

1 **Using NWP to assess the influence of the Arctic atmosphere on**  
2 **mid-latitude weather and climate**

3 **TIDO SEMMLER \***

*Alfred Wegener Institute, Helmholtz Centre for Polar and Marine Research, Bremerhaven, Germany*

4 **THOMAS JUNG**

*Alfred Wegener Institute, Helmholtz Centre for Polar and Marine Research, Bremerhaven, Germany*

*University of Bremen, Bremen, Germany*

5 **MARTA A. KASPER, SOUMIA SERRAR**

*Alfred Wegener Institute, Helmholtz Centre for Polar and Marine Research, Bremerhaven, Germany*

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\* *Corresponding author address:* Tido Semmler, Alfred Wegener Institute, Helmholtz Centre for Polar and Marine Research, Am Handelshafen 12, 27570 Bremerhaven, GERMANY.

E-mail: tido.semmler@awi.de

## ABSTRACT

7 The influence of the Arctic atmosphere on Northern Hemisphere mid-latitude tropospheric  
8 weather and climate is explored by comparing the skill of two sets of 14-day weather forecast  
9 experiments with the ECMWF model with and without relaxation of the Arctic atmosphere  
10 towards ERA-Interim reanalysis data during the course of the integration. Two pathways  
11 are identified along which the Arctic influences mid-latitude weather, one pronounced one  
12 over Asia and Eastern Europe and a secondary one over North America. In general, linkages  
13 are found to be strongest (weakest) during boreal winter (summer) when the amplitude  
14 of stationary planetary waves over the Northern Hemisphere is strongest (weakest). No  
15 discernable Arctic impact is found over the North Atlantic and North Pacific region, which  
16 is consistent with predominantly southwesterly flow. An analysis of the flow-dependence of  
17 the linkages shows that anomalous northerly flow conditions increase the Arctic influence  
18 on mid-latitude weather over the continents. Specifically, an anomalous northerly flow from  
19 Kara Sea towards Western Asia leads to cold surface temperature anomalies not only over  
20 Western Asia but also over Eastern and Central Europe. Finally, the results of this study  
21 are discussed in the light of potential mid-latitude benefits of improved Arctic prediction  
22 capabilities.

23 Keywords: Arctic, atmosphere, relaxation, Northern mid-latitudes, linkage, model

# 1. Introduction

Due to the rapid Arctic sea ice loss and associated Arctic surface warming, the Arctic and its linkages to the mid-latitudes has received increased interest in the climate research community in recent years, the progress of which is summarized in several review papers (e.g. Overland and Wang 2016; Gao et al. 2015; Vihma 2014; Budikova 2009). Most previous studies are based on either observational data, climate model sensitivity experiments with idealized sea ice conditions or the analysis of data from the Coupled Model Intercomparison Project 5 (CMIP5). While it is difficult to disentangle cause and effect from observations and CMIP5 data, the use of idealized sea ice conditions in models may result in changes of variability and/or inconsistencies along the sea ice edge.

Recently, higher-lower latitude linkages have been investigated from a different perspective by employing a relaxation method (Jung et al. 2014; Semmler et al. 2016). This approach has been originally introduced to diagnose the origin of forecast errors (Jung et al. 2010a) and to investigate causes for the anomalously cold European winters in 2005/06 and 2009/10 (Jung et al. 2010b, 2011). The idea is to run two experiments with a Numerical Weather Prediction (NWP) model: a control forecast experiment using a standard set-up for weather prediction, and another experiment in which the NWP model is relaxed towards reanalysis data in the Arctic. In the relaxation experiment, thus, the observed state is prescribed in the relaxation area. Comparing the relaxation experiment to the standard simulation in which the atmosphere can freely develop everywhere, given a lower boundary forcing, one can diagnose the influence that the atmosphere in the relaxation area has on remote regions. To reduce sampling uncertainty, this has to be done several times in an ensemble approach with different start dates taken from the reanalysis data as initial conditions.

Here, we use the relaxation approach of Jung et al. (2010a) to identify the main atmospheric pathways along which the Arctic atmosphere influences mid-latitude weather and climate. By employing an NWP approach this study will also provide some insight into the potential improvement of medium-range weather forecasting in mid-latitudes that could

51 be obtained by enhancing prediction capabilities in the Arctic (e.g. through an enhanced  
52 Arctic observing system). This study is an extension of the work by Jung et al. (2014),  
53 which focusses on the winter season and that uses ERA-40 rather than ERA-Interim data  
54 (this study) for relaxation, the latter which is of much enhanced quality and covers more  
55 recent years. Compared to the previous relaxation experiments in which primarily the mid-  
56 troposphere large-scale circulation was investigated, in this study we also consider the impact  
57 of tropospheric relaxation on surface parameters which are more socio-economically relevant.  
58 Furthermore, we do not restrict our investigation to the winter season. Rather, we consider  
59 the seasonal cycle of Arctic-midlatitude linkages and explore possible reasons. Another im-  
60 portant difference is the usage of a clearly smaller relaxation area restricted to the Central  
61 Arctic.

62 The outline of the paper is as follows: Details of the experimental setup are given in  
63 section 2; this is followed by a description of the results in section 3. Finally, the outcomes  
64 of this study are discussed and conclusions drawn in section 4.

## 65 **2. Methods**

### 66 *a. Experimental set-up*

67 Numerical experiments were carried out with model cycle 38r1 of the Integrated Forecast  
68 System (IFS), which has been run operationally at the European Centre for Medium-Range  
69 Weather Forecasting (ECMWF) from 19 June 2012 to 18 November 2013. A spatial resolu-  
70 tion of  $T_L255$  was employed, which corresponds to about  $0.7^\circ$  in the horizontal. In the vertical  
71 60 levels were used. Two 14-day forecasts with a time step of 45 minutes were computed  
72 for each month between January 1979 and December 2012—the first (second) forecast being  
73 initialized on the 1st (15th) day of the month. SST and sea ice fields from the ERA-Interim  
74 reanalysis were used as lower boundary condition. ERA-Interim reanalysis data were also  
75 used for initialization of the forecast and as a reference when computing forecast errors.

76 Model results were archived every 6 hours and remapped onto a 2.5° grid.

77 *b. Relaxation set-up*

78 To investigate the remote impacts of the Arctic, the development of error during the  
79 forecast was artificially reduced by relaxing the model towards reanalysis data in the polar  
80 regions north of 75°N (also south of 75°S). This was realized by adding an extra term of the  
81 following form to the prognostic equations:

$$-\lambda(\mathbf{x} - \mathbf{x}_{\text{ref}}) \quad (1)$$

82 where  $\mathbf{x}$  is the prognostic variable;  $\mathbf{x}_{\text{ref}}$  is the reanalysis value towards which the model  
83 state is drawn; and  $\lambda$  is the relaxation strength parameter. In our study  $\lambda$  assumes a  
84 maximum value of 0.1 per time step. This means that every time step the model's tendency  
85 is moved towards the reanalysis data by taking 10% of the difference between model result  
86 and reanalysis data. To smooth the border of the relaxation area, a hyperbolic tangent over  
87 a 20° wide zonal belt was applied. In this region  $\lambda$  increases smoothly from 0 to its maximum  
88 value, with the nominal border of the relaxation area in the middle of the 20° belt (for more  
89 details see Jung et al. (2010a)). The relaxation was applied in the troposphere up to 300  
90 hPa to zonal and meridional wind components, temperature, and the logarithm of surface  
91 pressure.

92 In this study, two sets of forecasts were produced: one control integration (CTL) without  
93 relaxation, and one in which the troposphere is relaxed to ERA-Interim data north of 75°N  
94 and south of 75°S (R75). Note that the relaxation has only been applied to the tropospheric  
95 prognostic variables described above and not to surface parameters such as sea ice and SST  
96 which are prescribed in the same way in CTL and R75, or snow cover which freely develops  
97 from the initialization state in both CTL and R75. The difference between CTL and R75  
98 is evaluated in terms of forecast skill in the Arctic and in the Northern mid-latitudes; the  
99 influence of the relaxation over Antarctica is described in a companion paper (Semmler et al.

100 2016). For the time scales considered here, it can be assumed that the relaxation over the  
101 Southern Hemisphere has no influence on the Northern Hemisphere and vice versa. This is  
102 a reasonable assumption given that a forecast length of 14 days is hardly long enough for  
103 possible signals to cross hemispheres.

#### 104 *c. Data analysis*

105 To study the seasonality of the Arctic influence on mid-latitude weather, the year was  
106 divided into four seasons: winter (December, January, February), spring (March, April,  
107 May), summer (June, July, August), and autumn (September, October, November). In  
108 total 204 forecast members were produced for each season. To reduce the noise level, the  
109 data were averaged over a time window of 24 hours.

110 In order to quantify the Arctic impact several mid-latitude ( $40^{\circ}\text{N}$ – $60^{\circ}\text{N}$ ) regions have  
111 been defined:

112 Europe (EURO):  $20^{\circ}\text{W}$ – $40^{\circ}\text{E}$

113 Northern Asia (NEAS):  $60^{\circ}\text{E}$ – $120^{\circ}\text{E}$

114 Northern North America (NNAM):  $130^{\circ}\text{W}$ – $70^{\circ}\text{W}$

115 These regions were selected because they are highly populated areas which show relatively  
116 strong reduction of forecast error due to Arctic relaxation.

#### 117 *d. Composite analysis*

118 To understand whether the Arctic influence is linked to specific atmospheric situations  
119 (i.e. flow-dependence), we performed composite analyses for each region considering 500 hPa  
120 geopotential height ( $z_{500}$ ) and mean sea level pressure (MSLP). For each pair of simulations,  
121 we considered the difference of the root mean square error (RMSE) between R75 and CTL.  
122 We calculated the RMSE using ERA-Interim reanalysis data. We selected forecasts that  
123 were improved due to relaxation, considering each time window of 24 hours separately. A

124 forecast was considered to be improved for a particular time window if the error reduction  
125 was higher than the limit defined as mean error reduction of the ensemble plus one standard  
126 deviation. For the composite of improved forecast members we extracted corresponding  
127 reanalysis fields and averaged them. We did the same for the remaining forecast members to  
128 form a composite of neutral forecasts. To examine anomalous flow conditions for improved  
129 forecasts, we calculated differences between the two composites.

### 130 **3. Results**

#### 131 *a. Arctic influence on mid-latitude prediction skill*

132 The RMSE growth of daily averaged z500 with and without Arctic relaxation, averaged  
133 over the entire Northern mid-latitudes, is shown in Fig.1(a). For both integrations (CTL and  
134 R75), the error increases strongly during the first 10 days, after which error growth starts  
135 to saturate. The same holds for sub-regions of the Northern mid-latitudes (Fig.1(b)–(d))  
136 although there are differences in the magnitude of these values, with the largest values found  
137 for Europe (around 180 m) in winter and the smallest ones over Northern Asia (around 120  
138 m in winter). Over Northern North America the values are similar to the average over the  
139 entire Northern mid-latitudes. A feature prevailing over the entire Northern mid-latitudes is  
140 that summer RMSE values are clearly smaller than winter RMSE values, reflecting the fact  
141 that day-to-day variability is much larger for the latter. Spring and autumn RMSE values  
142 are only slightly lower than those for winter. Over Europe (Asia) seasonal differences are  
143 largest (smallest).

144 Error reductions depicted in Fig.2 are generally small and amount to around 5% when  
145 averaged over the entire Northern mid-latitudes. However, over Northern Asia values are  
146 much higher, amounting to about 15% in autumn. In the other seasons, error reductions  
147 around 10% are found.

148 An important question, arising from these results, is why there are such pronounced

149 seasonal and regional differences. To shed light on this issue, it is worth considering the  
150 climatological mean flow and its variability. Fig.3(a), (c), (e), and (g) shows z500 climatolo-  
151 gies from the ERA-Interim reanalysis data used for the relaxation experiments for different  
152 seasons. The meridional gradient of z500 is reduced by about a third in summer compared  
153 to winter while spring and autumn take somewhat intermediate values. Furthermore, when  
154 taking the standard deviation over all 6-hourly ERA-Interim output intervals per season  
155 for each gridpoint, it turns out that there is less variability in summer than in winter (not  
156 shown). In addition, the deviation from the zonal mean—that is, the strength of the clima-  
157 tological, stationary planetary waves—is weaker in summer than in winter while spring and  
158 autumn are in between (Fig.3(b), (d), (f), and (h)).

159 Also the regional differences in forecast error and its reduction in Figs. 1 and 2 can be  
160 explained by the atmospheric circulation (mean and variability). The large RMSE over  
161 Europe compared to the other regions can be explained by the large standard deviation of  
162 z500 over this region. When considering the deviation from the zonal mean of z500 (Fig.3(b),  
163 (d), (f), and (h)) it becomes obvious that Northern Asia and Northern North America are  
164 the areas with northerly components in the mean westerly flow conducive for a large Arctic  
165 influence on the mid-latitude weather and climate. For Northern Asia this materialises in  
166 the largest RMSE reduction from the relaxation. Interestingly, the same is not true for  
167 Northern North America. One possible explanation would be the Pacific influence given the  
168 prevailing westerly flow, strong upstream impact from a region known for the importance of  
169 mid-latitude dynamics (North Pacific) and the southerly component over the Pacific Ocean  
170 (Fig.3(a), (c), (e), and (g)). This may especially influence the western part of the Northern  
171 North America region reaching out to 130°W according to our definition.

172 Figs. 4 and 5 provide a more comprehensive picture of the geographical distribution of  
173 the error reduction for the different seasons both in the mid-troposphere (z500) and close  
174 to the surface (2 m temperature: t2m). We consider two forecast ranges: Averaging over  
175 forecast lead times of 4–7 days, when it is still influenced by the initial conditions and error



176 growth has not saturated yet; and averaging from 8–14 days when the initial conditions play  
177 a smaller role and error saturation is much more pronounced.

178 Figs. 4 and 5 confirm that RMSE reduction due to Arctic relaxation shows some strong  
179 regional dependency. Perhaps the most striking feature is the relatively strong Arctic in-  
180 fluence over the continents, especially over Asia, compared to the oceans. As mentioned  
181 above, this can be explained by the climatological troughs over the east coasts of northern  
182 Asia and northern North America, leading to transport of Arctic air into northern Asia and  
183 Canada (Fig. 3). As argued by Jung et al. (2014) a possible explanation for a smaller im-  
184 pact over the oceans lies in the fact that the North Atlantic and North Pacific regions are  
185 primarily determined by mid-latitude dynamics due to the relatively low-latitude location of  
186 the main storm formation regions over the Gulf Stream and Kuroshio regions. Furthermore,  
187 from Fig.3(b), (d), (f), and (h) it becomes obvious that over the oceans there is a southerly  
188 component in the mean westerly flow leading to a stronger influence from lower latitudes  
189 over the oceans.

190 The Arctic signal propagates southward relatively quickly over Asia. During the second  
191 week, for example, RMSE reduction is evident as far south as 20–40°N, although the pic-  
192 ture becomes somewhat noisy as we go towards longer forecast lead time due to increased  
193 sampling variability. Over Europe and North America only in winter and spring consistent  
194 improvements between 5 and 10% are evident for days 4 to 7 and days 8 to 14. During the  
195 other seasons, the Arctic impact appears to be smaller and the results are less conclusive in  
196 terms of error reduction. The west coasts of North America and Europe, which are marked  
197 by maritime climate, show a rather small influence from the Arctic, consistent with the lesser  
198 influence over the oceans.

#### 199 *b. Flow-dependence*

200 After having established the existence of preferred pathways along which the Arctic  
201 influences mid-latitude weather, it is worth asking whether the strength of this linkage is

202 flow-dependent. Fig.6 shows z500 anomalies over the Northern Hemisphere that go along  
203 with anomalously large improvements in forecast skill over Asia with Arctic relaxation. It  
204 turns out that the link is strongest when anomalous northerly flow from the Kara Sea brings  
205 air of Arctic origin towards mid-latitudes as can be deduced from positive z500 anomalies over  
206 north-eastern Europe and negative z500 anomalies over parts of Asia; this is especially true  
207 during boreal winter. It is clearly reflected by a substantial cold anomaly close to the surface  
208 in winter (Fig.7). The cold surface anomaly amounts to about 3 K and extends into the  
209 Eastern and Central European area because of the z500 anomalies leading to an anomalous  
210 easterly flow to the south of the positive z500 anomalies over north-eastern Europe and is  
211 accompanied by warm anomalies over the Barents Sea, Greenland and north-eastern North  
212 America. The colder European temperatures are consistent with a weaker zonality of the flow  
213 which weakens the upstream influence from the North Atlantic. The circulation anomalies  
214 are similar to the positive phase of the Eurasia-1 pattern (Barnston and Livezey 1987). In  
215 winter the northerly flow anomaly from the Kara Sea into Western Asia is accompanied by  
216 a southerly flow anomaly over Eastern Asia as can be deduced from the z500 anomalies in  
217 Fig.6 indicating a weakening of the East Asian winter monsoon.

218 The character of the flow-dependence for Europe and North America, that is, anomalous  
219 northerly flow associated with cold air outbreaks into the considered region increases the  
220 linkage, is comparable to that over Asia, at least during winter and spring (not shown). In  
221 winter and to some extent in spring unusually skilful forecasts for Europe seem to occur  
222 especially in situations with the negative phase of the East Atlantic pattern as defined by  
223 Barnston and Livezey (1987). Similarly, like for northern Asia, the anomaly pattern reduces  
224 the zonality of the flow and weakens the North Atlantic influence. For northern North  
225 America the anomalous flow pattern does not resemble any well-established teleconnection  
226 pattern. However, like in the other regions, it is associated with a change in the meridionality  
227 of the flow.

## 228 4. Discussion and conclusions

229 While many previous studies investigated the influence of Arctic surface conditions such  
230 as sea ice or snow on the large-scale circulation with climate model experiments or obser-  
231 vational data, here we identified links between the Arctic and the Northern mid-latitude  
232 atmosphere by carrying out NWP experiments with and without relaxation towards reanal-  
233 ysis data in the Arctic atmosphere north of 75°N.

234 Our Arctic relaxation experiments bring an improvement to forecasts in the Northern  
235 mid-latitudes which is largest over continental areas, especially during winter and in Asia.  
236 It is reassuring that results are consistent with Jung et al. (2014), despite the clearly smaller  
237 relaxation area (north of 75°N instead of north of 70°N). Compared to Jung et al. (2014), it  
238 is a new and important result that the Arctic influence is strongest in winter and weakest in  
239 summer. Over Asia, reductions of forecast error of up to 15% both in z500 and in t2m could  
240 be achieved if one had perfect knowledge of the Arctic atmosphere. Our results, thus, suggest  
241 that improved weather predictions in the Arctic (e.g. through an improved observing system)  
242 have the potential to improve prediction skill in mid-latitudes over the continents—especially  
243 during periods with anomalously northerly flow. In summer the impact of the Arctic over  
244 the continental areas is generally weaker due to reduced amplitudes of stationary planetary  
245 waves associated with more zonally oriented flow.

246 Even though our relaxation approach is different from the methods used in most previous  
247 studies on the influence of the Arctic on the mid-latitudes and even if we are investigating  
248 the influence of the Arctic troposphere as opposed to Arctic surface conditions such as sea  
249 ice or snow cover, it is noteworthy that the main pathways identified along which the Arctic  
250 can influence midlatitudes are consistent: Previous studies suggest that Siberia tends to  
251 be strongest influenced in winter by changes in the Arctic surface conditions such as sea  
252 ice concentration and snow especially over the Barents Sea/Kara Sea area and Eurasia but  
253 also over the entire Arctic in the preceding summer/autumn (e.g. Honda et al. 2009; Cohen  
254 et al. 2012; Francis et al. 2009); Siberia in turn has been identified to be a key region which

255 influences the weather of Northern Europe and to some extent the whole Northern mid-  
256 latitudes (Cohen et al. 2012, 2001). Indeed, in cases of a strong pathway from the Kara Sea  
257 to Western Asia as indicated by northerly flow anomalies from Kara Sea to Western Asia,  
258 cold anomalies over Western Asia extending into Eastern and Central Europe as well as  
259 southerly flow anomalies over Eastern Asia indicating a weakening of the East Asian winter  
260 monsoon occur, features which have been associated with Barents Sea/Kara Sea ice loss in  
261 the preceding autumn (Wu et al. 2015). However, in the present study it is not sea ice loss  
262 driving the stronger pathway from Kara Sea to Western Asia as the following consideration  
263 indicates.

264       Given the pronounced loss of Arctic sea ice during recent decades (e.g. Parkinson and  
265 Comiso 2013), it is worth asking the question whether associated large scale circulation  
266 changes might alter the teleconnectivity and hence the impact that Arctic prediction has on  
267 lower latitudes. In this context, a trend towards enhanced meridionality, especially over the  
268 continents, could lead to an intensification of the influence of the Arctic atmosphere on the  
269 Northern mid-latitudes. Therefore, it could be expected that most of the strongest improved  
270 forecasts over Western and Central Asia would occur towards the end of the considered time  
271 period from 1979 to 2012. However, in none of the seasons any such trend could be identified  
272 over the past 30 years. Therefore, it can be argued that the recent Arctic sea ice loss has not  
273 prompted any change in the strength of the influence of the Arctic atmosphere on Northern  
274 mid-latitude weather and climate. This also means that we can not confirm previous studies  
275 such as Francis and Vavrus (2012) and Tang et al. (2013) linking stronger meridionality in  
276 the flow and more extreme cold and hot events with shrinking Arctic sea ice in winter and  
277 summer, respectively. It remains to be seen if possible future circulation changes will be  
278 large enough to change the strength of the influence that the Arctic atmosphere exerts on  
279 the Northern mid-latitudes.

280       Oceanic areas such as the North Atlantic and the North Pacific as well as the west of  
281 North America and Western Europe are less affected by the Arctic, at least on the time scales

282 considered here. It might be argued that this is a result of the relatively southerly location  
283 of the jet stream along with a predominantly southwesterly flow, suggesting that instead  
284 mid-latitude (and probably also tropical and subtropical) dynamics play a more important  
285 role.

286 Our experiments show that there is scope for improved weather forecasts especially in  
287 northern Asia, but to some extent also in north-eastern Europe and northern North America  
288 if forecasts can be improved in the Arctic off the Siberian coast and to some extent off the  
289 Canadian Arctic coast. In contrast, an improvement of Arctic weather forecast capabilities  
290 does not seem to help improving weather forecasts for the western coasts of Europe and  
291 North America.

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371		box) for winter considering forecast lead times 1 to 7 days. Stippled areas	
372		indicate areas significant according to a Wilcoxon test.	23

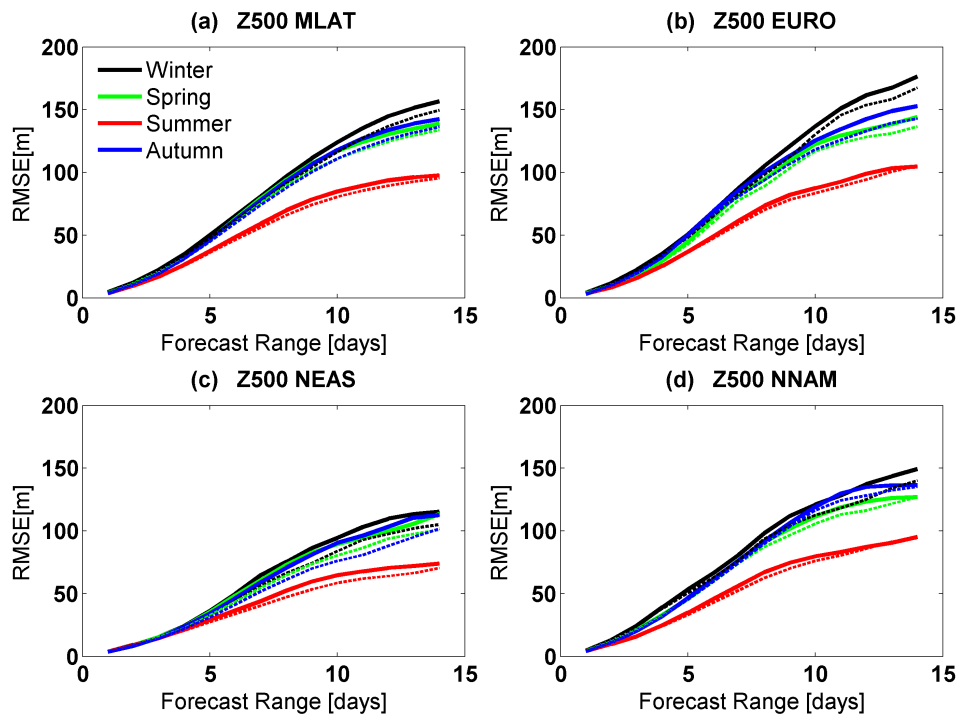


FIG. 1. RMSE of z500 [m] as a function of forecast lead time (in days) for different seasons and forecast experiments (solid line: CTL; dashed line: R75): (a) averaged over the whole Northern mid-latitudes between 40°N and 60°N (MLAT), (b) averaged over Europe (40°N to 60°N, 20°W to 40°E, EURO), (c) averaged over Northern Asia (40°N to 60°N, 60°E to 120°E, NEAS), (d) averaged over Northern North America (40°N to 60°N, 130°W to 70°W, NNAM)

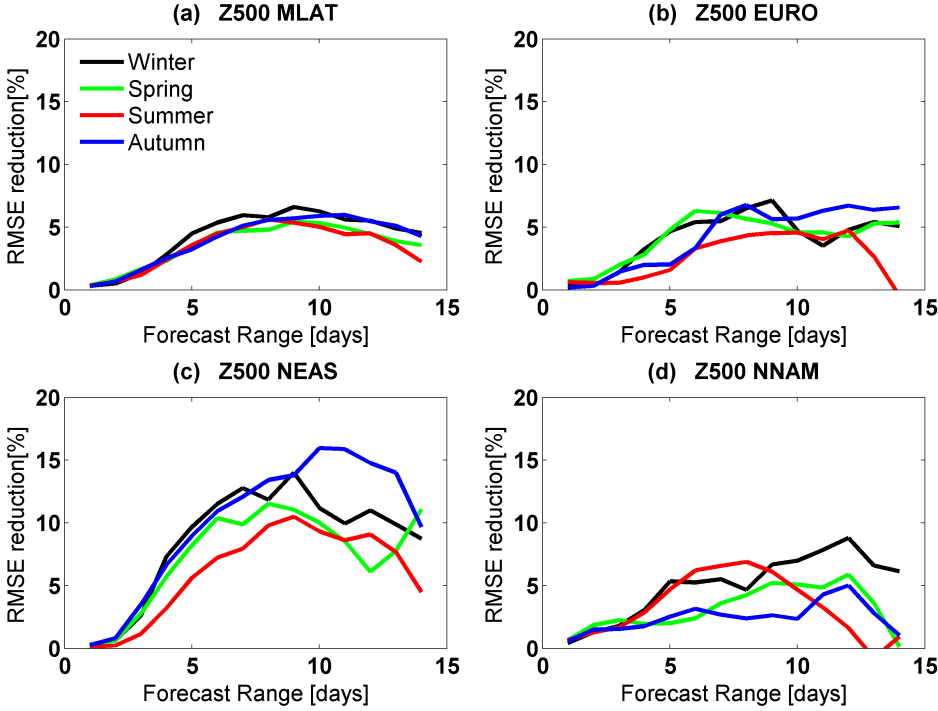


FIG. 2. RMSE reduction [%] of z500 forecasts due to Arctic relaxation as a function of forecast lead time (in days) for different seasons and regions: (a) averaged over the whole Northern mid-latitudes between 40°N and 60°N (MLAT), (b) averaged over Europe (40°N to 60°N, 20°W to 40°E, EURO), (c) averaged over Northern Asia (40°N to 60°N, 60°E to 120°E, NEAS), (d) averaged over Northern North America (40°N to 60°N, 130°W to 70°W, NNAM)

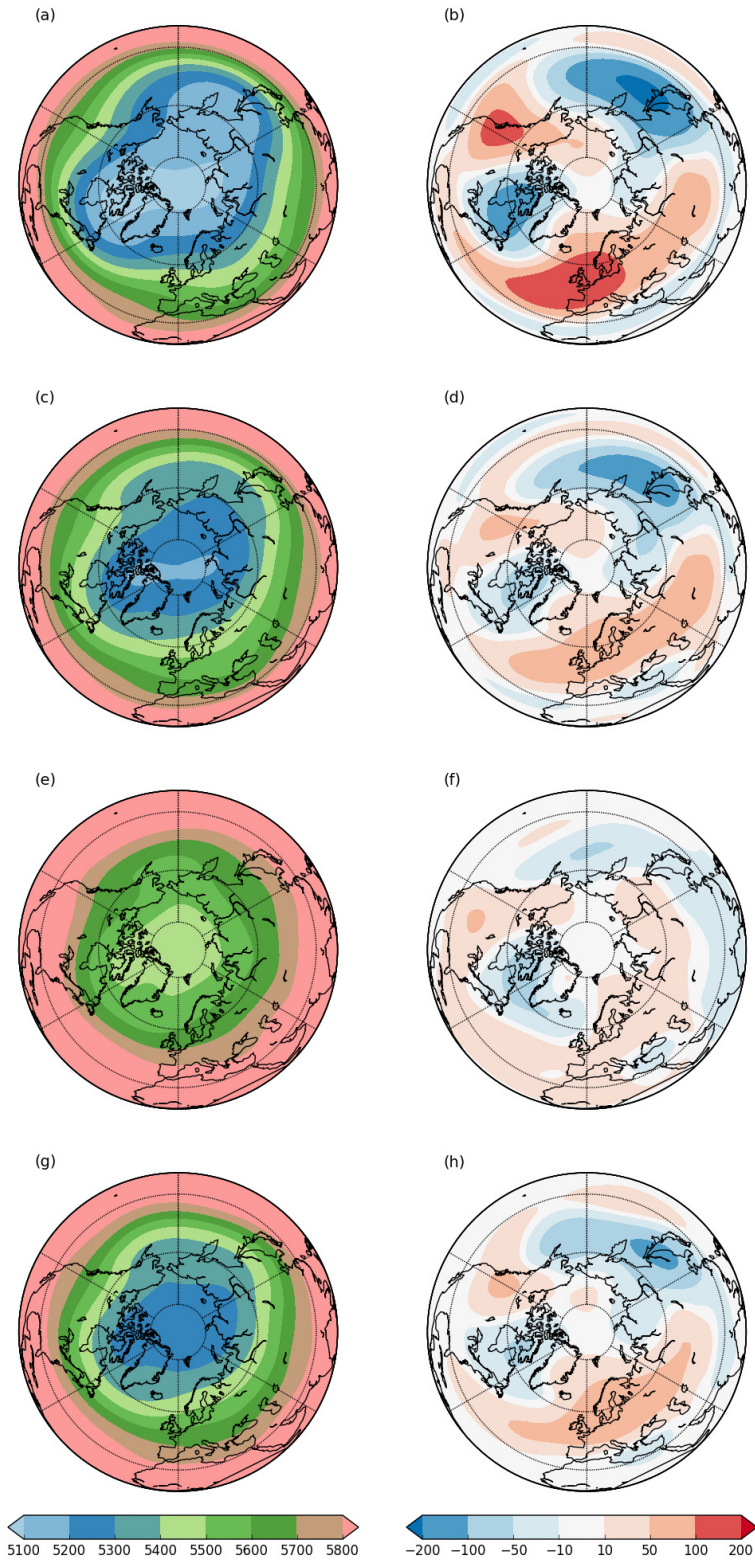


FIG. 3.  $z_{500}$  [m] from the ERA-INTERIM data used for the relaxation: (a) winter mean, (b) mean stationary wave field (deviation from zonal averages) for winter, (c) and (d) as (a) and (b) but for spring, (e) and (f) for summer, and (g) and (h) for autumn.

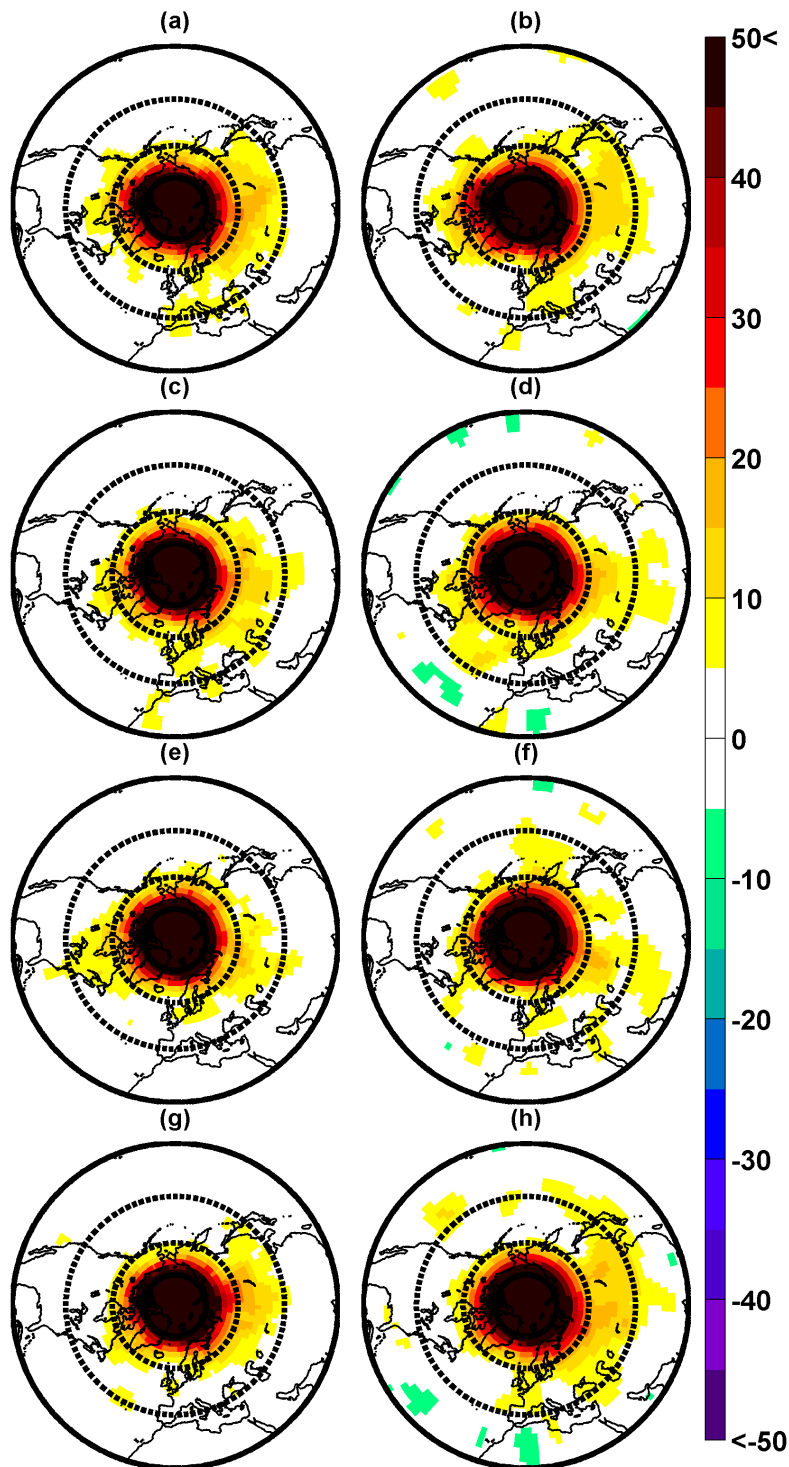


FIG. 4. RMSE reduction [%] of the z500 forecasts for the Northern Hemisphere north of  $20^{\circ}\text{N}$  due to Arctic relaxation and for different seasons: (a) winter averages over forecast lead times 4 to 7 days, (b) winter averages over forecast lead times 8 to 14 days, (c) and (d) as (a) and (b) but spring, (e) and (f) summer, and (g) and (h) autumn. The dashed lines indicate the Northern mid-latitude region from  $40^{\circ}\text{N}$  to  $60^{\circ}\text{N}$ .

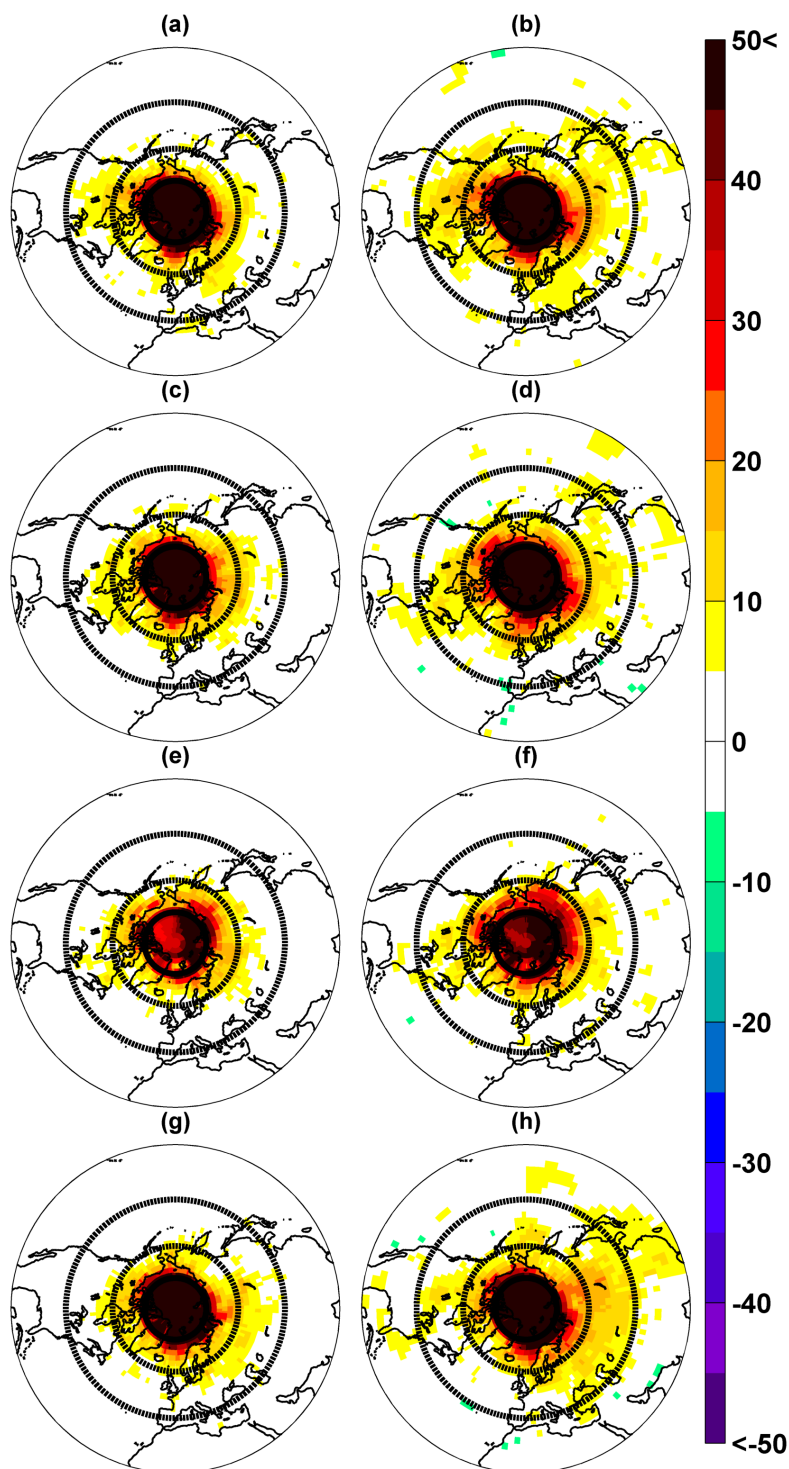


FIG. 5. Same as in Fig. 4, but for 2m temperature forecasts.

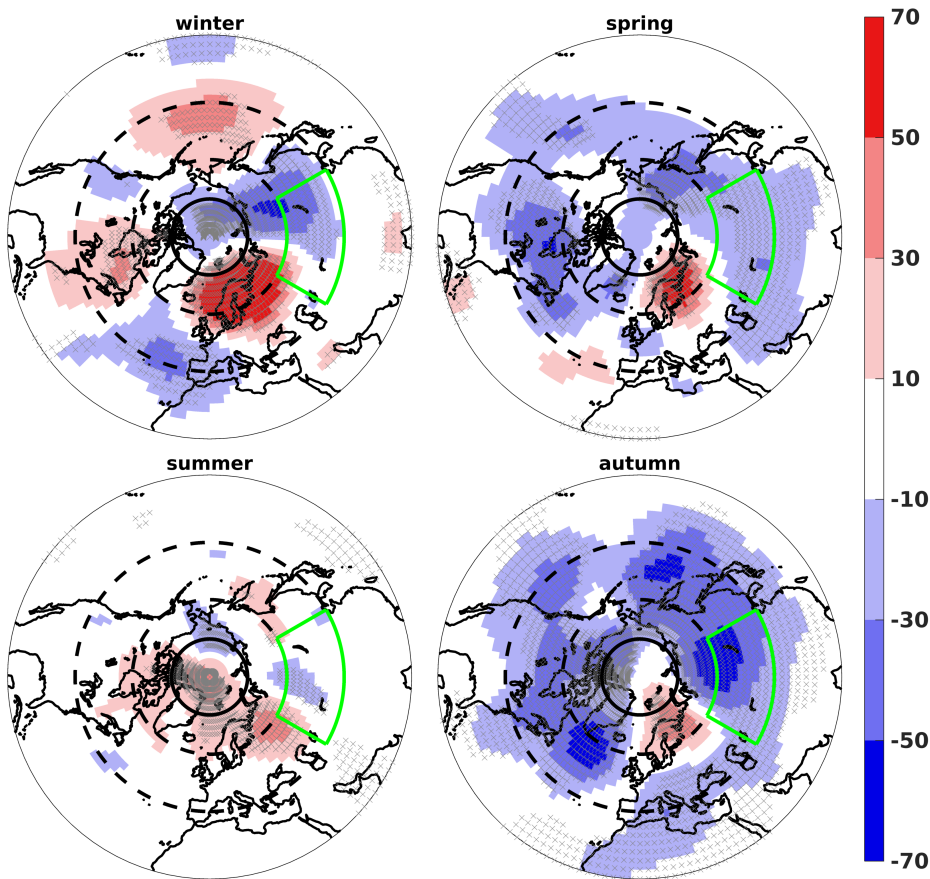


FIG. 6.  $z_{500}$  difference [m] between mean composites for improved and neutral forecasts with Arctic relaxation for Northern Asia (green box) considering forecast lead times 1 to 7 days. Stippled areas indicate areas significant according to a Wilcoxon test.

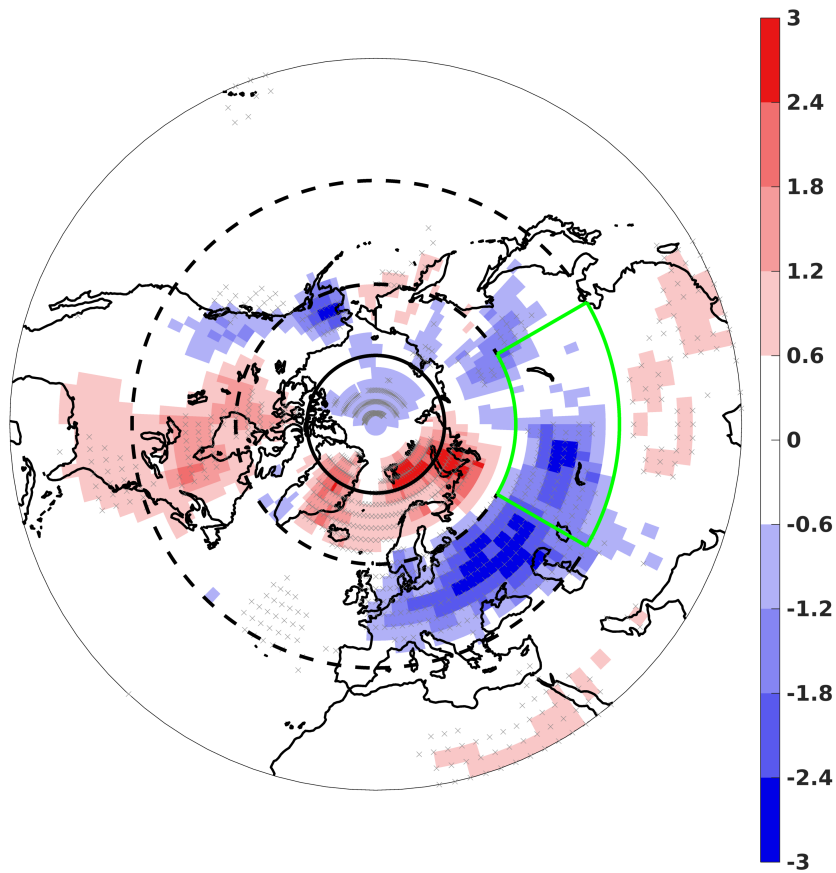


FIG. 7.  $t_{2m}$  difference [K] between mean composites for improved and neutral forecasts (with respect to  $z_{500}$ ) with Arctic relaxation for Northern Asia (green box) for winter considering forecast lead times 1 to 7 days. Stippled areas indicate areas significant according to a Wilcoxon test.