecological and
80 paleoenvironmental studies in the future.

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82 **2. Data sources and methods**

83 Forty-five pollen records of various time spans and data quality in China are available;
84 however, in general very few records have good age controls, high temporal resolution and
85 mostly are discontinuous. Nonetheless, we include all the data for our synthesis in this study
86 (see Table 1 for information and reference) due to lack of the records spanning MIS 3. We
87 chose 7 pollen records with relatively good age controls and continuous sediments for
88 detailed description. Pollen data of these 7 sites were digitized from pollen diagrams in the

89 publications.

90

91 For each site, we attempted to recalibrate radiocarbon dating with the program Calib Rev

92 6.1.0 using IntCal09 calibration data set (Reimer et al., 2009). Our calibration shows that the

93 calibrated age is usually 300-500 years older than radiocarbon age during the MIS 3 stage.

94 Some Thermoluminescence (TL) and Optical Stimulated Luminescence (OSL) ages at some

95 sites were also used in this study, which were indicated in Table 1. Unfortunately, the

96 chronologies for most sites are based on only one radiocarbon date or through regional

97 correlation, which caused lots of uncertainties.

98

99 We review the pollen data by seven regions: South China, Southwestern China, Central China,

100 North China, Loess Plateau, Northwestern China and the Tibetan Plateau, based on the

101 modern vegetation types (Hou, 2001) and for the conveniences of discussion. For each site,

102 we assigned a vegetation score from 1-3 based on the different vegetation types inferred from

103 pollen data, some of which are only based on the description of the original papers due to the

104 lack of detailed figures. We generated a synthesized curve by averaging for the interval of

105 every 2000 years.

106

107 **3. Pollen records of vegetation changes**

108 We here present seven records in detail for various regions in China. We also describe other

109 records in general in each region.

110 **3.1. South China**

111 Tianyang Lake provides a representative pollen record (Zheng and Lei, 1999) in South China.
112 The pollen assemblages were dominated by *Castanopsis* and *Quercus* at >47,770–29,000 ¹⁴C
113 yr BP (>48.4–33.2 ka; 1 ka=1000 cal yr BP) and the vegetation was evergreen forest with
114 montane conifers and then temperate forest elements. The pollen zone is characterized by a
115 strong increase in Poaceae (28–55%) and *Artemisia* (7–10%) at ca. 29,000–15,000 ¹⁴C yr BP
116 (33.2–19 ka), indicating dense forests were transformed to grassland or savanna vegetation.
117 <15,000 ¹⁴C yr BP (19 ka), the pollen assemblage show the resurgence of montane forest
118 typified by Taxodiaceae, *Pinus* and *Altingia* and the disappearance of savanna.
119
120 Pollen records from Shenzhen (Zhang and Yu, 1999), Caitang (Zheng Z., 1990; cite from
121 Huang et al., 2003), Henglan (Huang et al., 1982; cite from Huang et al., 2003), Lingtingyang
122 (Chen et al., 1994) and Hongkong in South China (Yan, 1998) revealed that the pollen
123 assemblages were mostly dominated by *Cyathea*, evergreen *Quercus*, *Castanopsis* and
124 *Elaeocarpus* and tropical *Sonneraia* at ca. 40–30 ka, though the age controls were very poor
125 and pollen data information was fragmentary for these records. The pollen data suggest the
126 vegetation around these sites was tropical evergreen forest at MIS 3. However, a core from
127 Continental shelf of the South China Sea (Sun et al., 2000), which had three radiocarbon dates
128 to bracket MIS 3 stage shows that the pollen assemblages were dominated by *Pinus*, montane
129 conifers (*Picea*, *Abies* and *Tsuga*) and herb *Artemisia* pollen at 40–18 ka (cal.) and Late MIS 3
130 (40–28.8 ka) and LGM are quite similar in climate.
131
132 Another feature of vegetation change inferred from pollen data in South China is the

133 distribution change of *Dacrydium*, which is an indicator of warmer climate. The Chinese
134 mainland flora today is totally devoid of *Dacrydium*, except that a single species (*D. pierrei*)
135 is represented in the montane rainforests of Hainan Island (extreme southern of China). Zheng
136 (1991) reviewed the distribution of *Dacrydium* during the late Quaternary and found that the
137 fossil pollen grains, morphologically comparable to that of *D. pierrei*, were discovered from
138 the Tertiary and Quaternary sediments in an extensive area of China. Even in the last
139 interglacial of Wurm (40-20 ka), its distribution might extend to 22 ° -24 ° north latitude.

140

141 **3.2. Southwestern China**

142 Tang (1992) presented the results from two lakes in Menghai Region, Yunnan. At Manyang
143 Lake Section, at 42000-38000 14C yr BP (>42-42 ka), pollen assemblages were dominated by
144 evergreen tree pollen (Fig. 2), including *Castanopsis*, *Cyclobalanopsis*, *Ilex*, *Myrica*,
145 *Myrtaceae*, *Rutaceae*, with deciduous *Quercus*, *Salix*, *Euphorbiaceae*, *Alnus*. At 38000-29000
146 14C yr BP (42-33.2 ka), *Dacrydium* appeared and pollen included evergreen *Cyclobalanopsis*,
147 *Myrica*, *Ilex*, *Rutaceae*, *Rhododendron*, *Engelhardtia* and deciduous *Alnus*, *Betula*, *Ulmus*,
148 *Salix*, *Quercus*, while herb decreased. At 29-27 (33.2-31.6 ka), evergreen tree was still
149 dominant, but decreased. At Manxing Lake core, at 27000-25000 14C yr BP (32.1- 24.7 ka),
150 evergreen *Cyclobalanopsis*, *Castanopsis* and *Quercus* pollens were dominant. At
151 20700-11900 14C yr BP (24.7-13.8 ka), *Pinus* increased. The pollen data show that vegetation
152 changed from evergreen tropical and subtropical forest at 42000-20700 14C yr BP (>42-24.7
153 ka) to evergreen and deciduous forest at 20700-11900 14C yr BP (24.7-13.8 ka).

154

155 The pollen records from Heqing (Yang et al., 1998), Tengchong (Qin, 1992), Dianchi Lake
156 (Wu et al., 1991) and Dianchang Mountain (Kuang et al., 2002) in the southwestern China
157 only had one radiocarbon date or no date during the MIS 3. In general, the pollen assemblages
158 are dominated by *Keteleeria*, *Castanopsis*, evergreen *Quercus* with conifer *Tsuga*, *Picea* and
159 *Pinus* around 45 ka. The vegetation changed from evergreen dominated forest at MIS3 to
160 conifer and broad-leaved mixed forest at LGM.

161

162 **3.3. Central China**

163 There are three pollen records from Central China. In Poyang Lake region, there are 3 TL age
164 (67.7±8.3ka; 44.8±4.5ka and 9.5±2.5ka) for PHZ section (Wu et al., 1997). At 76-55 ka, the
165 pollen assemblages were dominated by *Artemisia* and Poaceae. At around 55-25 ka, more
166 evergreen tree pollen appeared, mainly from *Quercus*, *Castaneae*, *Cyclobalanopsis*, and
167 *Castanopsis*. At 25-16 ka, tree pollen decreased whileas herb pollen *Artemisia* increased.
168 After 16 ka, evergreen tree pollen disappeared. Vegetation changed from evergreen and
169 deciduous mixed forest at MIS 3 to deciduous forest at LGM.

170

171 At Tai Lake region, a coarse resolution pollen record for a 101.8 m long core was shown by
172 Zhou et al. (2001). There is one radiocarbon date at 23098±1770 ¹⁴C yr BP (ca. 27.3 ka).
173 Evergreen tree of *Quercus*, *Castaneae*, *Pterocarya*, *Ulmus*, *Carpinus* was abundant during
174 approximately MIS 3 period. After 27 ka, herb pollen dominated. Two peat sites at Fujian
175 seaside for pollen analysis has one radiocarbon date (41745±4 955 ¹⁴C yr BP , ca. 43.5 ka;
176 31430±1 510 ¹⁴C yr BP, ca. 36.1 ka) respectively during MIS 3 (Yang, 1992). Tree pollen,

177 mainly broad-leaved *Quercus* and Fagaceae covered 77-95% of the total pollen assemblages
178 at 45-35 ka. At $21\,740 \pm 520$ ^{14}C yr BP (26.2 ka), the pollen assemblages were dominated by
179 herbs (44.2%) with fewer subtropical trees, with some *Pinus*, *Ulmus*. Both the lake and peat
180 sites show that vegetation changed from evergreen and deciduous mixed forest to forest
181 steppe.

182

183 **3.4. North China**

184 There are few MIS 3 pollen records in north China. The pollen data in the Beijing area since
185 30000 ^{14}C yr BP (34.2 ka) show the vegetation change (Kong and Du, 1980). About 30000
186 years ago (34.2 ka), the coniferous forests (*Picea* and *Abies*) grew on the plain of Beijing;
187 during the period 23000-12000 ^{14}C yr BP (27.5-14 ka), the vegetation was dominated by
188 *Artemisia*, Chenopodiaceae, Poaceae, suggesting a cold dry steppe.

189

190 In the Songnen desert land between Songhua River and Nen River, Jie and Lu (1995) used the
191 relationship between surface pollen and climate and reconstructed the precipitation based on
192 the fossil pollen records in this region. Their results show that during MIS 3, the precipitation
193 reached 660 mm, but decreased to 370 mm at LGM; however, the age was not well
194 constrained. The pollen record from the sediment core in the Yellow River Mouth has no age
195 bracket for MIS 3 (Xu et al., 2006). Based on the extrapolation age, at MIS 3 the pollen
196 assemblages were dominated by *Pinus*, *Quercus* and *Artemisia*, while by *Pinus*, *Picea* and
197 Chenopodiaceae at LGM, indicating the vegetation transition from mixed forest steppe to
198 coniferous forest steppe.

199

200 **3.5. Loess Plateau**

201 There are more high-resolution pollen records with good age controls in the Loess Plateau.
202 The age model for Suancigou Section has been constructed based on 13 AMS dates (Feng et
203 al, 2007). At 47210-33370 14C yr BP (ca. >47 ka-38.3 ka), the pollen assemblages were
204 dominated by *Pinus* and *Picea* (up to 80%). The herb component (e.g., *Chenopodiaceae*,
205 *Artemisia*, *Asteraceae*, *Poaceae* and *Cyperaceae*) accounted for 20% of the pollen sum with a
206 very small amount of deciduous tree pollen. At 33370-28280 14C yr BP (ca. 38.3-32.5 ka),
207 *Pinus* and *Picea* (up to 90%) were dominant, with very low herb component. At 28280-22480
208 14C yr BP (32.5-27.1 ka), the pollen assemblages were characterized by a rise in the herb
209 pollen percentage as well as by a marked increase in the deciduous tree pollen percentage
210 (e.g., *Betula*, *Quercus*, *Rosaceae* and *Ulmaceae*). At 22480-13090 14C yr BP (27.1-15.8 ka),
211 both herb pollen (up to 70%) and in the deciduous tree pollen (up to 30%) increased greatly at
212 the expense of the coniferous tree pollen percentage. The pollen data from Suancigou show
213 that vegetation changed from a coniferous woodland at 47210-33370 14C yr BP (ca. >47
214 -38.3 ka) and 33370-28280 14C yr BP (ca. 38.3-32.5 ka) (with very low herb), forest steppe at
215 28280-22480 14C yr BP (32.5-27.1 ka), to steppe at 22480-13090 14C yr BP (27.1-15.8 ka).
216
217 Fanjiaping Loess Section (Jiang et al., 2011) and Weinan Section (Sun et al., 1997) provide
218 other two relatively higher resolution pollen records from the Loess Plateau. The pollen data
219 from Fanjiaping show that the vegetation changed from deciduous-conifer mixed forest at
220 60.6-46.0 ka, conifer-dominated forest at 46-39 ka, to steppe forest at 39-27 ka. At Weinan,

221 the vegetation changed from steppe with sparse forest at 65.3-54.5 ka, meadow-steppe at
222 54.5-34.1 ka, Artemisia dominated steppe at 34.1-25.0 ka, steppe with Corylus woodland at
223 25.0-21.1 ka, to Asteraceae steppe at 21.2-13.7 ka.
224
225 Pollen records from Luochuan (Li and Sun, 2004), Fu County (Ke, 1993), Qishan (Zhao,
226 1995), Beizhuang (Ke, 1991) and Linxia (Tang, 1991) sections in the Loess Plateau suggest
227 that the vegetation changed from steppe at 75-54 ka, through forest steppe at 54-36 ka, steppe
228 36-32 ka, forest steppe at 32-23 ka, to dry steppe at 23-13 ka. The pollen assemblages from a
229 paleosol layer (paleosol#6) on the floor of a loess gully from Loess Plateau (Li et al., 1988)
230 dated to 35390 ± 1600 yr BP (39.8 ka) mainly included Pinus (48%), Picea (23%) and Abies
231 (3%), suggesting conifer forest. Another pollen sequence from Yuanbao in the Loess Plateau
232 (Ma et al., 1995; Tang et al., 1998) shows that the vegetation changed from mixed forest of
233 coniferous and broad-leaved trees at 53-36 ka to coniferous forest at 23-15 ka.

234

235 **3.6. Northwestern China**

236 Pollen records covering MIS 3 are rare in northwestern China. Duantouliang Section provides
237 a high-resolution pollen record in this region (Ma et al., 1998; Zhang et al., 2002). The
238 chronology was controlled by 8 radiocarbon dates of organic matter between 42000 and
239 18000 ^{14}C yr BP (~47-22 ka). Three major pollen zones were identified on the basis of pollen
240 assemblages. At ca. 40,500–34,660 ^{14}C yr B.P (44.6-39.5 ka), the most abundant taxa were
241 Cupressaceae, *Juniperus*, *Nitraria*, and Chenopodiaceae. Needle-leaf tree pollen accounted
242 for 12.4% to 46.2% of the total sum, and the percentage of broadleaf tree pollen was low. At
243 ca. 34,660–21,260 ^{14}C yr B.P (39.5-25.3 ka), pollen concentration in this zone was generally

244 high, and the number of species was the highest in the entire DTL section. At ca.
245 21,260–19,010 ¹⁴C yr B.P. (25.3-22.6 ka), the percentages for both needle-leaf and broadleaf
246 trees were lower than in the previous period and the number of species, especially the taxa of
247 broadleaf trees and shrubs, also decreased. The herbs, such as *Artemisia* and Chenopodiaceae,
248 dominated the pollen assemblages during this period. The pollen record from Duantouliang
249 indicates that the vegetation on the mountains changed from coniferous forest dominated, to
250 coniferous-broad leaf mixed forest and steppe forest at ca. 40,500–34,660 ¹⁴C yr B.P.
251 (44.6-39.5 ka) , ca. 34,660–21,260 ¹⁴C yr B.P (39.5-25.3 ka) and ca. 21,260–19,010 ¹⁴C yr
252 B.P (25.3-22.6 ka).

253

254 Pollen data from Manas Lake (Rhodes et al., 1996), Chaiwopo Lake (Shi and Qu, 1989) and
255 Lop Nor (Yan et al., 1998) in Xinjiang are fragmentary. Vegetation was desert or steppe-desert
256 at ca. 42-30 ka, but with more *Artemisia*, while vegetation was desert with more
257 Chenopodiaceae or *Ephedra* during LGM. Pollen from Milanggouwan Section, Salawusu in
258 Inner Mongolia (Wen et al., 2009) show that the vegetation landscape can be interpreted as
259 warm-humid sparsely wooded steppe at late MIS3 (around 29 ka) changed to a cool -
260 temperate steppe at LGM.

261

262 **3.7. Tibetan Plateau**

263 Shen et al. (2005) showed a pollen sequence for the last 180 ka at the Zoige Basin in the
264 Tibetan Plateau. There are three radiocarbon dates to bracket MIS 3: 21600±1500,
265 33140±2350 and >40000 ¹⁴C yr BP. At 60-43 ka, the pollen assemblages were dominated by

266 Cyperaceae, with some Poaceae, Asteraceae and Ranunculaceae. Tree pollens were mainly
267 from *Picea*, *Pinus*, *Abies*. At 43-39 ka, Cyperaceae decreased abruptly (<10%), and *Picea*
268 became dominant, with some *Pinus*, *Abies*, *Quercus*. At 39-32 ka, Poaceae (up to 33%) and
269 Cyperaceae (up to 21.5%) were dominant and *Picea* decreased sharply. At 32-18 ka, similar
270 to 39-32 ka, but with a very low pollen concentration. At 18-15 ka, *Picea* and *Abies* forest
271 appeared. At 15-7 ka, tree pollen was very high. The vegetation changed from forest meadow
272 and meadow at early MIS 3 to steppe at 43.3-21.6 ka, forest meadow /steppe after then.

273

274 At Qinghai Lake (Shan et al., 1993), Dabuxun Lake (Chen, 1996), Gonghe (Tang et al., 1988;
275 cited from Tang et al., 1998), Maerguo Chaka (Huang et al., 1983), Zabuye Salty Lake (Xiao
276 et al., 1996) and Zalun Chaka (Huang et al., 1983), the vegetation inferred from pollen
277 records showed change from conifer forest or steppe forest at MIS 3 (mostly at 40-30 ka) to
278 steppe or desert steppe at LGM. At Banggong Co (Huang et al., 1989) and Chaerhan Lake
279 (Du and Kong, 1983), vegetation type was desert or desert steppe at MIS 3 (44-30 ka),
280 showing similar type to LGM; however, the desert contained more *Artemisia* or aquatic plants.

281 The highstand lacustrine sediments from Nam Co and North Tibet Palaeolake (Zhu et al.,
282 2004) provide fragmentary but in a sequence pollen data. Around 51 ka, 40 ka and 36.5 ka,
283 tree pollen is high (up to 80-90%) dominated by *Abies*, *Picea*, *Tsuga*, *Pinus* and *Betula*, while
284 tree decreased after 32.7 ka and *Tsuga* disappeared.

285

286 **4. Synthesis and discussion**

287 **4.1. General pattern of MIS 3 vegetation and climate changes**

288 Vegetation showed different changes in various regions during MIS 3 as inferred from pollen
289 data. In South China, evergreen forest was abundant. In southwestern China, evergreen or
290 mixed forest dominated. In eastern-central China, vegetation was evergreen and deciduous
291 forest. In North China, vegetation was dominated by conifer forest or conifer and deciduous
292 forest. On the Loess Plateau, vegetation was mostly forest steppe and conifer or mixed forest.
293 In northwestern China, desert or steppe desert dominated the vegetation, except at
294 Duantouliang with broadleaf and conifer mixed forest, which is related to nearby mountain
295 vegetation origin. On the Tibetan Plateau, forest steppe and conifer forest were dominant. The
296 MIS 3 vegetation showed difference from the other glacial period, particularly from LGM.
297 The vegetation at LGM was conifer forest/mixed forest in the south China, evergreen and
298 deciduous forest/conifer forest in southwestern China, deciduous forest in the eastern-central
299 China, , steppe/conifer forest in north China, steppe in the Loess Plateau, desert in the
300 northwestern China, and desert/steppe on the Tibetan Plateau. Although the vegetation change
301 sequence was different in various regions, all the vegetation became worse from MIS 3 to
302 LGM (Fig. 3). The vegetation scale shows that the vegetation at early MIS 3 was better than
303 the later stage, suggesting warmer and wetter climate (Fig. 4). The tree percentages from
304 almost all the sites, except in extremely arid regions with very low tree percentages, show
305 obviously higher values during the entire MIS 3 or fragmentary periods (see examples in Fig.
306 2).

307

308 The different vegetation features at MIS 3 from glacial period have also revealed by
309 numerous paleoclimatic records across the world. Voelker et al. (2002) used a database of

310 global distribution of centennial-scale records for Marine Isotope Stage (MIS) 3, including
311 pollen data and concluded that in general, climate conditions were warmer and more humid
312 during interstadials, colder and more arid during stadials. Huntley et al. (2003) synthesized
313 the pollen data from Europe and found that the inferred vegetation differs in character and
314 spatial pattern from that of both fully glacial and fully interglacial conditions and exhibits
315 contrasts between warm and cold intervals, consistent with other evidence for stage-3
316 palaeoenvironmental fluctuations. The pollen record from Camel Lake, USA, showed that
317 40-29 ka was a time of forests with abundant pine, oak, and diverse mesic tree species, while
318 29-14 ka was a species-poor pine forest (Watts et al., 1992). Anderson et al. (2000) reviewed
319 the pollen records from 7 sites in the Colorado Plateau and found that during MIS 3, mixed
320 conifers covered middle-elevation while at MIS 2, boreal conifers replaced the mixed conifer
321 association. Pollen records from western Oregon, Alaska and eastern Cascade Range also
322 suggest that MIS 3 vegetation was better than MIS 2, but also with millennial variations
323 (Anderson et al., 1994; Anderson, 1988; Whitlock and Bartlein, 1997; Anderson et al., 2000;
324 Grigg et al., 2001). Four pollen records from equatorial mountains Africa revealed the
325 vegetation change over the last 40 ka (Bonnefile and Chalié, 2000) and indicated arboreal
326 pollen at 40-30 ka was generally higher than LGM. At Lake Mikata, central Japan, arboreal
327 pollen was more abundant in MIS 3 than in LGM (Nakagawa et al., 2002). Carbon isotopes in
328 fossil emu (*Dromaius novaehollandiae*) eggshell from Lake Eyre, South Australia
329 demonstrate that between 45,000 and 65,000 years ago, there was an expansion of C₄ grasses,
330 which was affected by warm-season precipitation (Johnson et al., 1999). In the meantime,
331 these records mostly revealed the millennial vegetation change during MIS 3. However, the

332 age control and sample resolution from the pollen records from China made it impossible to
333 make discussion on millennial variations in vegetation and climate.

334

335 **4.2. Comparison of MIS 3 vegetation and Holocene vegetation**

336 Only 20 records since MIS 3 for this synthesis have coarse pollen data during Holocene
337 optimum and provided a basis for the direct vegetation comparison between these two periods
338 (Fig. 3). MIS 3 vegetation at 8 sites show similar vegetation types to the ones during
339 Holocene optimum, only 3 sites show better vegetation, while 9 sites show worse vegetation.
340 Compared to the present, 20 sites show similar vegetation type and 5 sites show worse
341 vegetation, while 17 sites show slightly better vegetation. These 17 sites are mainly located on
342 the Tibetan Plateau and Loess Plateau. Our results indicate that MIS 3 vegetation was not as
343 good as during Holocene optimum, suggesting less warm and wet climate; however, probably
344 similar to the modern vegetation, but with uncertainties.

345

346 Herzsuh (2006) reviewed 75 palaeoclimatic records (including 14 records covering part of
347 MIS 3) and found MIS 3 (50-25 ka) is a relatively wetter than MIS 2. 42-37 ka is the wettest
348 period, during which moisture is still lower than Holocene optimum, but probably higher than
349 the late Holocene. The reconstruction of the late Quaternary climate changes around Lake
350 Mikata, central Japan, shows that the summer and winter temperatures were 5°C lower than
351 the present around 40 ka (uncalibrated) (Nakagawa et al., 2002). The pollen records from
352 Colorado Plateau suggest that MIS 3 was warmer than MIS 2, but colder than the present
353 (Anderson et al., 2000). In northwestern Alaska, mixed woodland was established during

354 Holocene, different from the shrub tundra at MIS 3 (Anderson, 1988). In northwestern Florida,
355 oak-dominated forest developed during the Holocene, while pine-dominated forest in MIS 3
356 (Watts et al., 1992). The vegetation scale inferred from pollen data from Carpe Lake from
357 Cascade Range show that the forest value was higher during the entire Holocene than MIS 3.
358 The reconstructed precipitation in MIS 3 in the equatorial highlands of Africa, based on
359 pollen data, was ca. 150 mm dryer than the Holocene (Bonnefille and Chalié, 2000). However,
360 the Australian monsoon was most effective between 45,000 and 65,000 years ago, least
361 effective during the Last Glacial Maximum, and moderately effective during the Holocene
362 (Johnson et al., 1999). Therefore, more pollen records with higher resolution and good control
363 across different regions are required to understand whether the climate is warmer and wetter
364 in MIS 3 than during late Holocene.

365

366 **4.3. Possible forcing mechanisms for MIS 3 vegetation change**

367 The vegetation scale change in China generally agrees with the oxygen isotope from Hulu
368 Cave, with lower values in MIS 3, which indicates the summer monsoon intensity (Wang et
369 al., 2001), which followed summer insolation. The oxygen isotope from Guliya ice core also
370 shows that temperature at 60-30 ka was higher (Thompson et al., 1997). Our review on peat
371 initiation age in China demonstrates that 45-25 ka was a period with high peat initiation
372 frequency, suggesting ideal climate (Zhao et al., in preparation). At MIS 3, the biogenic Silica
373 from Baikal Lake, which is influenced by westerlies, shows relatively high values at 60-40 ka
374 and 35-20 ka. Furthermore, the north Atlantic SST and benthic oxygen isotope of LR04
375 marine stack both indicate the higher temperature during MIS 3. The correlation between

376 vegetation change and the above-mentioned proxies suggest that probably both temperature
377 and precipitation contributed to the MIS 3 vegetation change in China.

378

379 The notion that the MIS 3 vegetation change was driven by large scale climate change was
380 confirmed by the evidence from America and Europe. Pollen data from Carp Lake from the
381 eastern Cascade Range disclose alternations of forest and steppe that are consistent with
382 variations in summer insolation and global ice-volume, and vegetational transitions correlate
383 well with the marine isotope-stage boundaries (Whitlock and Bartlein, 1997). The close
384 relationship between vegetation and climate beyond LGM provides evidence that climate
385 variations are the primary cause of regional vegetation change on millennial timescales, and
386 that non-climatic controls are secondary. In Europe, the pollen-inferred vegetation was
387 compared with vegetation simulated using the BIOME 3.5 vegetation model for climatic
388 conditions simulated using a regional climate model (RegCM2) nested within a coupled
389 global climate and vegetation model (GENISS-BIOME) (Huntley et al., 2003). The results
390 show that European vegetation appears to have been an integral component of millennial
391 environmental fluctuations during MIS 3 and vegetation responded to this scale of
392 environmental change, mainly induced by insolation, SST, ice volume and through feedback
393 mechanisms may have had effects upon the environment.

394

395 Based on syntheses of geological evidence and the AGCM+SSiB modeling, Yu et al. (2007)
396 presented climate simulations focused on 35 ka for East China, using forcing of insolation,
397 glaciation and land surface conditions (Yu et al., 2007) and made some preliminary

398 concluding remarks that a decreased heat gradient from the Pacific Ocean to East Asia would
399 reduce vapor transport from sea to land and lead to both winter and whole year precipitation
400 decreases in East Asian lowlands. However, where the temperature gradient between inland
401 Asia and low latitude sea was enlarged, vapor transport rate from the sea to the continent
402 would strengthen; thus increasing precipitation for inland China, e.g., northwestern China and
403 Tibetan Plateau, during late MIS 3, which was revealed by Shi et al. (2002; 2003).

404

405 In the mean time, CO₂ at MIS 3 was averagely ca. 20 ppmv higher than LGM. Probably CO₂
406 also contributed to the vegetation change, especially in northwestern China and Tibetan
407 Plateau, which is limited by moisture. Lower CO₂ during LGM could amplify the effect of an
408 arid climate on plants through their effects on leaf conductance and water-use efficiency, as
409 suggested by the modeling study in tropical Africa (Wu et al., 2007). Herzschuh et al. (2011)
410 proposed that the replacement of drought-resistant alpine steppes (that are well adapted to
411 low CO₂ concentrations) by mesic *Kobresia* meadows on the Tibetan Plateau can, at least, be
412 partly interpreted as a response to the increase of CO₂ concentration since 7000 years due to
413 fertilization and water-saving effects, based on BIOM4 global vegetation model. The higher
414 CO₂ could also amplify the vegetation change, especially in northwestern China and Tibetan
415 Plateau. At most sites, vegetation became worse at 40-30 ka; however, the vegetation in the
416 northwestern China and the Tibetan Plateau was still relatively prosperous. In these two
417 moisture limited regions, still high CO₂ at that time might have contributed to the vegetation
418 growth, though summer insolation decreased. However, our hypothesis needs to be based on
419 more high-resolution pollen records to investigate the millennial change in MIS 3 and further

420 to be testified by climate modeling.

421

422 **5. Concluding remarks**

423 (1) Vegetation during MIS3 in China shows different types from LGM, suggesting the
424 vegetation is better than the other periods of last glacial over the last 60 ka. During MIS3,
425 vegetation type was mostly forest in the eastern part of China, and forest steppe/meadow in
426 the north and Tibetan Plateau, while in the arid China the vegetation was steppe desert. The
427 Vegetation scale change shows higher values in MIS3 than in LGM, especially for the period
428 of 53-40 ka. Our results also indicate that MIS 3 vegetation was not as good as during
429 Holocene optimum, suggesting less warm and wet climate; however, probably similar to the
430 modern vegetation.

431

432 (3) Vegetation change in MIS 3 based on fossil pollen data correlates well with insolation, sea
433 surface temperature, monsoon intensity, ice core oxygen isotope and peat initiation. The close
434 relationship between vegetation and climate provides evidence that climate variations,
435 probably both temperature and precipitation, are the primary cause of regional vegetation
436 change. CO₂ probably also contributed to the vegetation change, especially in northwestern
437 China and Tibetan Plateau, where vegetation is limited by moisture.

438

439 (3) Our review and synthesis indicate that high-resolution records with robust chronology for
440 vegetation and climate reconstructions are lacking across the entire China. There were almost
441 no high quality (with continuous sediment, good age control and high analysis resolution)

442 pollen records covering the entire 60 ka. Our review only provides a general framework of
443 MIS 3 vegetation change. Future work will require additional well-dated, high-resolution
444 paleoclimate records from many locations across China, in order to understand the vegetation
445 change on millennial and centennial scale within MIS 3 and climate control mechanisms .
446

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453

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685 **Figure captions**

686 **Figure 1.** Map showing the location of fossil pollen sites in China reviewed in this paper (see
687 Table 1 for site information and reference).

688

689 **Figure 2.** Selected pollen curves at seven typical sites in various vegetation regions across
690 China.

691

692 **Figure 3.** Summary of vegetation types derived from fossil pollen records in China. **A.** MIS 3
693 optimum; **B.** LGM; **C.** Holocene optimum; **D.** The present. The vegetation types show the
694 optimum intervals during these four periods.

695

696 **Figure 4.** Correlation of vegetation scales with summer insolation and other paleoclimatic
697 records. **A.** Vegetation scales inferred from fossil pollen records; **B.** $\delta^{18}\text{O}$ of Hulu Cave
698 (Wang et al., 2001); **C.** $\delta^{18}\text{O}$ of Guliya ice core (Thompson et al., 1997); **D.** Summer
699 insolation at 30°N and 60°N latitudes (Berger and Loutre, 1991); **E.** SST at Northern Atlantic
700 (Bard, 2002); **F.** Benthic $\delta^{18}\text{O}$ of marine LR04 stack (Lisiecki and Raymo, 2005); **G.** Biogenic
701 Silica percentage at Baikal Lake; **H.** Global CO_2 concentrations (Monnin et al., 2004);

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