

Ultra-high-resolution paleoenvironmental records via direct laser-based analysis of lipid biomarkers in sediment core samples

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Marine microorganisms adapt to their habitat by structural modification of their membrane lipids. This concept is the basis of numerous molecular proxies used for paleoenvironmental reconstruction. Archaeal tetraether lipids from ubiquitous marine planktonic archaea are particularly abundant, well preserved in the sedimentary record and used in several molecular proxies. We here introduce the direct, extraction-free analysis of these compounds in intact sediment core sections using laser desorption ionization (LDI) coupled to Fourier transform ion cyclotron resonance mass spectrometry (FTICR-MS). LDI FTICR-MS can detect the target lipids in single submillimeter-sized spots on sediment sections, equivalent to a sample mass in the nanogram range, and could thus pave the way for biomarker-based reconstruction of past environments and ecosystems at subannual to decadal resolution. We demonstrate that ratios of selected archaeal tetraethers acquired by LDI FTICR-MS are highly correlated with values obtained by conventional liquid chromatography/MS protocols. The ratio of the major archaeal lipids, caldarchaeol and crenarchaeol, analyzed in a 6.2-cm intact section of Mediterranean sapropel S1 at 250- μ m resolution (\sim 4-y temporal resolution), provides an unprecedented view of the fine-scale patchiness of sedimentary biomarker distributions and the processes involved in proxy signal formation. Temporal variations of this lipid ratio indicate a strong influence of the \sim 200-y de Vries solar cycle on reconstructed sea surface temperatures with possible amplitudes of several degrees, and suggest signal amplification by a complex interplay of ecological and environmental factors. Laser-based biomarker analysis of geological samples has the potential to revolutionize molecular stratigraphic studies of paleoenvironments.

molecular stratigraphy | solar cycle | laser desorption ionization | FTICR-MS | archaeal tetraether lipids

Microbial lipids in aquatic sediments reflect phylogeny, environmental conditions, and biogeochemistry of the water column in which they were produced. After sedimentation and because of the persistence of lipid structures in the sedimentary record, the information archived in these lipids remains available on geological time scales. Retrieval of this information from sediments and rocks has increasingly contributed to our understanding of past environments, microbial communities, and biogeochemical processes (e.g., refs. 1–5). Merged with the concept of molecular stratigraphy (2, 6), i.e., the analysis of selected biomarkers in solvent extracts of sediment samples in a stratigraphic framework, unique information can be gleaned regarding the temporal changes of past ecological and environmental conditions in aquatic systems. Because of analytical requirements, the temporal resolution is typically limited by centimeter-scale-sized samples, which, dependent on the depositional setting, tend to integrate time periods of decades to millennia, even in high-sedimentation settings such as the Santa Barbara Basin (e.g., refs. 4, 7, 8). In consequence, our knowledge of

the temporal changes of the environmental and ecological history recorded by lipid biomarkers is rather coarse and based on long-term averages of their distributions in the sedimentary record.

In this study we seek to extend this approach to ultra-high-resolution molecular stratigraphy. We focused on archaeal glycerol dialkyl glycerol tetraethers (GDGTs), which are produced by planktonic archaeal communities and are ubiquitous and persistent components in marine sediments (e.g., refs. 9, 10) with widely recognized potential for paleoenvironmental studies. The number of cycloalkyl rings in GDGTs (Fig. S1) appears highly sensitive to ecological and environmental factors such as phylogeny (ref. 5 and references therein), temperature (ref. 11 and references therein), or pH (12, 13). This sensitivity of GDGT composition to environmental and ecological factors has driven the development of multiple molecular proxies. Adaptation to temperature has been demonstrated in thermophilic cultures of archaea (e.g., ref. 14) and serves as concept for the reconstruction of paleo sea surface temperatures (SST) in sediments using the TEX₈₆ (tetraether index of 86 carbon atoms) (3), which is based on fossil lipids of planktonic archaea. This proxy has been used to characterize oscillations of SST in different geological episodes (e.g.,

Significance

Lipid biomarkers in geological samples are important informants regarding past environments and ecosystems. Conventional biomarker analysis is labor intensive and requires relatively large sediment or rock samples; temporal resolution is consequently low. Here, we present an approach that has the potential to revolutionize paleoenvironmental biomarker research; it avoids wet-chemical sample preparation and enables analysis of biomarkers directly on sediment cores at submillimeter spatial resolution. Our initial application to a sediment core deposited during the Holocene climate optimum in the Mediterranean Sea reveals a new view of how small-scale variations in lipid distribution are integrated into commonly reported signals obtained by conventional analysis and demonstrates a strong influence of the \sim 200-y de Vries solar cycle on sea-surface temperatures and planktonic archaeal ecology.

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refs. 8, 15, 16). Complications could arise from additional GDGT sources, e.g., allochthonous inputs of GDGT-bearing soils, lateral transport, in situ production, and/or recycling by sedimentary archaea (cf. refs. 5, 17). Relative GDGT distributions required for proxy calculations are obtained by HPLC atmospheric pressure chemical ionization mass spectrometry (HPLC/APCI-MS) of solvent extracts from gram-sized sediment samples (18, 19).

To enhance temporal resolution of GDGT-based proxies, we explored the utility of laser desorption ionization coupled to Fourier transform ion cyclotron resonance mass spectrometry (LDI FTICR-MS). In LDI, the impact of a pulsed laser beam on the sample leads to desorption, vaporization and ionization of the analytes, forming a cloud of charged molecules. An additional matrix is frequently applied to facilitate the generation of ions (matrix assisted laser desorption ionization [MALDI]). A complete understanding of underlying ionization mechanisms has not been achieved yet (20). LDI or MALDI are best known in the field of proteins or peptides and have also been successfully used in lipid research (21). The fact that LDI analysis can generate ions directly from the sample placed on a sample holder without time-consuming wet-chemical pretreatment while producing mass spectra from submillimeter-sized spots (as small as 10 μm) makes this technique particularly attractive for molecular stratigraphy. LDI may allow direct analysis of nanogram-sized samples on the surface of cut, intact sediment cores at ultra-high spatial resolution, equivalent to temporal resolution on the order of months to decades.

We aimed to develop a technique that takes advantage of both the exquisite sensitivity and unequivocal molecular information of ultra-high-resolution mass spectrometry by FTICR-MS and the high spatial resolution of LDI within an intact and unaltered sedimentological context. The key steps of validation and implementation included (Fig. S2) (i) the detection of archaeal GDGTs, (ii) verification of results obtained by LDI FTICR-MS by parallel analysis using established HPLC/APCI-MS methods, and (iii) the examination of the first continuous high-resolution GDGT profile from a sediment core section of the eastern Mediterranean sapropel S1, which was deposited under anoxic conditions during the Holocene climatic optimum (HCO; refs. 22, 23). The data thus may provide an unprecedented view of the dynamic variations of both SST and ecological and environmental factors during sapropel formation.

Results and Discussion

Successful Detection of GDGTs by LDI FTICR-MS. Analyses of a ground sapropel sample with high abundance of GDGTs confirmed the capacity of LDI FTICR-MS to efficiently ionize and unequivocally identify GDGTs, which were observed exclusively in the form of Na^+ adducts. The high resolving power of FTICR-MS is crucial for accurate identification, as the m/z value of the second isotopic peak of any given GDGT with n cycloalkyl moieties will be very close to the m/z of a GDGT with $n-1$ cycloalkyl moieties (Fig. S3). We furthermore demonstrated that the tested artificial matrices, as applied during MALDI, did only slightly enhance ionization (Fig. S4, see *Supporting Information* for more details). We therefore chose to perform our experiments without matrix to avoid negative effects with sample preparation, including diffusion of matrix into the sediment, which could result in lipid solubilization and thus loss of spatial resolution.

GDGT Distributions: HPLC/APCI-MS vs. LDI FTICR-MS. We compared the relative abundance of different GDGT species in 21 ground samples from the Mediterranean, Marmara, and Black seas obtained by conventional HPLC/APCI-MS and LDI FTICR-MS. We primarily focused on a readily accessible ratio of the two major core lipids, GDGT-0 (caldarchaeol) and GDGT-5 (crenarchaeol), in the form of a crenarchaeol–caldarchaeol tetraether index (CCaT; Eq. 1). This ratio is known to be sensitive to SST variations (3) and possibly to changes in archaeal community

composition due to the prominence of caldarchaeol in diverse cren-, thaum-, and euryarchaeota vs. the restricted occurrence of crenarchaeol in marine thaumarchaeota (24, 25). We obtained a strong linear correlation ($r^2 = 0.97$; $P < 0.0001$), with a slope of 1.056, demonstrating the robustness of the LDI method (Fig. 1; Eq. S1). SST reconstruction via the extensively studied SST proxy TEX_{86} (Eq. S2), which integrates the abundance of minor GDGTs including the regioisomer GDGT-5', is not possible by LDI FTICR-MS because m/z values of GDGT-5 and its less abundant regioisomer GDGT-5' (see Fig. S1 for structures) are identical.

$$\text{CCaT} = ([5] + [5']) / ([0] + [5] + [5']). \quad [1]$$

We also evaluated a ratio that includes the minor GDGTs for validation of the LDI-based approach. We chose the methane index (MI; Eq. S3; ref. 26), which is considered to be sensitive to the contribution of GDGTs derived from anaerobic methane-oxidizing archaea. Results from LDI analysis are strongly correlated with those obtained by HPLC/APCI-MS analysis of extracts ($r^2 = 0.78$, $P < 0.0001$), with the slope of the linear regression being 0.956 (Fig. S5A; Eq. S4). The offset between both methods, with LDI-based MI values being consistently higher than the LC-based counterparts, is possibly due to overestimation of GDGT-1 with the LDI approach due to codetection of unsaturated GDGT-0, which may be formed by dehydration of hydroxylated GDGT-0 (27).

SST Sensitivity of the CCaT. SST sensitivity of the CCaT was examined based on available global LC-based calibration datasets (28, 29). We obtained an SST calibration for the CCaT for the temperature range from 5 to 30 $^{\circ}\text{C}$ ($r^2 = 0.70$, $P < 0.0001$; Fig. S5B; Eq. S5). The strength of the correlation, compared with the TEX_{86} (3) and its derivatives (28), is somewhat weaker and suggests that additional factors such as planktonic archaeal ecology are also reflected in the CCaT. However, independent indirect support for an SST sensitivity of the LDI-based CCaT comes from further examination of the 21-sample set where the CCaT is strongly

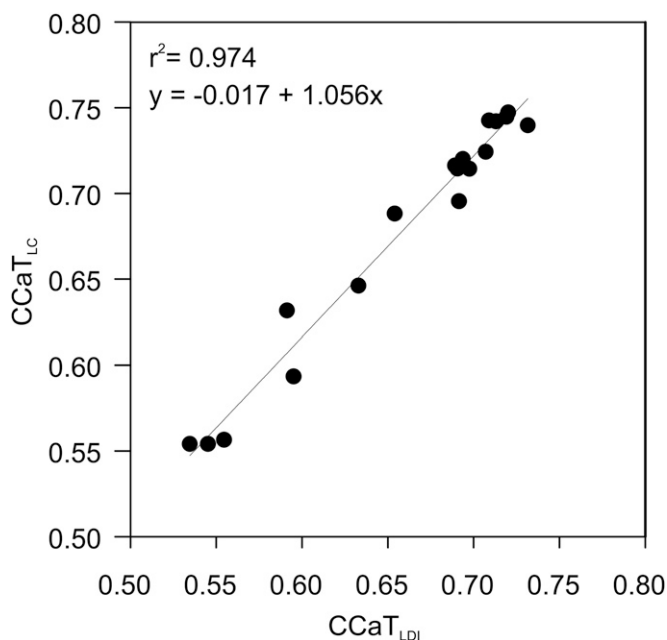


Fig. 1. Correlation between LDI FTICR-MS and HPLC/APCI-MS measurements of the CCaT; 21 sediment samples retrieved during *Meteor* cruise M84-1 (Table S1) were used to test linear correlation of LDI and HPLC/APCI-MS measurements.

Temporal Variations of GDGT Distributions. The downcore profile of GDGT distribution was obtained by averaging individual measurements of each horizontal layer to a single reported data point (Fig. 2B). Even though sapropel S1 was deposited under relatively low sedimentation rates (see *Supporting Information* for sedimentation rate estimation), the lack of bioturbation caused by anoxia combined with our spatial resolution translates into a temporal resolution of ~ 4 y. Horizontal averages of the CcAT range from 0.59 to 0.79, which correspond to SST estimates of 20.5–33.8 °C (Fig. 3A). Analytical uncertainty for these measurements was estimated by 122 data points of a ground sediment sample as 0.026 CcAT ratio units (2σ) (*Supporting Information*). A similar SST range is obtained when converting the CcAT into TEX₈₆ according to Eq. S6 and applying the calibration proposed for SST estimations in warm (SST > 15 °C) regions or periods (ref. 28; Eq. S7). A smoothed seven-point running average curve, equivalent to ~ 30 -y SST averages of 24.1–30.7 °C, emphasizes the potential presence of a cyclic forcing of the GDGT distribution, which we will further examine in the next section. The estimated high SST are suggestive of significant warming during S1 deposition, which coincides with the HCO (34) and may also reflect increased seasonality during warm episodes (16, 43).

Although SST is an important factor influencing the GDGT distribution, the variations of the CcAT likely integrate additional forcing factors that amplify its cyclic signal amplitudes. These alternative factors include regular shifts in contributions of different archaeal ecotypes (35, 36) that may influence the relative amounts of GDGT-0 and -5 (5). When the annual SST range is large, a recurring shift in the seasonality of GDGT production and export could also result in strongly altered CcAT values. Dimly lit chemoclines are considered to be locations of intensified thaumarchaeal production (38, 44) and short-term, cyclic changes in water-column stratification, redox conditions, and nutrient and light regimes may result in varying GDGT fluxes from different depths.

The individual methanotrophy-sensitive MI_{LDI} values mostly range from 0.28 to 0.40 (Fig. S8). Liquid chromatography-based values above MI = 0.3 indicate significant contribution of GDGTs from methanotrophic archaea (26), for example, due to

enhanced methane flux and associated intensification of anaerobic oxidation of methane in the sediment. Correcting for the slightly elevated values obtained through LDI (Eq. S4), the threshold indicating substantial methane influence would be placed at an MI_{LDI} of 0.39. High rates of sedimentary methanotrophy are an implausible explanation for the relatively high MI values at this location because sapropel S1 is placed well in the sulfate-reduction zone at seawater-like sulfate concentrations combined with a high sulfate penetration depth (45) and extremely low methane concentrations (46), all these factors being consistent with low fluxes of methane. Thus, the elevated MI values may reflect enhanced methane oxidation in the stratified, anoxic water column during sapropel formation, as has been described in the modern euxinic Black Sea (47).

Solar Forcing of GDGT Distributions. The CcAT time series was examined for periodic cyclicity by spectral analysis and bandpass filtering of relevant frequencies. Spectral analysis of the CcAT series revealed one single peak with a frequency around 0.75 cycles cm^{-1} that is distinct from red noise and thus is statistically significant (48) (Fig. 3B). Subsequent bandpass filtering was optimal when centered at a frequency of 0.79 cycles cm^{-1} (Fig. 3C); the compelling match stresses the existence of a major driving force behind CcAT variations. These cyclic variations would not have been observable with conventional high-resolution studies in this setting.

With a sedimentation rate of 6.3 cm ky^{-1} (*Supporting Information*), the observed frequency of 0.75 cycles cm^{-1} corresponds to a cycle length of 212 y. This frequency closely matches the de Vries or Suess cycle of ~ 200 y, which, together with the Gleissberg cycle (~ 90 y), is among the best-known cycles of solar variation as assessed by the production of cosmogenic radionuclides (^{10}Be , ^{14}C) (49–52). Investigations examining climate forcing by solar variability have been hampered by the need for combining robust proxy records, high resolution, and accurate age assessment. Still, evidence has accumulated over the last decades that confirm the importance of solar forcing in the Holocene (ref. 53 and references therein), and especially an impact on precipitation-evaporation budgets, as evidenced by $\delta^{18}\text{O}$ analysis of stalagmites from southern Oman (54, 55) and the Dongge Cave in China (56). Solar influence in the subpolar North Atlantic has been established based on correlations between ^{10}Be and ^{14}C and proxies of drift ice (57) and biological and geochemical data retrieved from lake sediments (58). The vast majority of these studies are land based, but evidence for sun–climate relations is hardly present for the marine realm (53). In the modern Mediterranean Sea, shallow water cores from the Gulf of Taranto served to suggest solar forcing through the observation of significant decadal or centennial cycles in carbonate (59), thermoluminescence (60) or foraminiferal $\delta^{13}\text{C}$ (61). A considerable covariance between SST estimated by the alkenone-based $U^K_{37'}$ index and atmospheric $\Delta^{14}\text{C}$ was also observed from 1,420 to 1,920 (62). Recent modeling efforts (63) identified the potential of the ~ 200 -y de Vries cycle, but not of the ~ 90 -y Gleissberg cycle, to impact both surface and middepth water temperature in the Mediterranean Sea.

Long-term amplitude of the alkenone-based SST oscillations described in the modern Gulf of Taranto was 3.4 °C (62), and thus substantially lower than the amplitude suggested by our molecular proxy record that exhibit cyclic amplitudes on the order of 5 °C. As outlined above, signal amplification through a complex, cyclic interplay of archaeal ecology, shifts in seasonality and/or changing water column conditions may have contributed to the high amplitudes of periodic changes of the CcAT. Given robust evidence for a link between Holocene solar cycles and precipitation budgets in Northern Africa (54, 55) and the importance of freshwater supply and especially Nile River runoff for sapropel formation in the eastern Mediterranean (22), cyclic variations in the hydrologic regime on the continent and the

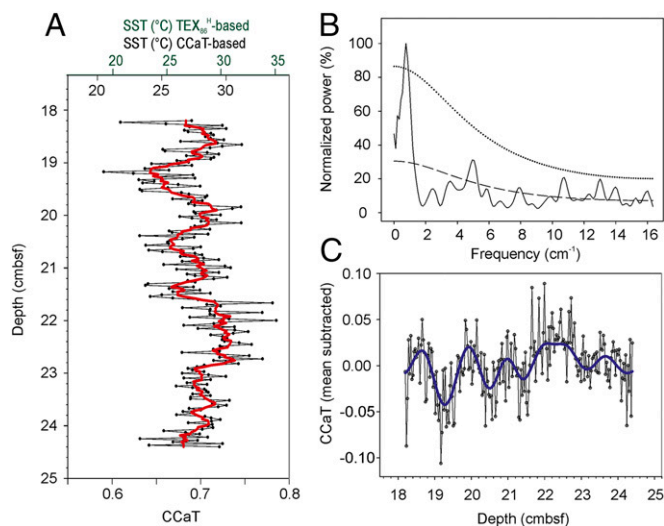


Fig. 3. (A) Downcore profile of CcAT and seven-point running average (red line). Data points are average values of ~ 15 measurements for each horizon. (B) Spectral analysis (REDFIT; 3 segments, Hanning window) for the downcore CcAT values, theoretical red noise (dashed line) and 99% false alarm level (dotted line). (C) Mean-subtracted downcore profile of CcAT overlaid with band-pass filtered signals centered at a frequency of 0.79 cycles cm^{-1} (blue line).

resulting changes in stratification, nutrient input, and redox conditions may have played a major role.

Outlook. This initial study of high-frequency variations of archaeal tetraethers in the sedimentary record demonstrated the enormous potential of this approach to interrogate paleoecological and paleoenvironmental processes at temporal resolutions that were previously inaccessible to the biomarker perspective. The full strength will become apparent in applications to sedimentary settings that enable the construction of records at subannual resolution, and in combination with techniques targeting the inorganic portion of the sediment such as X-ray fluorescence (XRF) scanning (64, 65). The extension of this approach to other informative biomarkers is highly attractive. For example, sedimentary sterols are reflective of the structure of planktonic communities and can be, like the GDGTs, examined via ratios of chemically similar compounds (66) and thus provide information on plankton dynamics. Likewise, long-chain alkenones and the corresponding U_{37}^K index (2) may be amenable to detection by LDI FTICR-MS and further enrich the interpretation of SST records. Comparison of the spatial patchiness of different biomarkers in their sedimentological context could improve our understanding of the ecological and physical mechanisms of molecular proxy formation. Examination of the spatial associations of archaeal core GDGTs and their intact polar precursors could shed light on debated issues regarding the contribution of seafloor archaea to the archaeal lipid record.

In addition to stratigraphic analysis of the biomarker record in sediments, LDI FTICR-MS may open new avenues for the examination of modern, spatially organized ecosystems, such as redox boundaries in sediments or microbial mats, where lipid biomarker and pigment analysis could reveal ultra-fine community composition. Several of these potentially interesting molecular targets for LDI FTICR-MS will require intense methodological development and possibly demand application of artificial matrix or derivatization techniques [e.g., sterols (67)], but could reward us with a transformative insight into the biomarker world.

Materials and Methods

Sediment Material. The high-resolution analysis was carried out on a sediment section from the gravity core GeoB 15103–1. This core was retrieved by RV *Meteor* in February 2011 during cruise M84-1 (DARCSEAS) from 33°02'N, 32°38'E, in the Levantine Basin, south off Cyprus. Present-day water depth is 1,424 m. The core is archived at the MARUM GeoB core repository (Bremen, Germany).

Steps of Method Validation and Implementation (cf. Fig. S2).

Step 1. To test the capacity of correctly ionizing and identifying GDGTs and to evaluate intrinsic variability of LDI FTICR-MS measurements, the selected test sample known to contain high amounts of GDGTs (see [Supporting Information](#) for details) was ground, placed on sample holders and analyzed with or without matrix (alpha-cyano-4-hydroxycinnamic acid, HCCA, and dihydroxy benzoic acid, DHB).

Step 2. To evaluate the ability of the LDI method to correctly establish the relative abundance of GDGTs with different numbers of rings (Fig. S1), 21 environmental samples were analyzed with (i) the newly developed method and (ii) the HPLC/APCI-MS method established in our laboratory for ether lipid analysis, including TEX₈₆ determination (19). All samples used for this comparison were obtained during *Meteor* cruise M84-1 [DARCSEAS (46)] and cover a range of burial depths, total organic carbon contents, and sedimentological properties (Table S1).

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Step 3. Finally, a high-resolution downcore profile of GDGT distributions was obtained from a 6.2-cm core segment from sapropel 1 at station GeoB15103-1 (46) (Fig. 2A). The selected segment with a 5-mm thickness was directly cut out of the core with a custom-made sample pan and dried. Results were later linearly corrected for observed shrinking of the sediment section after drying. After inspection of an undisturbed, even surface, the sample pan was mounted in a standard imaging sample holder (Bruker Daltonics), and a picture was taken before analysis by LDI FTICR-MS. Spatial distribution of GDGTs along this section was achieved by performing a raster with the laser across the sediment section in a regular pattern, with a distance between individual positions of 250 μ m. Spot diameter for each position was 175 μ m. Assuming a penetration depth of \sim 1 μ m and a bulk sediment density of \sim 1.3 g cm⁻³, each individual spectrum represents about \sim 31 ng of wet sediment; actual penetration depths are expected to depend on sedimentary fabric and might range from \sim 1 to 10 μ m, with correspondingly differing sample masses.

Instrumental Analysis of GDGTs by LDI FTICR-MS. LDI FTICR-MS analyses were carried out at the Bruker Daltonics facility in Bremen on a 12T solarix FTICR-MS coupled to a DUAL source with Smartbeam II laser (Bruker Daltonics). The FTICR-MS was run in continuous accumulation of selected ions mode (isolation $m/z = 1,320$; isolation width = 35), to improve the signal-to-noise ratio in the m/z range of isoprenoidal GDGTs (see [Supporting Information](#) for more details). Although conventional HPLC/APCI-MS analysis separates such compounds chromatographically, LDI FTICR-MS has to completely rely on mass resolution power for proper identification. To differentiate between a GDGT with *n* cycloalkyl moieties and the second isotopic peak of a GDGT with one more moiety, resolution has to exceed 150,000 at the m/z ratio of 1,300. We cannot rule out that sedimentary intact polar GDGTs contribute to the recorded signal due to their potential degradation during laser-based desorption, but this influence is probably small due to the protective effect of sediment matrix and the typically relatively low proportion of intact relative to total GDGTs (68). Automatic spatially resolved measurements were carried out using FlexImaging 4.0 and FTMSControl 2.0 (Bruker Daltonics). The spectra acquired from each position contain m/z and intensity information representative of the compounds at that position. For details on data processing see [Supporting Information](#).

Instrumental Analysis of GDGTs by HPLC/APCI-MS. Samples were extracted following a modified Bligh and Dyer method (69). HPLC/APCI-MS analysis of GDGTs was carried out following the method developed by (19) (see [Supporting Information](#) for more details).

X-Ray Fluorescence Analysis. The vertical extension of sapropel 1 in the sediment core at location GeoB 15103 was confirmed by XRF scanning to refine our estimate of sedimentation rates and thus establish a temporal framework for the relationship with solar cycles (see [Supporting Information](#) for more details).

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