A photograph of a lidar instrument dome at night. A bright green laser beam is visible, extending vertically from the dome towards the sky. The dome is illuminated from below, and the surrounding environment is dark. The text is overlaid on the right side of the image.

Properties (and open questions) of Arctic  
Haze observed by lidar in Ny-Alesund,  
Spitsbergen

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(AWI Potsdam)

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## Outline:

What is Arctic Haze?

The site and the (aerosol) remote instruments of Ny-Ålesund

Properties of Arctic Haze:

optical properties, from optical to microphysical properties,  
hygroscopicity

Open Questions:

Closure (“overestimation”?), pollution pathways

Next steps:

MOSAIC (pathways), AC3: radiation & clouds

# Arctic Haze: spring-time „air-pollution“ in the Arctic

What do we know about Arctic Haze:

Can reduce visibility

Composition: sulfates, organics, few metals, (Ny-Ålesund) sea spray, little BC  
(Udisti 2016, Tunved 2013)

Origin: mostly anthropogenic (Quinn 2007), but also forest fires (Warneke, 2009)

mixing state: turns into internal mixture during aging (Hara 2003)

Size: around  $0.2\mu\text{m}$  diameter (Tunved 2013)

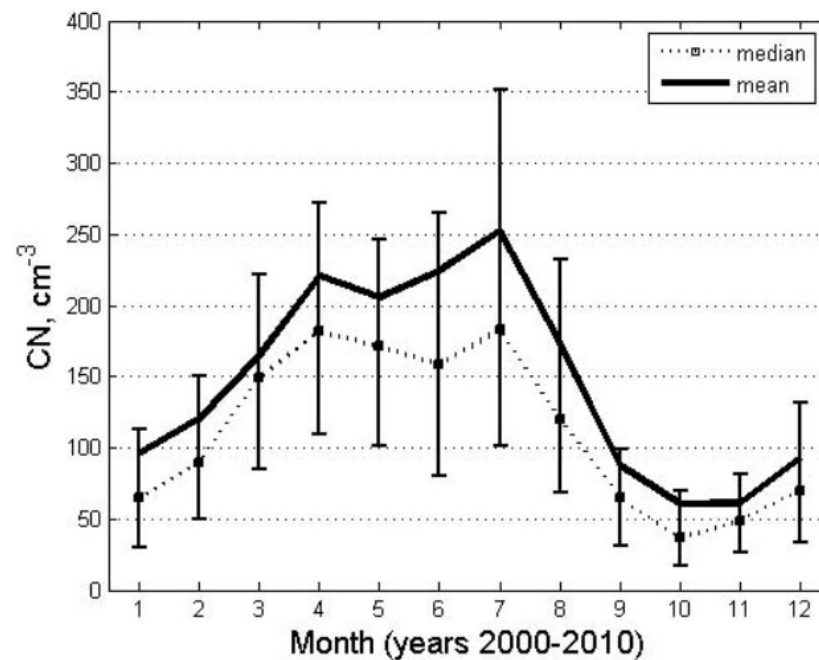
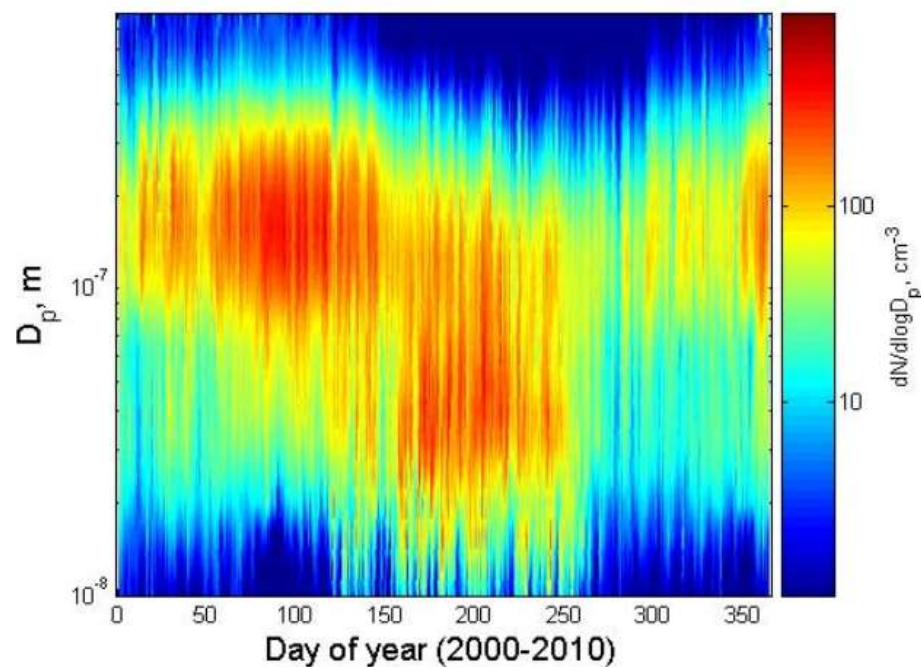
Max AOD due to size not due to concentration!

Photo:

Extreme event, agricultural flaming May 2006  
(Stohl 2006)



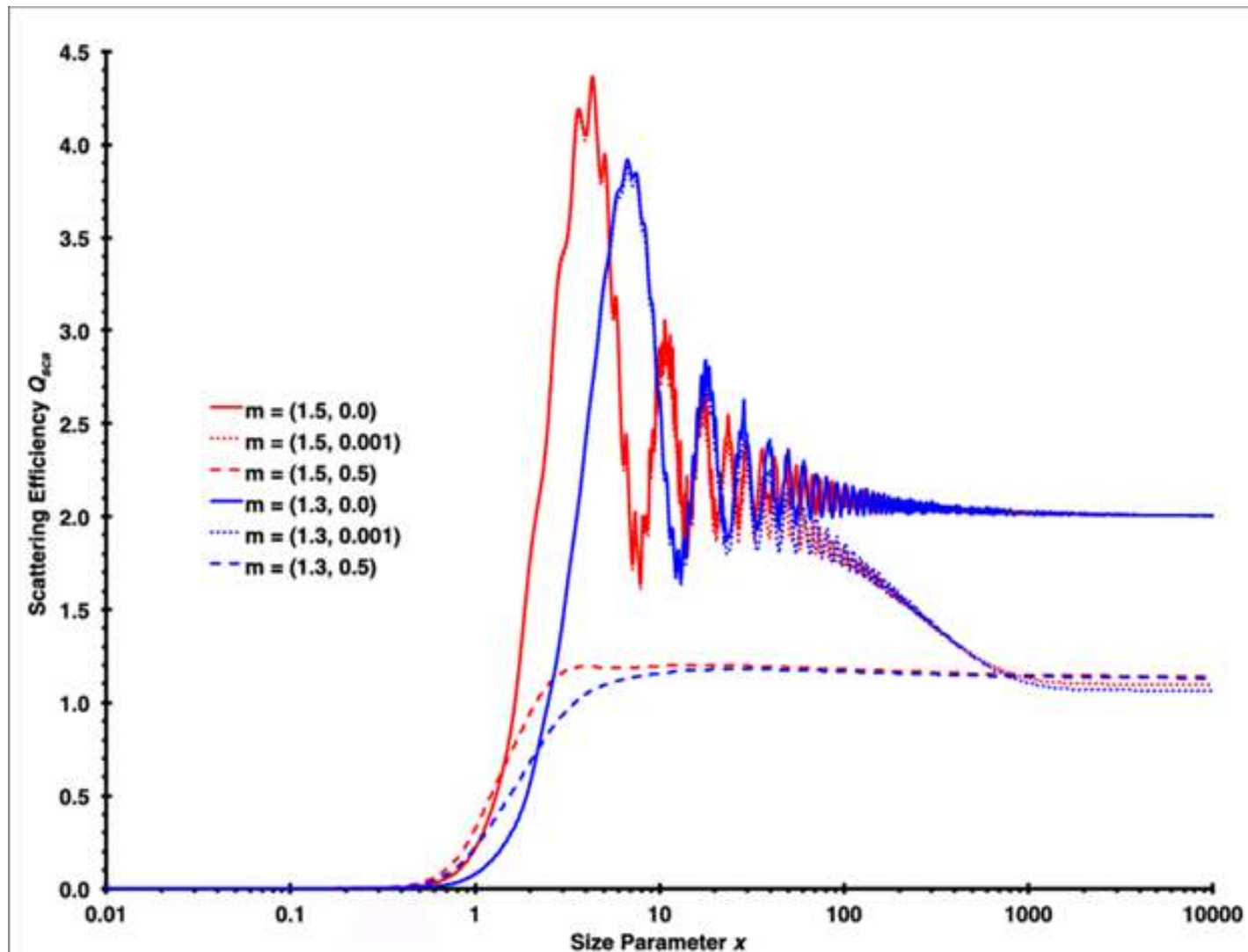
## Tunved 2013, ACP: Arctic aerosol life cycle



Arctic Haze in spring: because particles are larger, have larger scattering efficiency

Max. aerosol number concentration in summer due to marine aerosol

## Scattering efficiency, Mie theory:



Size  
parameter:

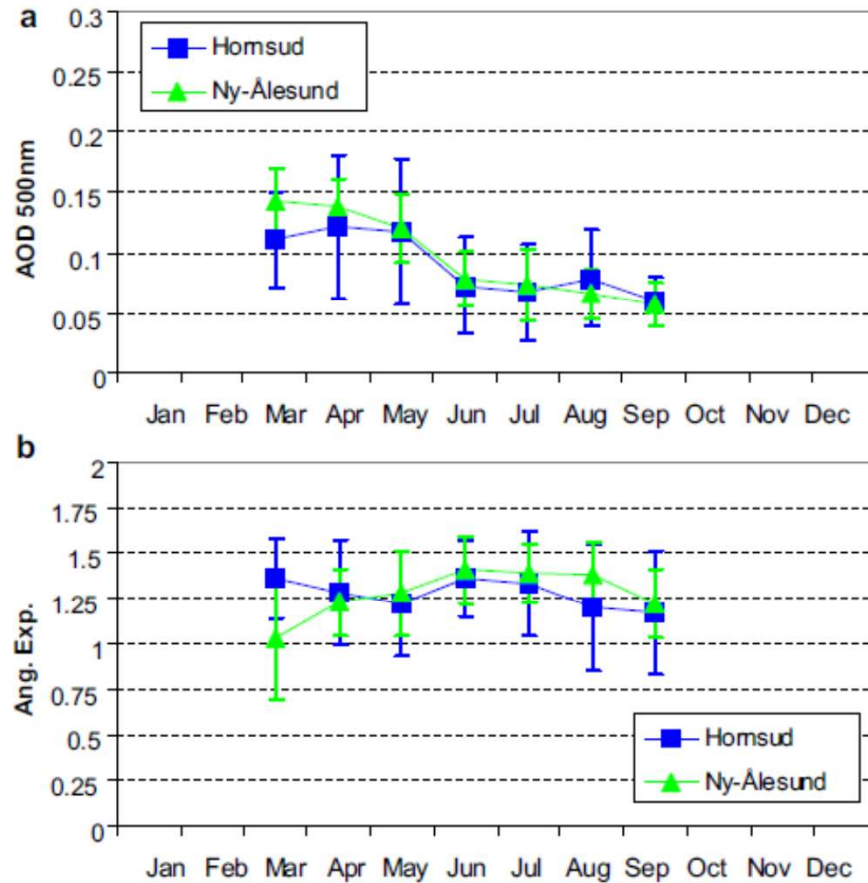
$$x = \frac{2 \pi r}{\lambda}$$

$x=1$  for  
 $\lambda = 355\text{nm}$   
means:  
 $r = 56\text{nm}$

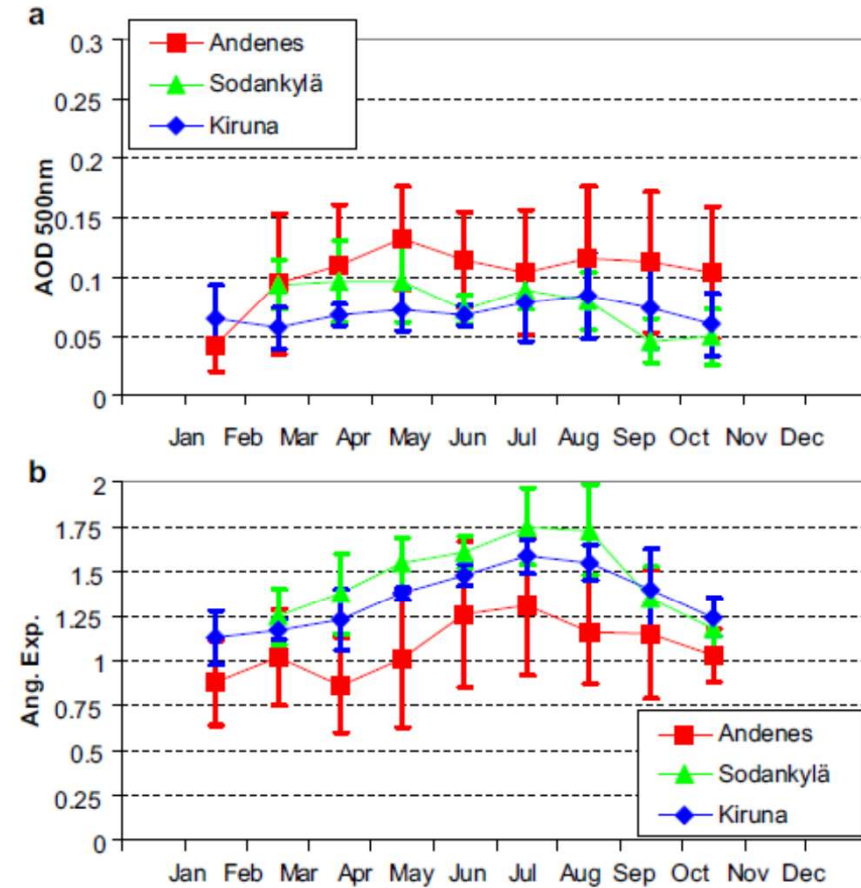
Arctic aerosol is generally small and at the edge of visibility!

# Typical AOD values from Toledano 2012 Atmos. Environm.

## Spitsbergen



## Scandinavia

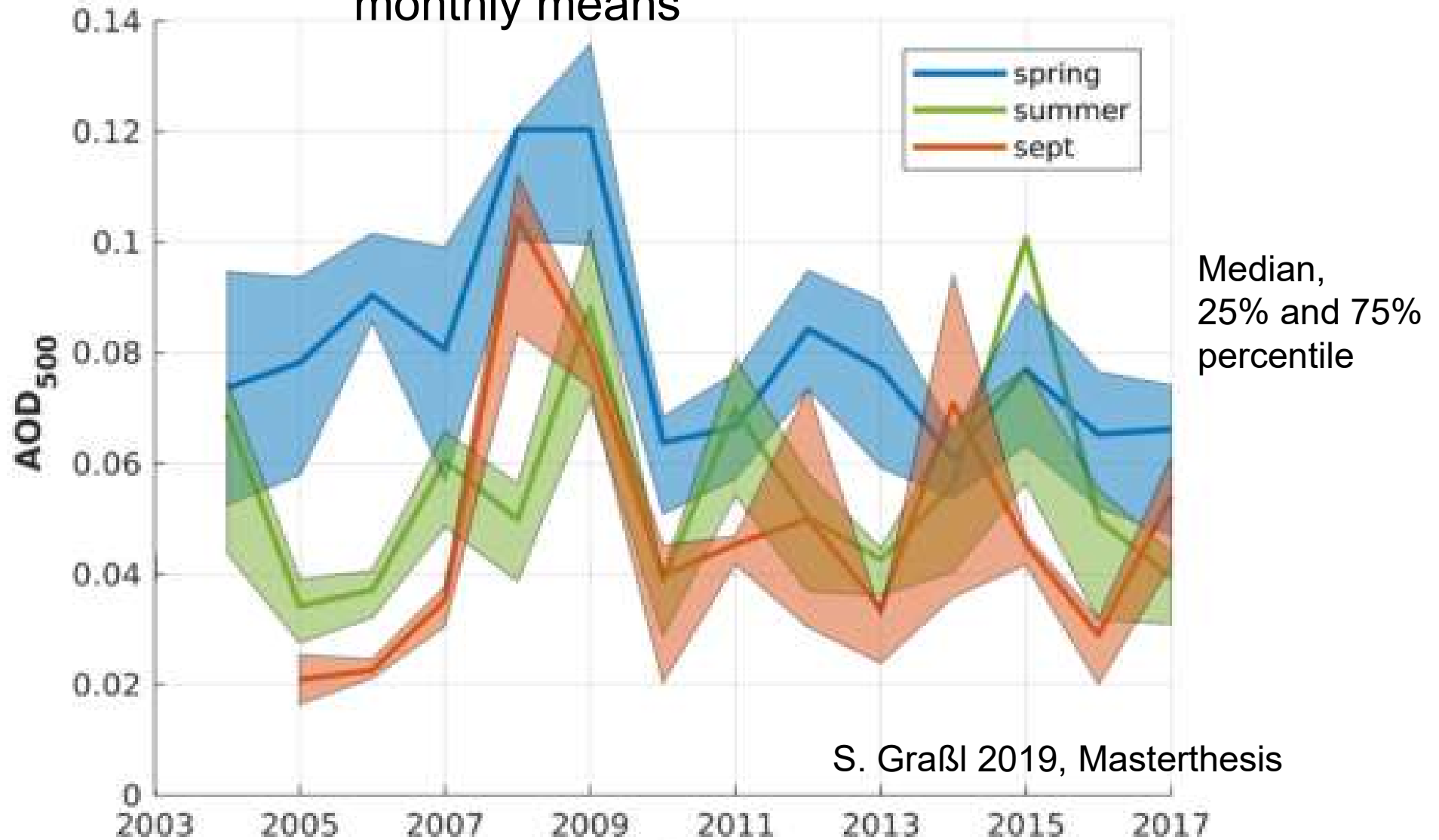


Spring: Arctic-AOD > N-European-AOD  
 No Haze in Scandinavia  
 No „easy“ direct pollution transport from Europe

Contrary: Eckhardt 2003 (Flextra, CO Tracer) „NAO + facilitates transport into Arctic“

**Aerosol may have different pollution pathways than trace gases!**

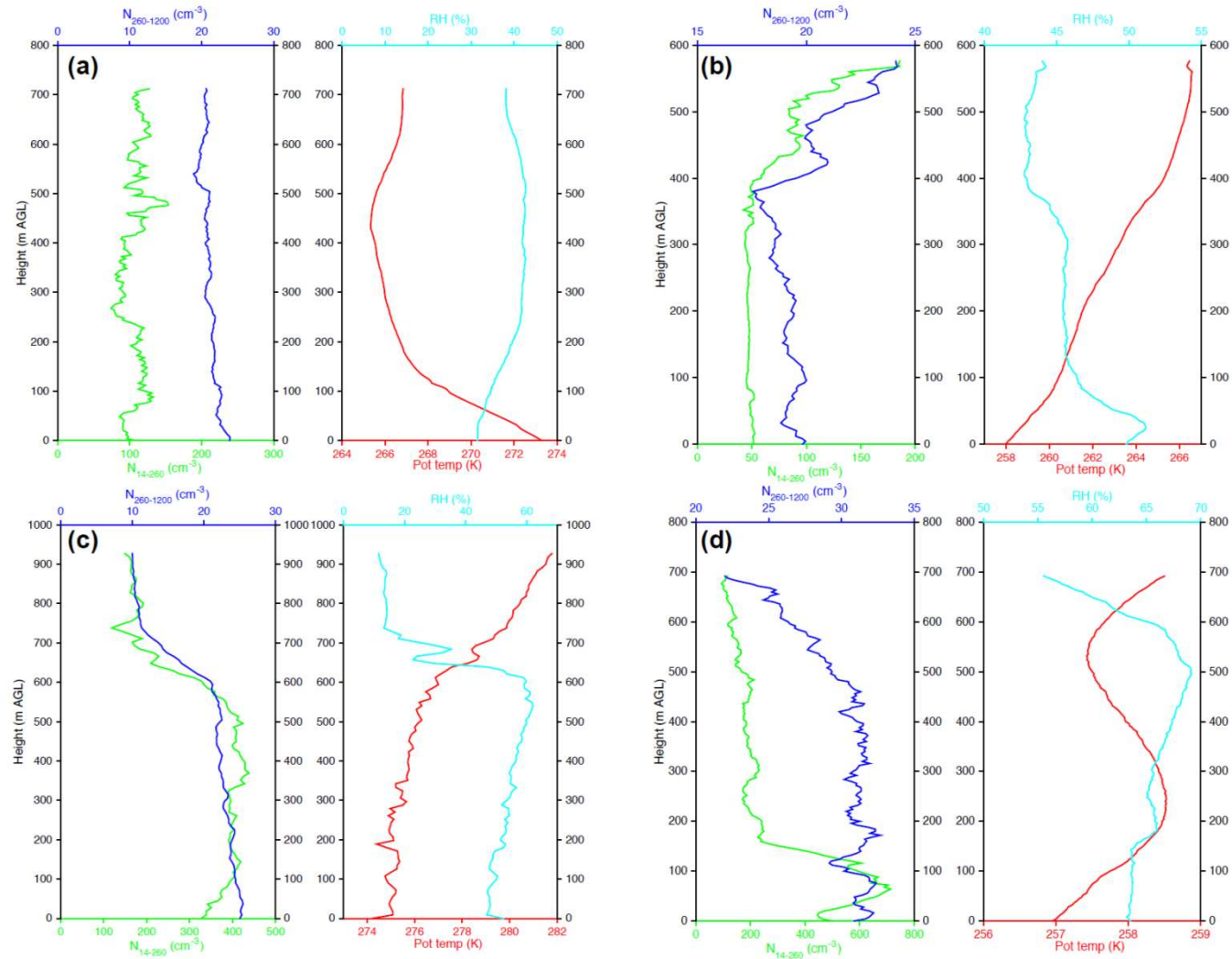
# AOD- Evolution in Ny-Ålesund, monthly means



Spring AOD decreases over time **years**  
→ annual run of AOD becomes flatter  
2009 was last polluted year Generally high variability

# Ferrero et al, ACP 2016: vertical profiles of aerosol Tethered balloons with particle counters

- a) const. aerosol load – (but convection seldom)
- b) More aerosol higher up – advection!
- c) More aerosol close to ground (sources?)
- d) Difference Aitken vs. Accumulation, strange temp. profile



Aitken particles, accumulation mode particles, poten. temp, rel. hum.



division: „circulation of the atmosphere“:

Runs climate models: global, regional (future: local)

For improved understanding of physical and meteorological processes

Chemistry of the stratosphere, operate AWIPEV (Koldewey-) station on Spitsbergen



European Arctic warm: Gulf stream, Westspitsbergen current

Spitsbergen treaty 1920



Ny-Ålesund, 78.9°N, 11.9°O – one of the northernmost settlements:



Coal mining until 1963

Today science village (I, D, No, Sk, J, Cn, Kor, ...)

(+) cheap and quick accessible, comfortable

(-) warm for the Arctic, mountains introduce „micrometeorology“

(?) testbed for future

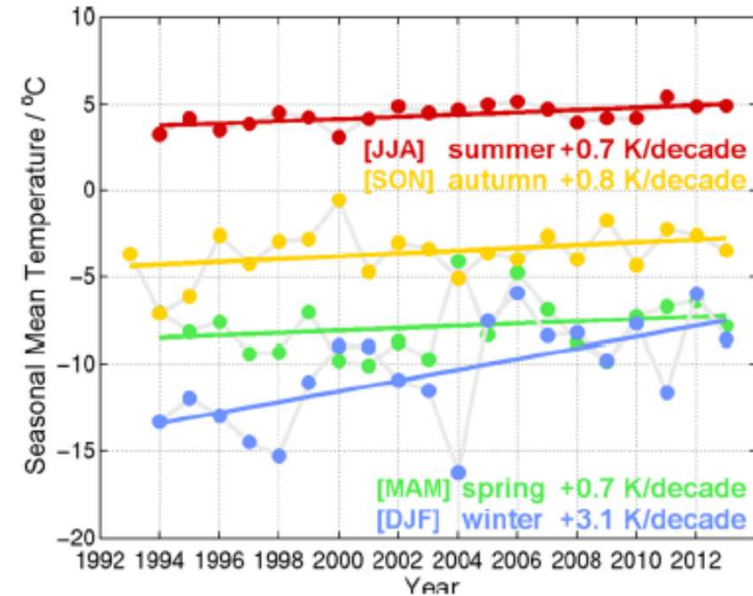
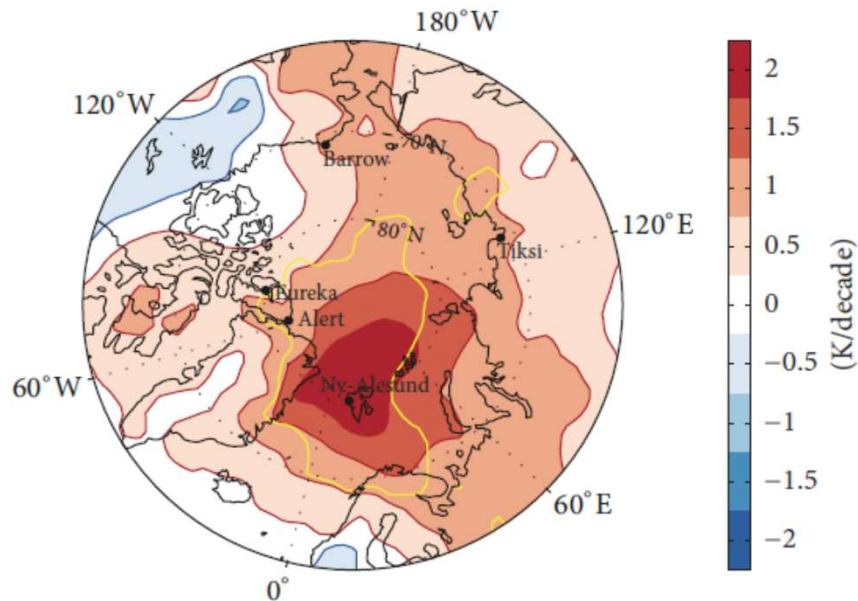


Balloon launch  
facility

Observatory  
78°55'25"N, 011°55'21"E

N  
↑

# The peculiarities of Spitsbergen:



DJF temperature trend at 850hPa using ERA-Interim 1996-2016  
 „center of wintertime warming“  
 Dahlke & Maturilli, 2017:  
 ¼ of warming due to more efficient advection from Atlantic

Maturilli 2015: strong winter warming also in our data (BSRN, surface)

Annual average temp (April 18 – March 19):  
 -3°C

West Coast Spitsbergen is transition between N Atlantic and Arctic.  
 May become „more Atlantic“ in future

Koldewey (AWIPEV Station):



Since 1992.  
2004 united with  
frech IPEV

Different projects:

- Biology
- Permafrost
- Atmospheric  
research



Automatic stations  
(T, rh, wind,  
radiation, cloud  
altitude) regular  
balloon launches,  
Eddy covariance;  
Remote sensing

Jan 2019: winter-campaign

Tonight!!!!  
Tue, 21. Jan  
17:30



# KARL: Koldewey Aerosol Raman Lidar

Backscatter ( $\beta$ ) @ 355nm, 532nm, 1064nm

Extinktion ( $\alpha$ ) @ 355nm, 532nm

Depolarisation ( $\delta$ ) @ 355nm, 532nm

Water vapor ( $m_r$ ) @ 407nm, 660nm



Spectra 290 /50 Laser (10W / colour)

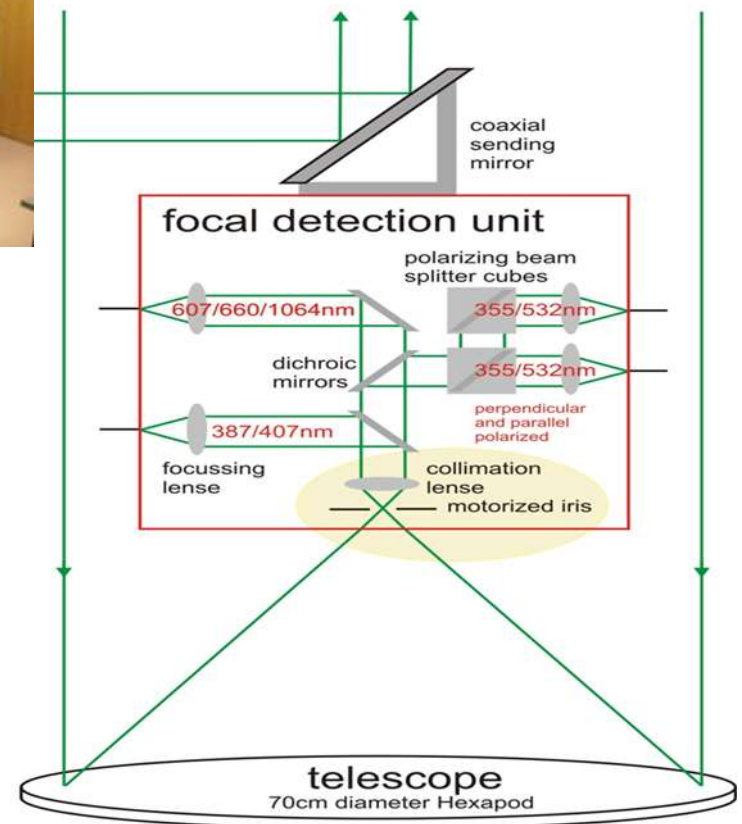
70cm mirror

Fov: 1 .... 4 mrad

Licel transients, Hamamatsu PMTs

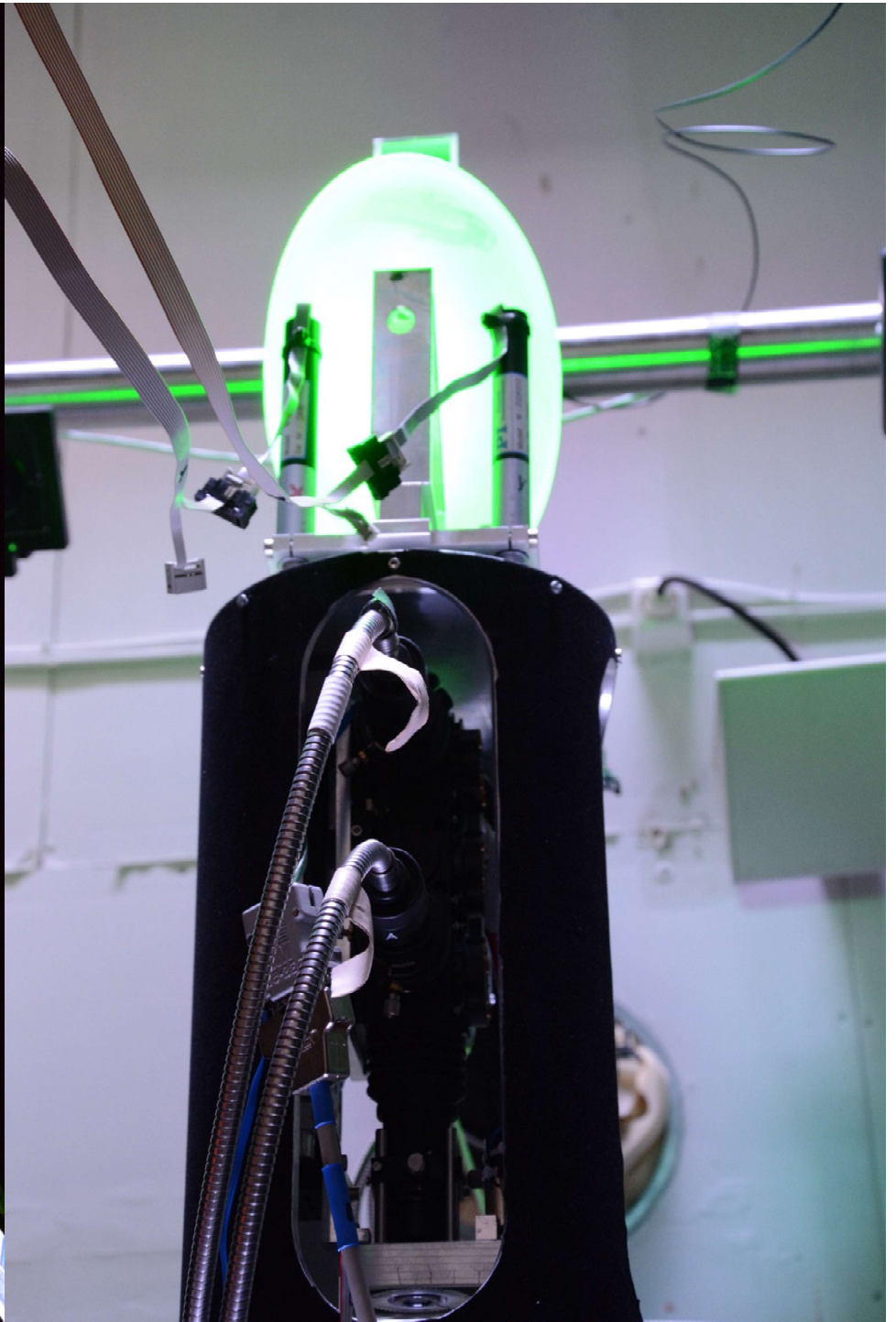
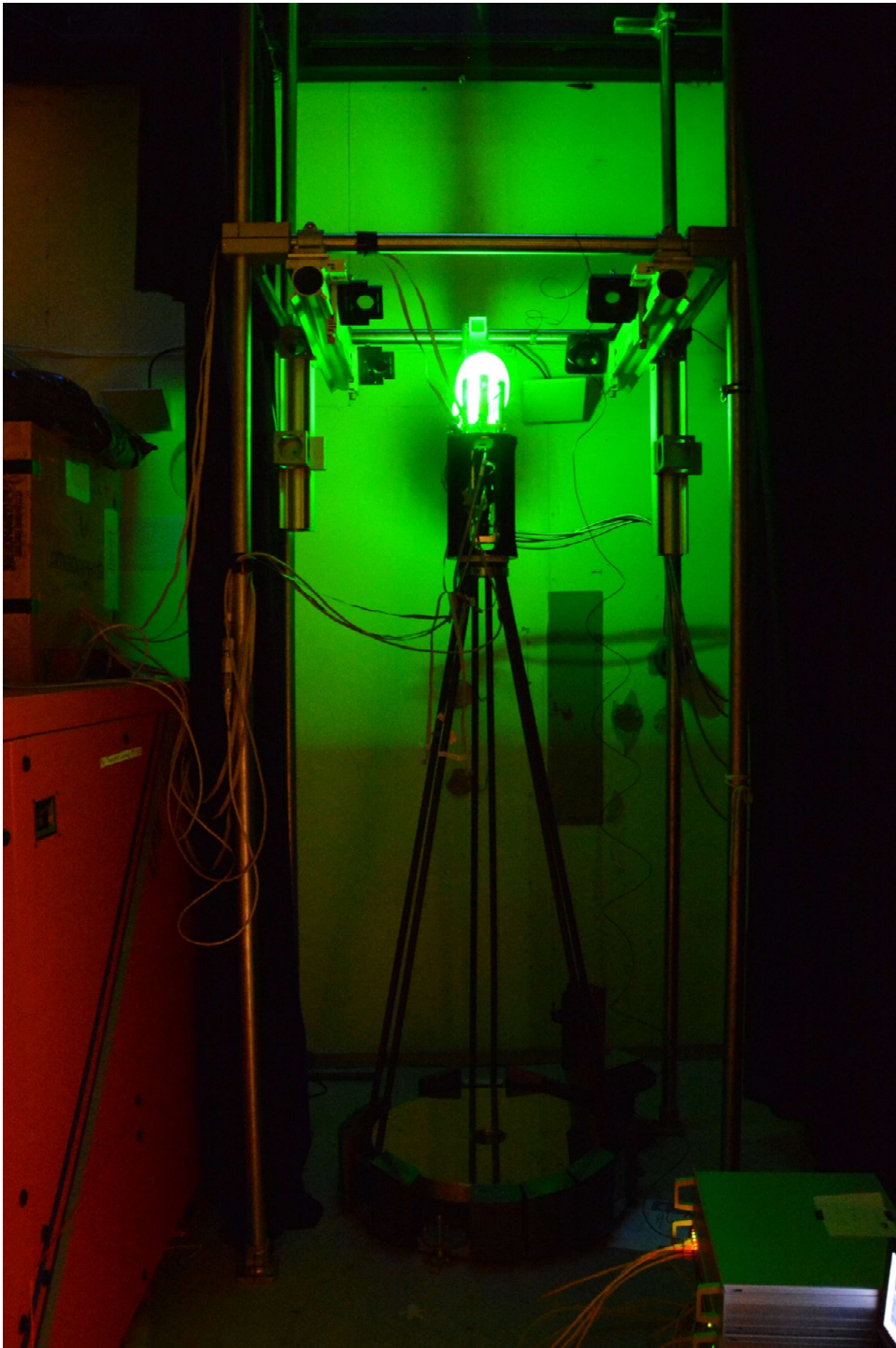
Overlapp > 700m

Tropo- & stratosphere





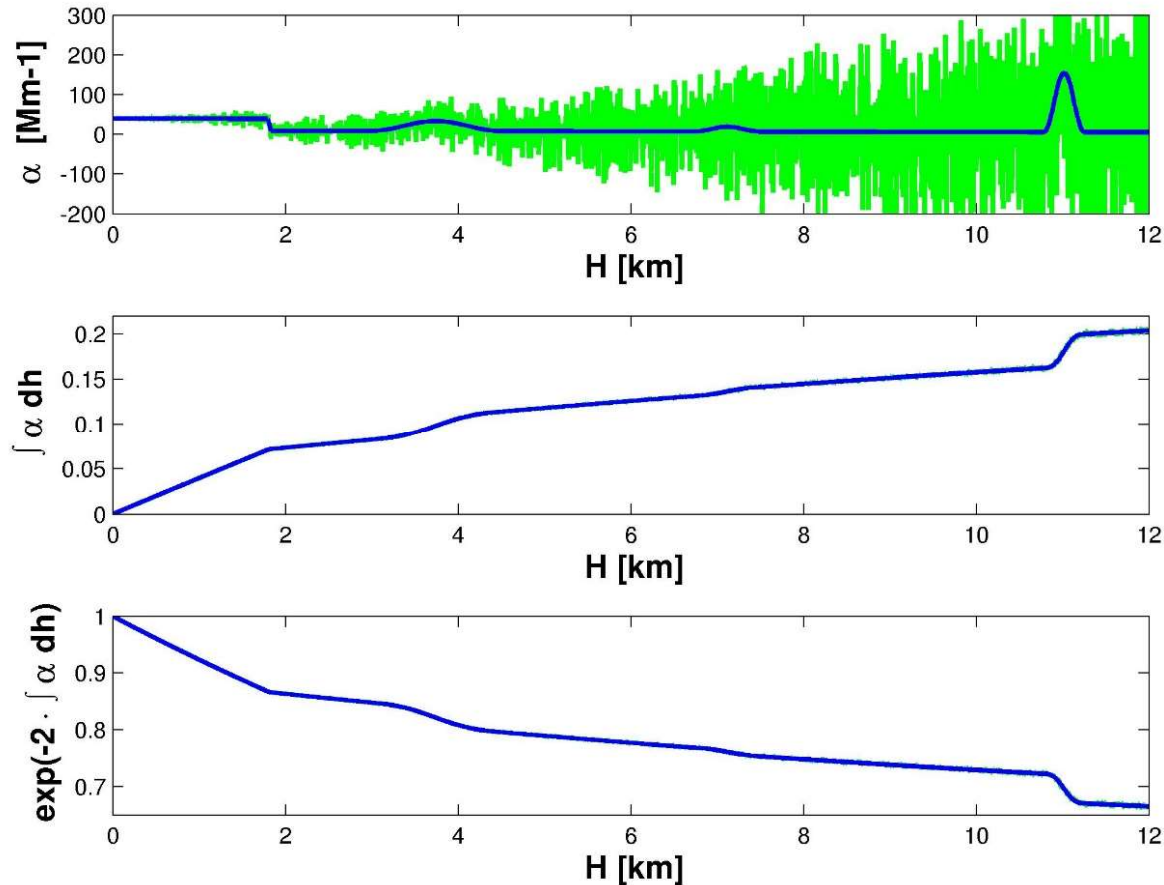




## Challenges with extinction in lidars:

Regardless of extinction profile in atmosphere: impact on lidar profile is infinitely differentiable

$$\exp\left(-2 \int_{z_0}^z \alpha(\hat{z}) d\hat{z}\right)$$



## Extinction in a lidar:

$$P_r(z) = C_r \rho(z) \frac{1}{z^2} \exp\left(-\int_0^z \alpha_{\lambda_e}(\hat{z}) + \alpha_{\lambda_r}(\hat{z}) d\hat{z}\right) \cdot [O(z)]$$

Do not smooth or fit your lidar profile !!

Instead you can calculate a “layer-integrated” extinction ( $z_{\text{bottom}} \rightarrow z_{\text{top}}$ )

$$P_r(z_t) = C_r \rho(z_r) \frac{1}{z_r^2} \underbrace{\exp\left(-\int_0^{z_b} \alpha \dots dz\right)}_{\substack{P_r(z_b) \cdot z_b^2 \\ C_r \rho(z_b)}} \cdot \exp\left(-\int_{z_b}^{z_t} \alpha \dots dz\right)$$

↘ Layer AOD

If the derivative  $\partial/\partial z$  harms, avoid it

Or make a statistic from unsmoothed lidar data  
(first calculation, then averaging)

# What does an aerosol lidar deliver:

extensive quantities (dependent on aerosol number concentration):

backscatter (concentration, size, shape, refractive index)

extinction (concentration, size, shape, refractive index) !

Intensive quantities (not dependent on aerosol number concentration)

depolarisation  $\delta = \frac{\beta_{\perp}}{\beta_{=}}$  (shape) [ dipole moment]

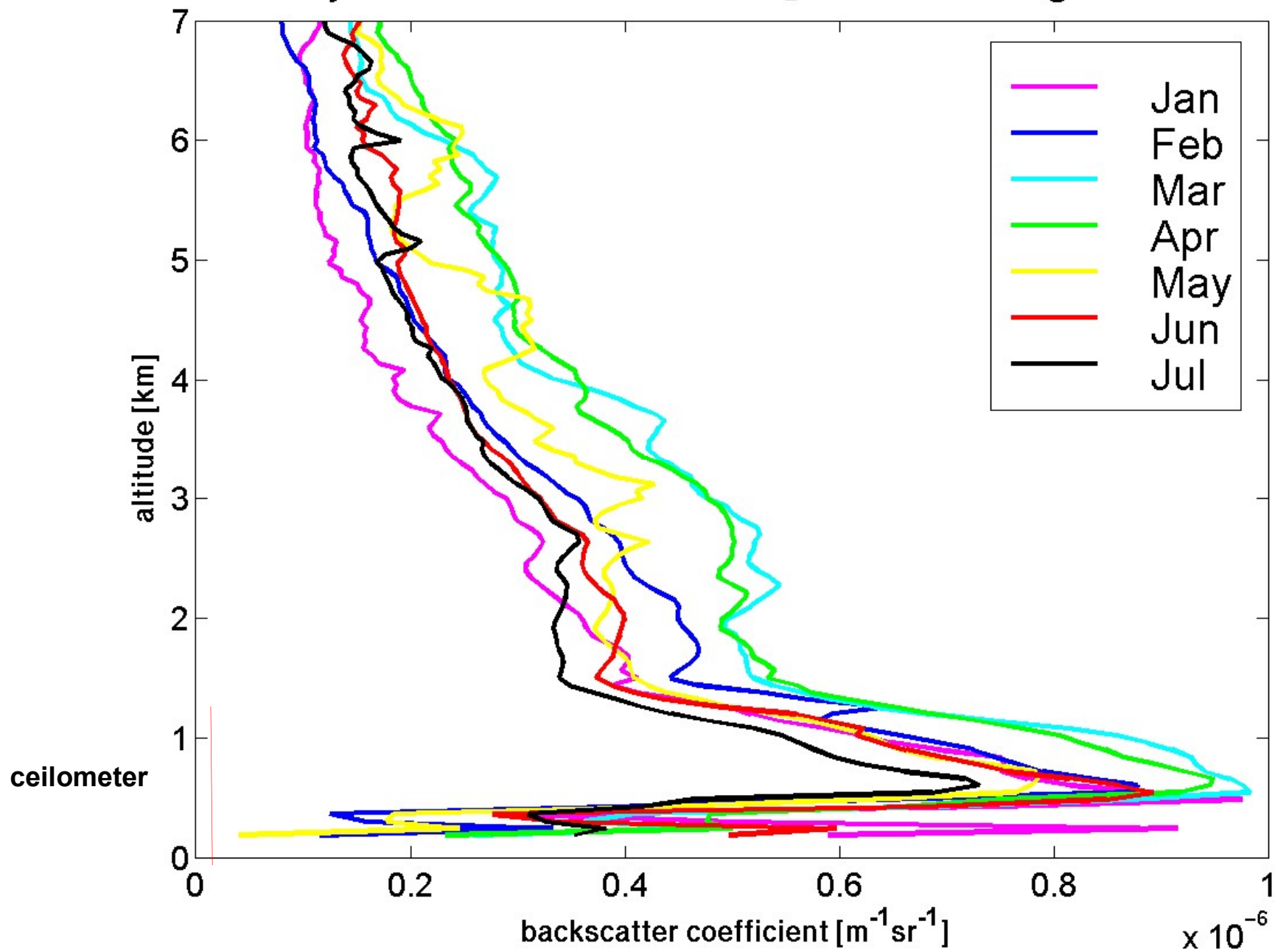
colour ratio  $CR = \frac{\beta_{\lambda_1}}{\beta_{\lambda_2}}$  (size) [  $\beta \sim \lambda^{\text{\AA}}$   $-4 < \text{\AA} < 0$  ]

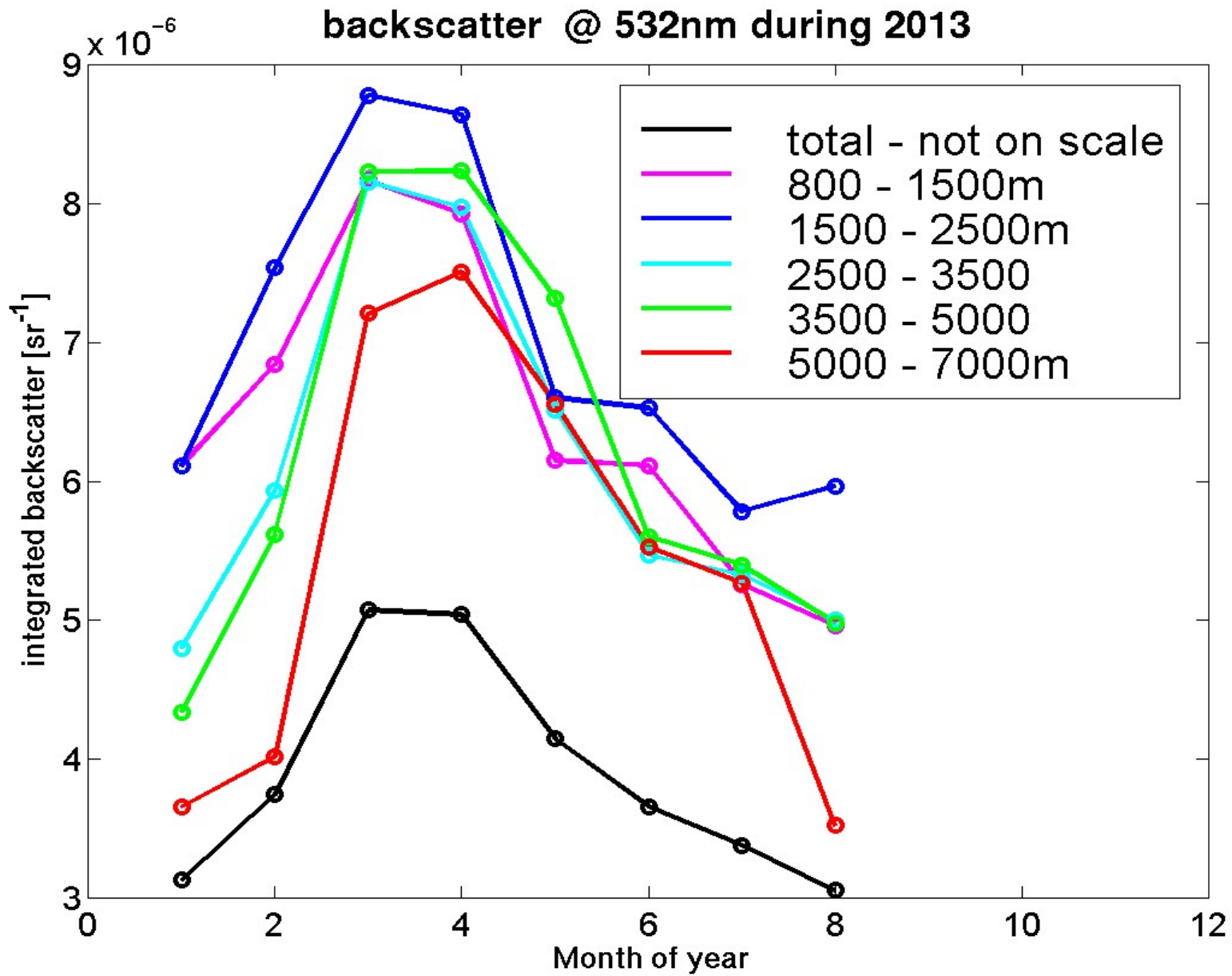
lidar ratio  $LR(\lambda) = \frac{\alpha^{aer}}{\beta^{aer}}$  (index of refraction, size, shape)

Knowledge of  $\delta$ , CR, LR allows a robust classification of aerosol type (dust, smoke, sea salt, cirrus...)

→ it's about getting the intensive quantities!

# Ny-Alesund: backscatter @ 532nm during 2013

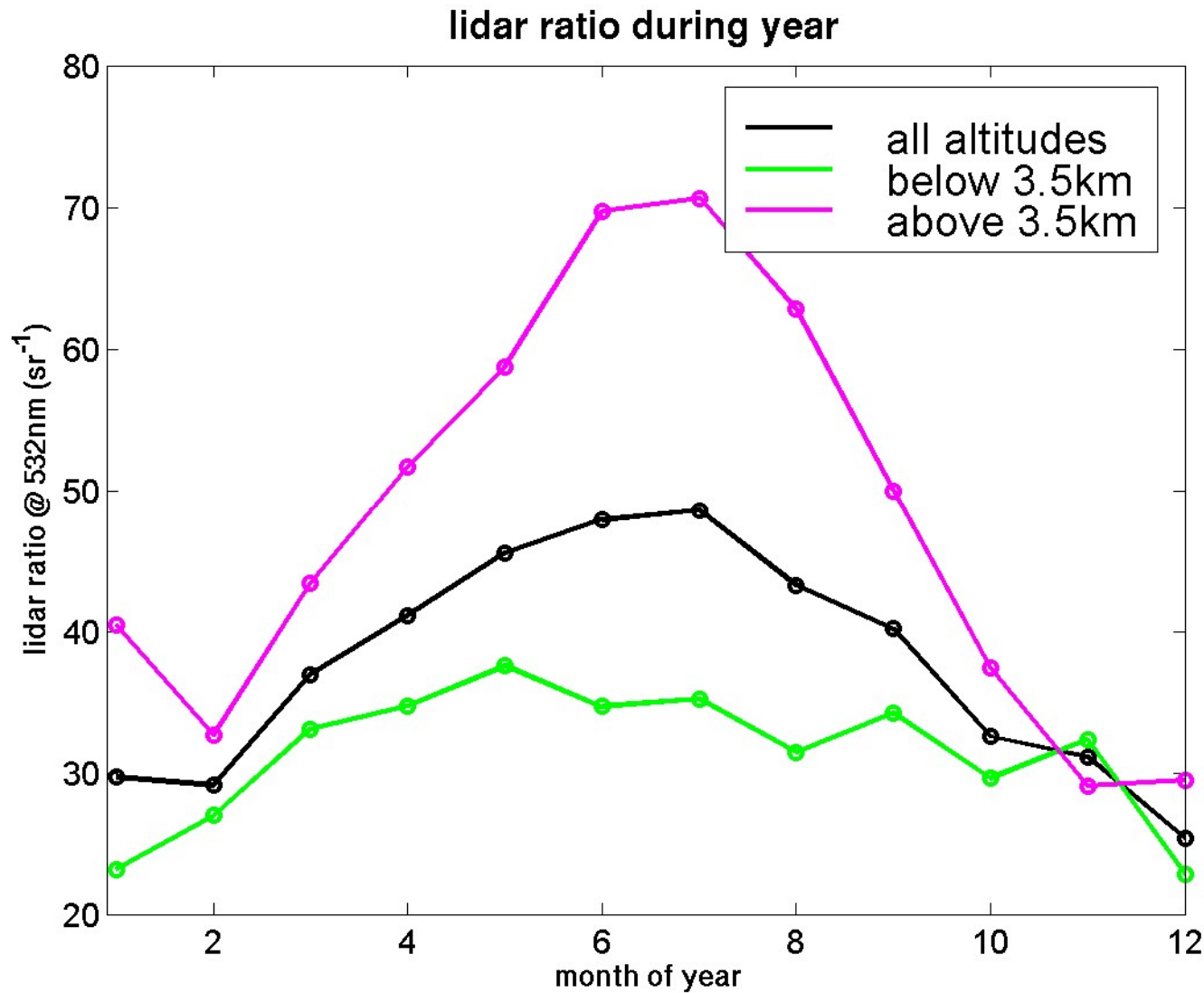




optically detectable aerosol disappears from ground up during season

AOD from photometer shows max. in April

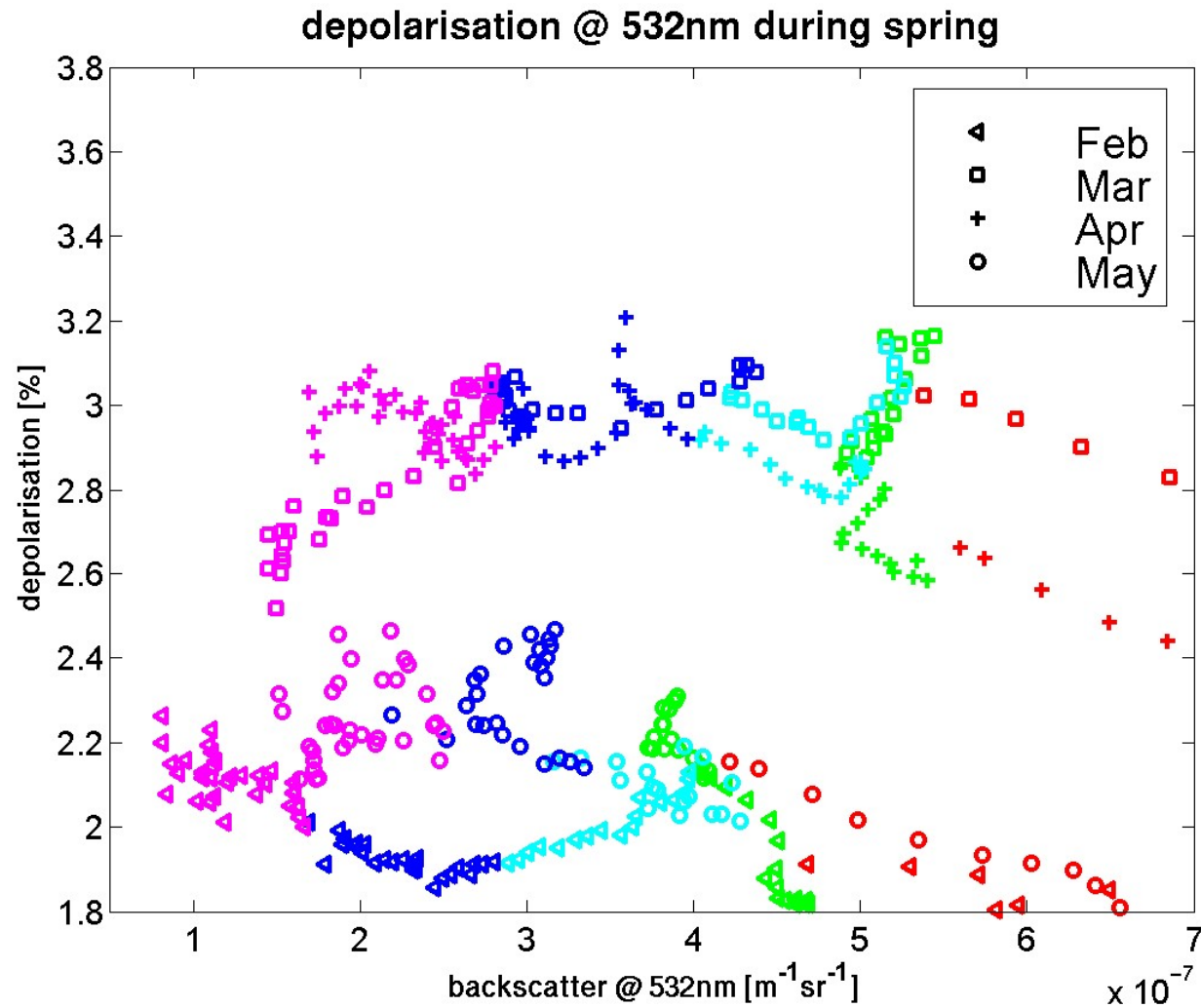
# Annual cycle in Lidar ratio? Data from 2013



$$LR = \frac{\alpha^{aer}}{\beta^{aer}}$$

Generally:  
LR355 < LR532

# Intensive quantity: aerosol depolarisation (shape)



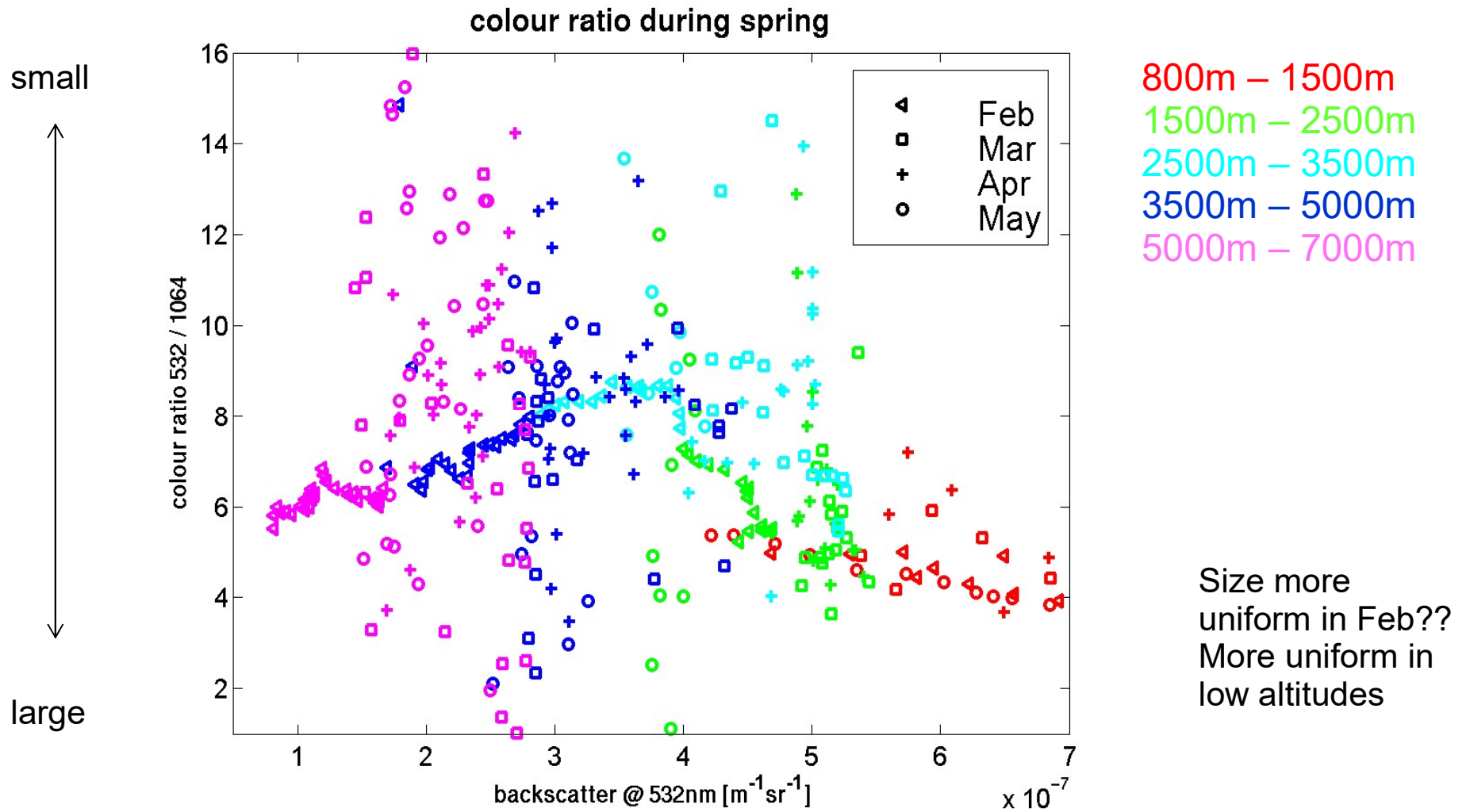
800m – 1500m  
1500m – 2500m  
2500m – 3500m  
3500m – 5000m  
5000m – 7000m

Particles more spherical outside haze season! (Mie better)

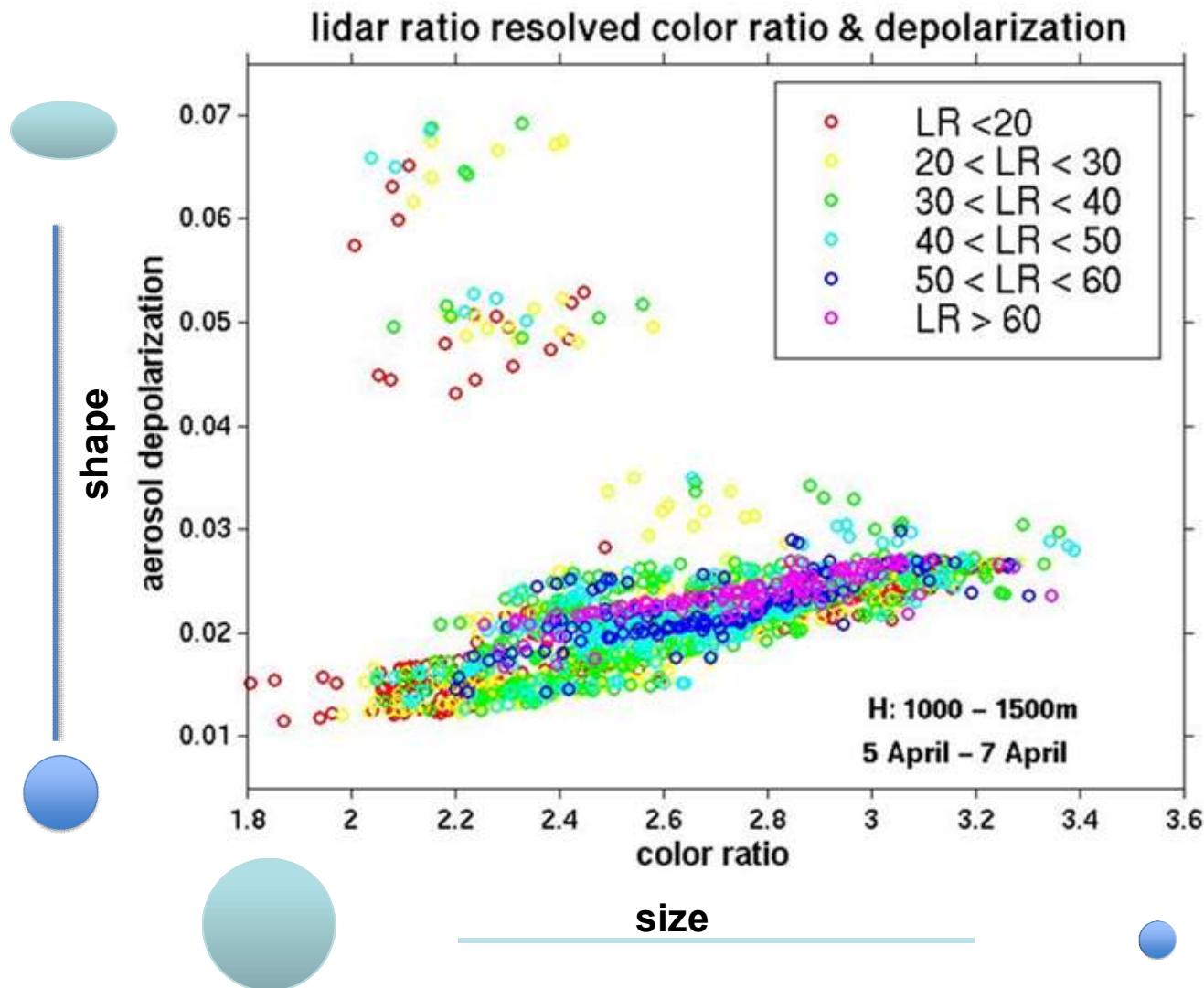
Extensive quantity



Intensive quantity: color ratio (size)



# Mixing state of aerosol:



Sort aerosol for size and shape: still very inhomogeneous LR:

Chemistry unrelated to size and shape

On scale 30m/ 10min no individual soot, sulphate, crust ... particles

Color ratio, depol. ratio both intensive quantities

# Inverting lidar data:

Aim: estimate size distribution  $n(r)$  ( $r_{\text{eff}}$ ,  $\sigma$ ,  $N_0$ ) and refractive index  $m$  from lidar data

Assume spherical particles, Mie theory, efficiencies  $Q_{\text{ext}/\beta}$  are known  
→ set of Fredholm integral equations for extinction & backscatter

$$\alpha(\lambda) = \int_{R_{\min}}^{R_{\max}} Q_{\text{ext}}(\lambda, r, m) \pi r^2 n(r) dr$$

$$\beta(\lambda) = \int_{R_{\min}}^{R_{\max}} Q_{\pi}(\lambda, r, m) \pi r^2 n(r) dr$$

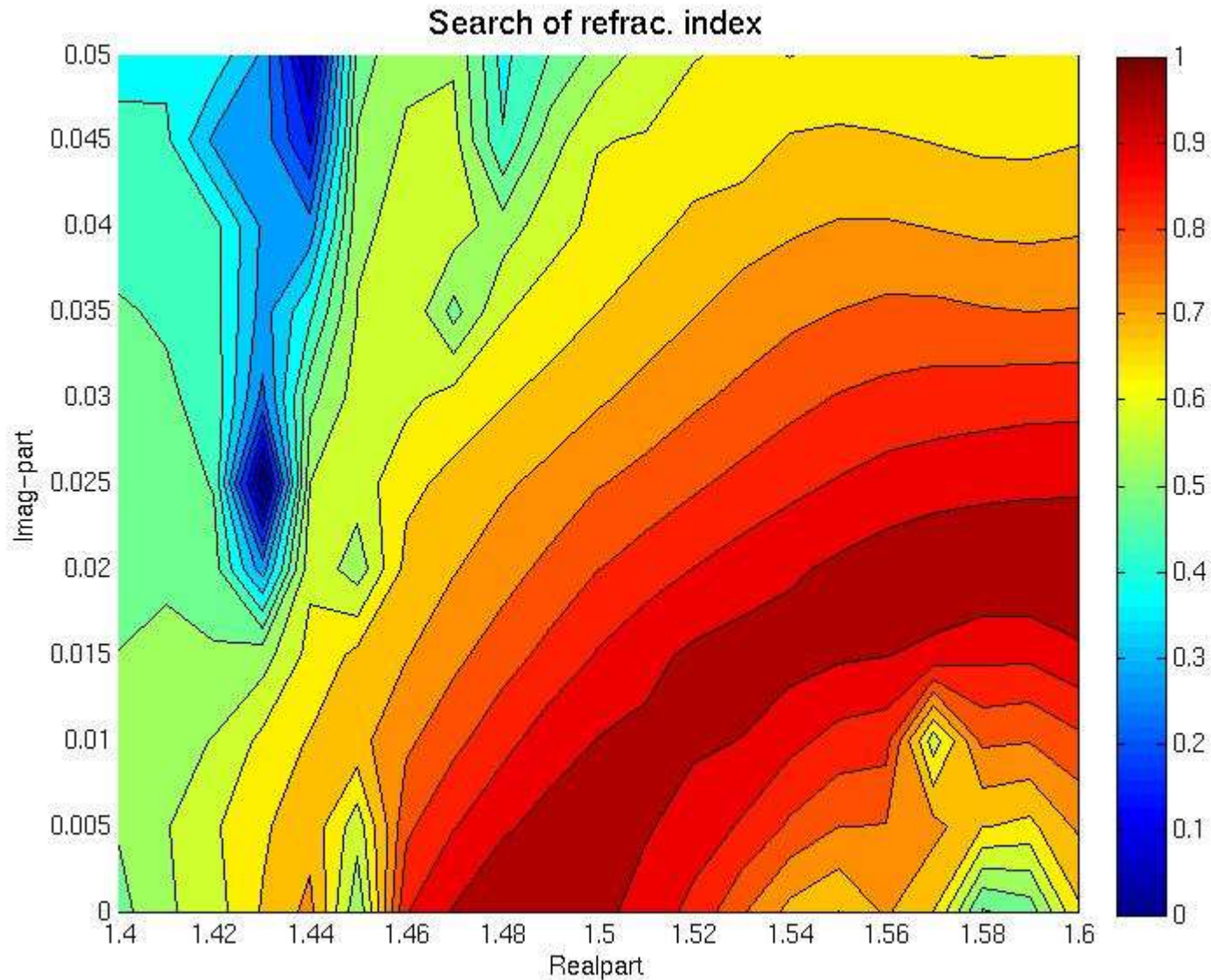
Retrieval of  $n(r)$  from  $Q$ ,  $\alpha$ ,  $\beta$  is an ill-posed Problem

At least 2  $\alpha$ , 3 $\beta$  needed

But:

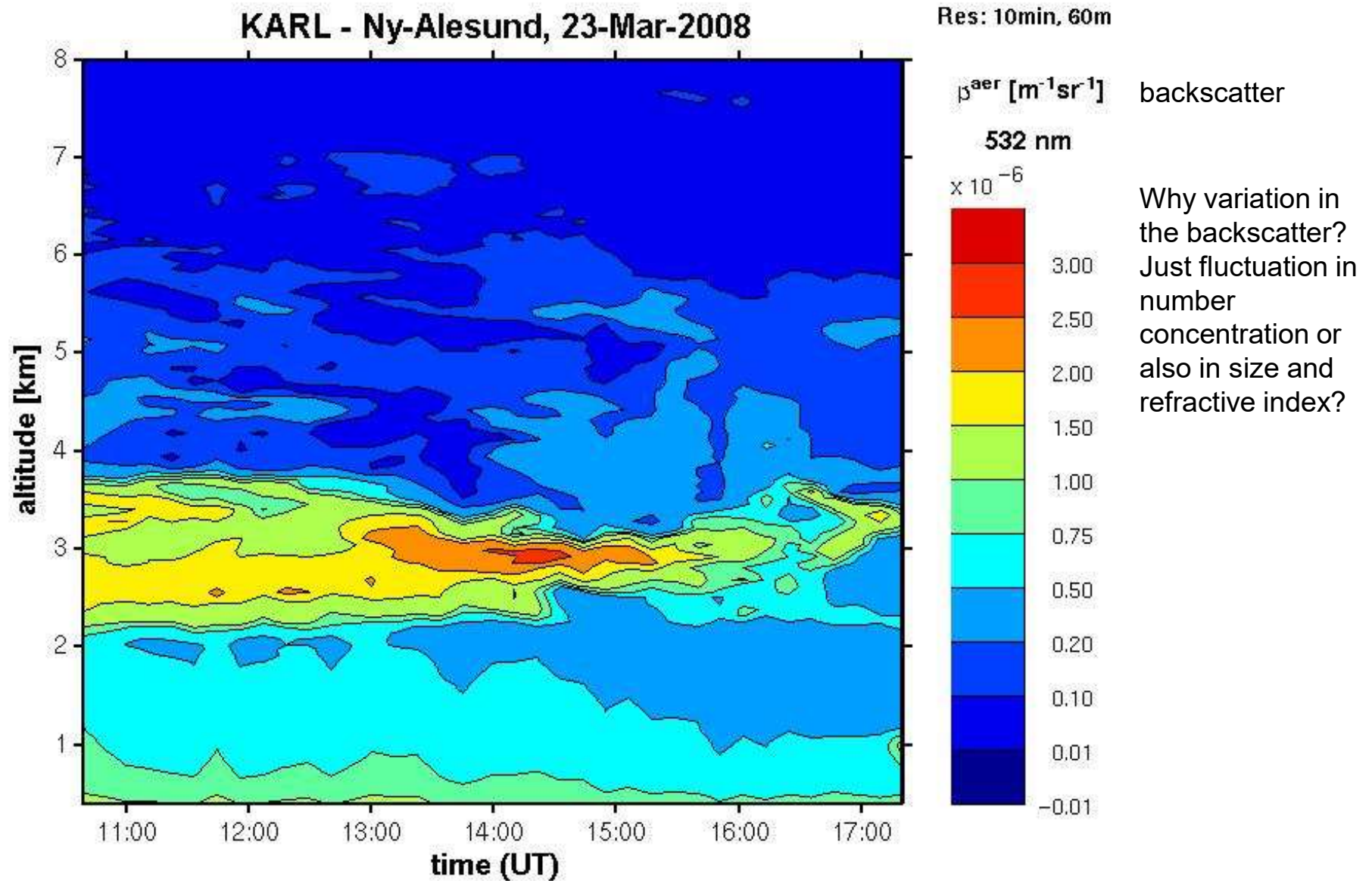
Lidar is only able to retrieve aerosol in accumulation mode:  $0.1\mu < r < 1.2\mu$

## Difficulty: determine index of refraction:

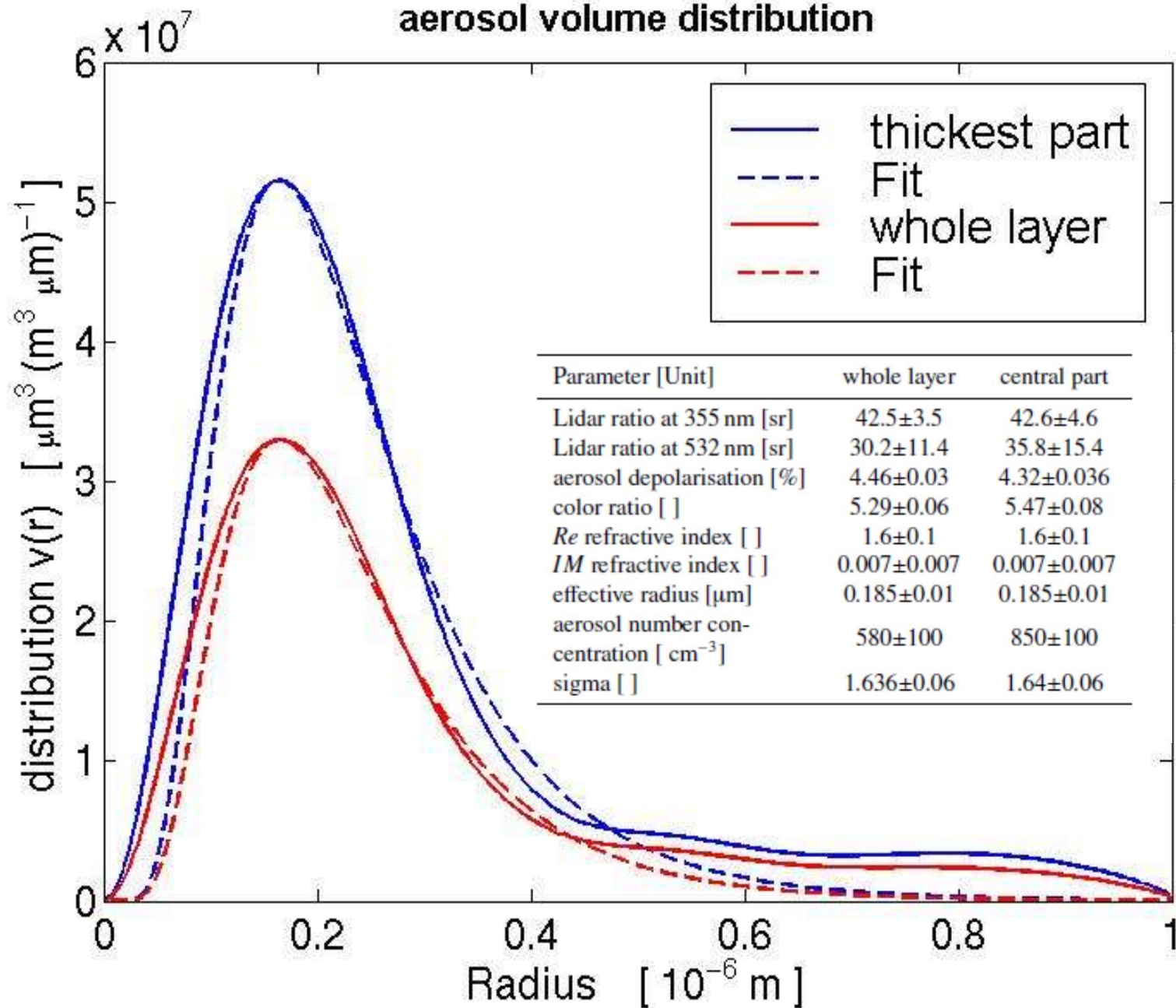


Lidar offers: backscatter and extinction. The refractive index depends on: scattering and absorption. Hence: from lidar alone an index of refraction is difficult to obtain. "Stripes" like this in the probability distribution do occur frequently.

## Example case of Arctic haze:



### aerosol volume distribution

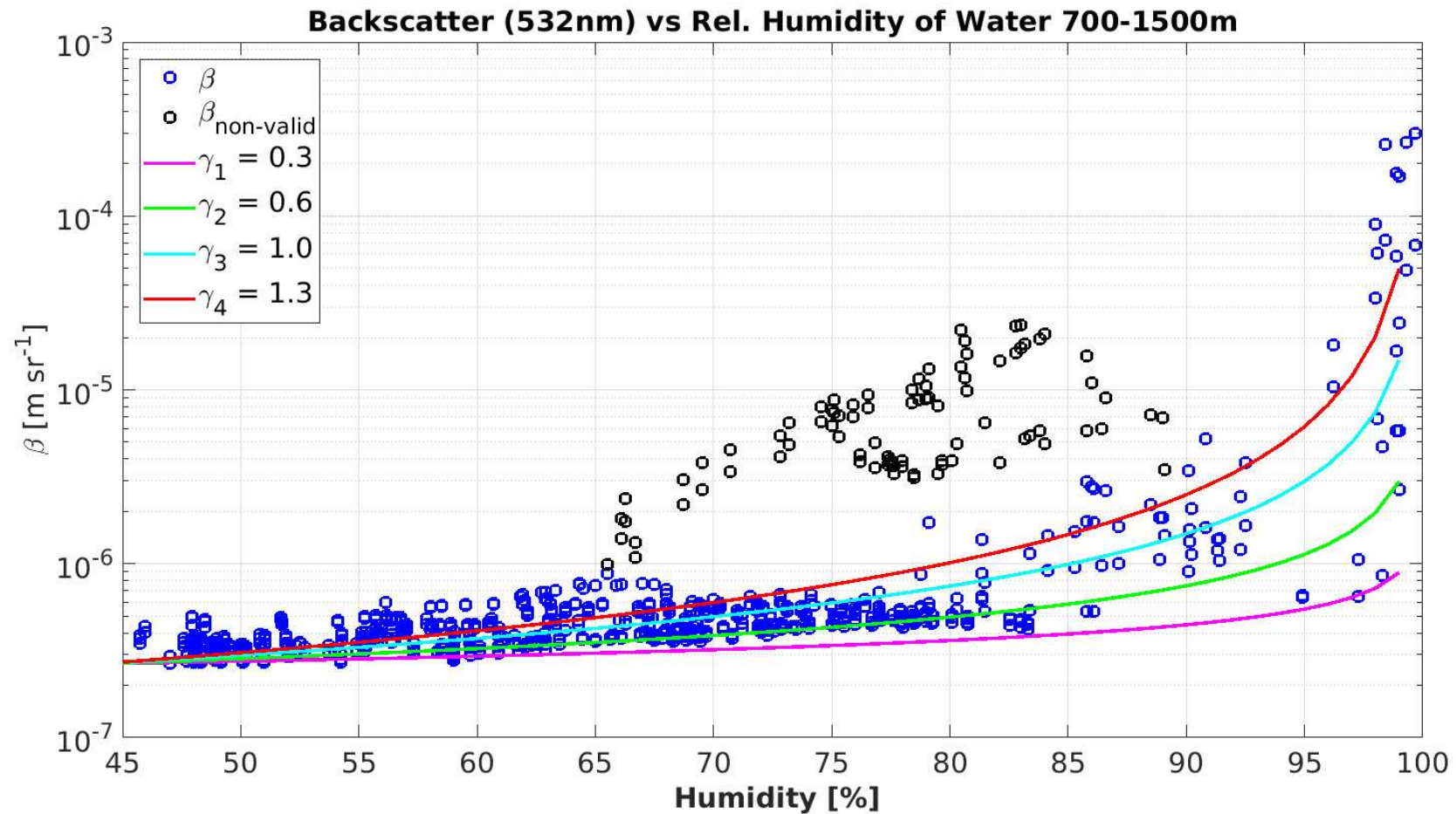


# Lidar and contemporary radiosonde: hygroscopic growth?

In-situ define scattering enhancement factor  $f(\text{rh}) = (1-\text{rh})^{-\gamma}$

Question: apply this to  $\beta$  (instead of  $\sigma$ )?

Assumption: all lidar data in a given time / height should belong to „same event“



## Open questions:

1. Does remote sensing overestimates extinction?

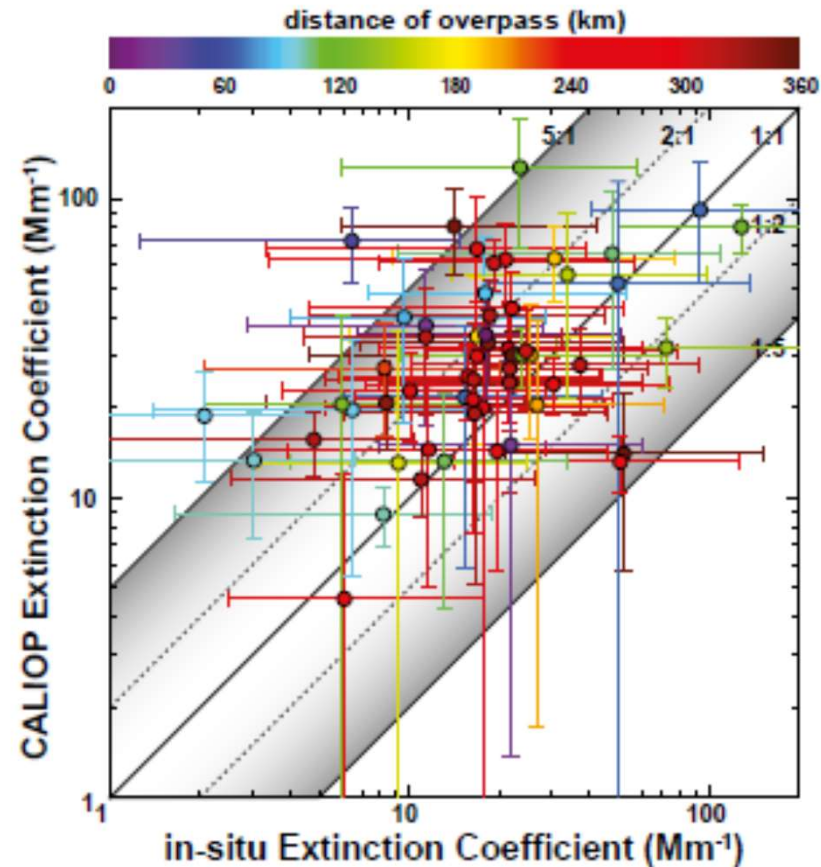
Tesche et al. 2014 ACP:

Calipso\_extinction > in-situ  
(Zeppelin station)

(what was NOT published in)  
Lisok, 2016 Atm. Environm:

KARL\_extinction > in-situ  
(Grubebadet station)

And extinction at ground, 1km,  
2km altitude not correlated  
Deviations also at  $rh = 50\%$



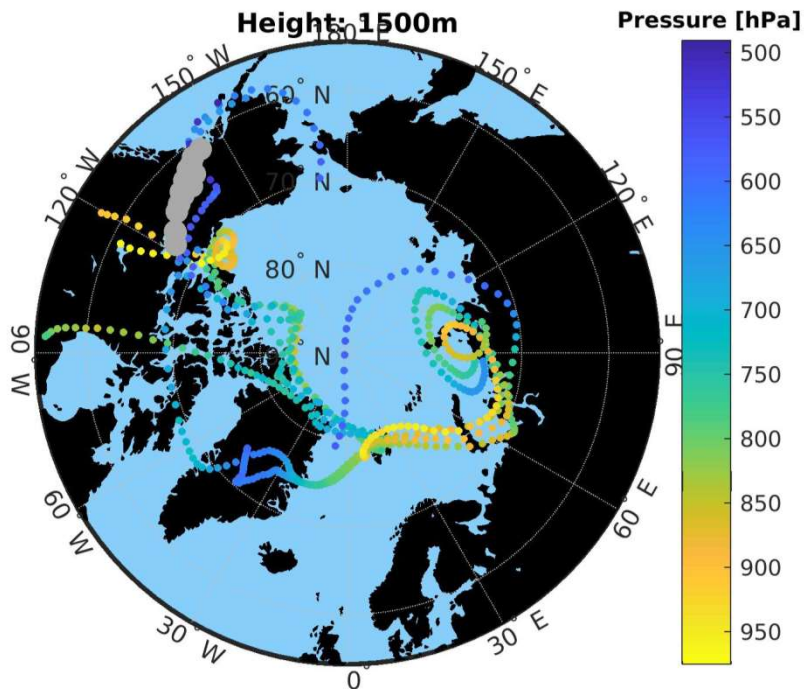
Needs to be clarified during MOSAiC:  
Less orography!



# Open questions:

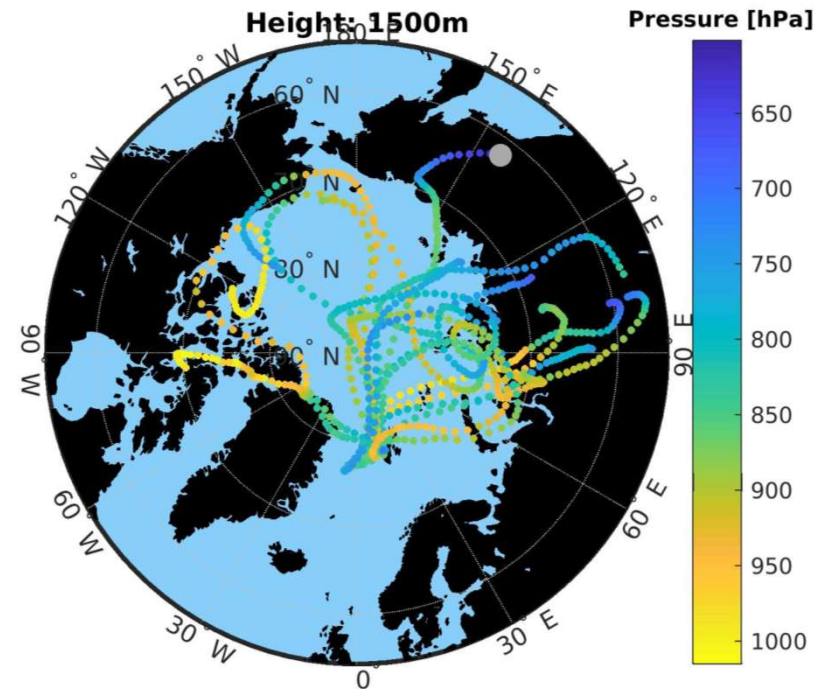
## 2. Pollution pathways

Graßl, 2019: Flextra with ERA-interim



Low AOD

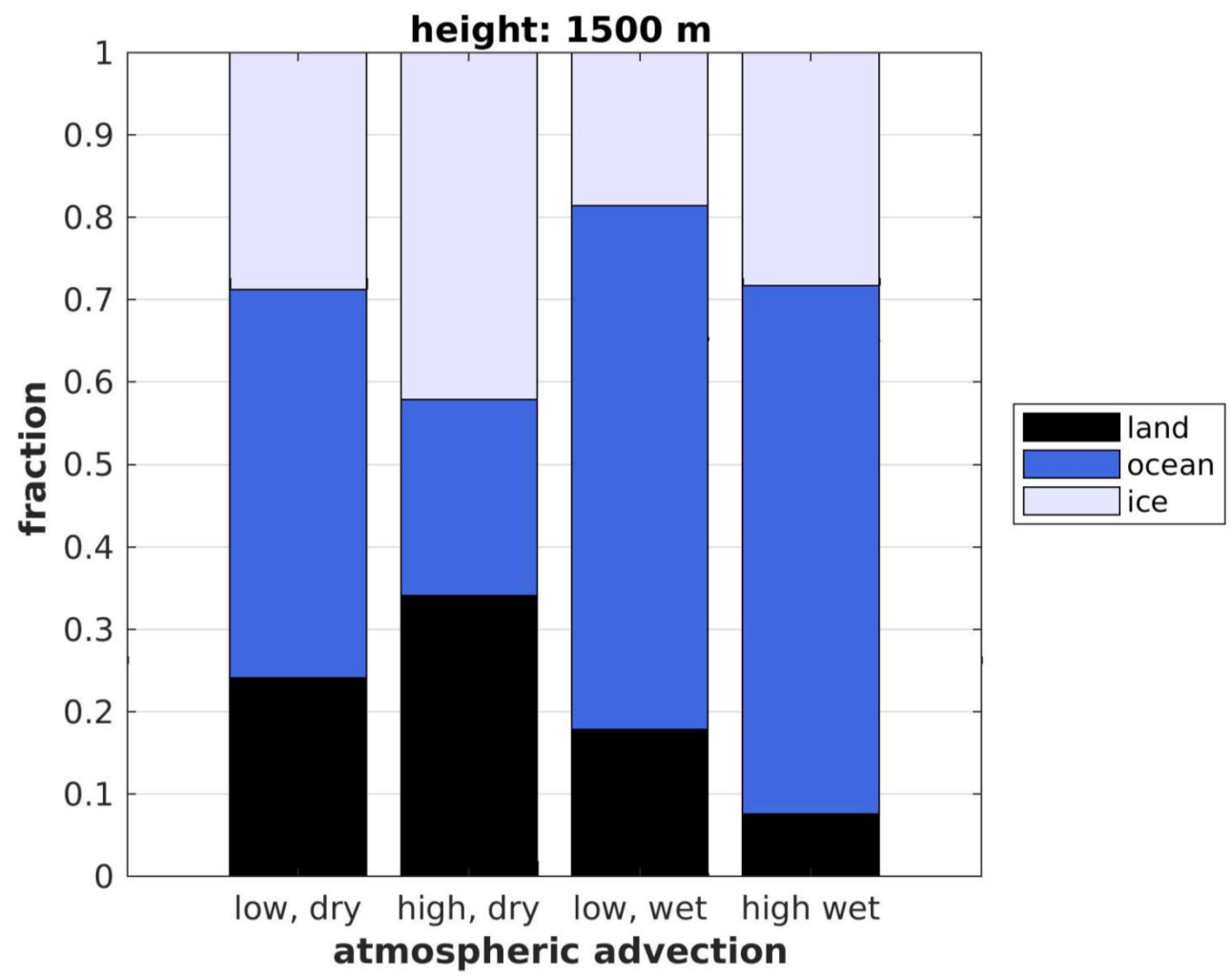
(April 2013)



high AOD

5 days trajectories too short  
Reanalysis products show large differences  
Slightly higher AOD from Siberia

# Sea ice as reduced sinks?



High aerosol load due to sources and sinks

Sea ice: dry, stable BL  
less vertical mixing,  
longer aer. life-time

Best conditions for aerosol transport:  
Air over source regions in BL with enough wind speed  
Ascend of the air (higher wind speed, 5 days, less precipitation)  
Advection over sea ice

FLEXTRA 5 days (with photometer) Aprils 2013-2016

MOSAIC: coordinated observations with surrounding stations needed

## MOSAiC:

# Multidisciplinary Drifting Observatory for the Study of Arctic Climate

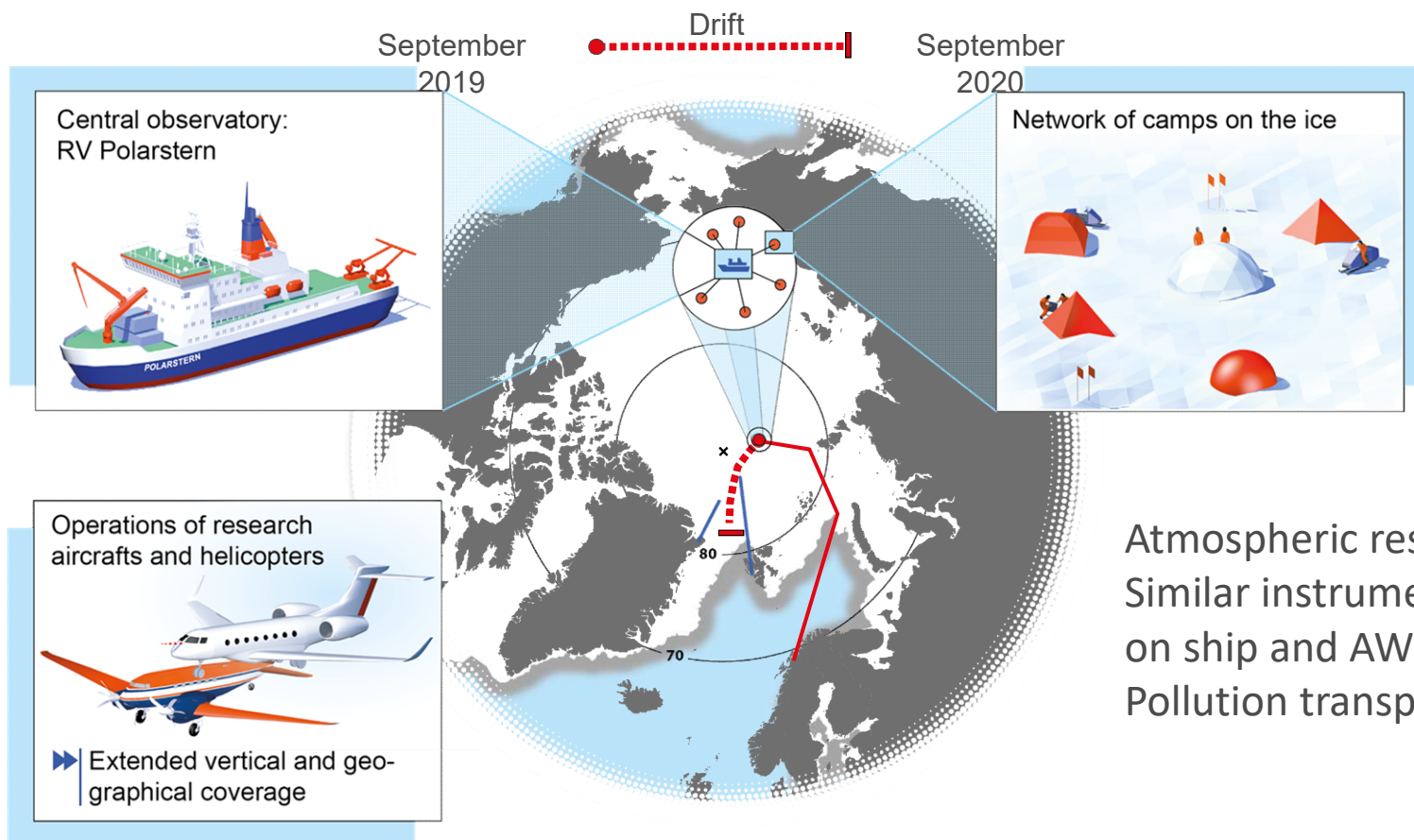
- September 2019 – September 2020
- Largest Arctic research expedition ever
- 5 icebreakers
- More than 60 institutes
- 17 nations
- ~ 300 scientists in the central Arctic



### Goal:

To improve the understanding and model representation of coupled atmosphere-ice-ocean-ecosystem-biogeochemistry processes

# The MOSAIC Expedition



# Atmospheric observations during MOSAIC



**13 stations** with year long monitoring program + **2 AWI planes**

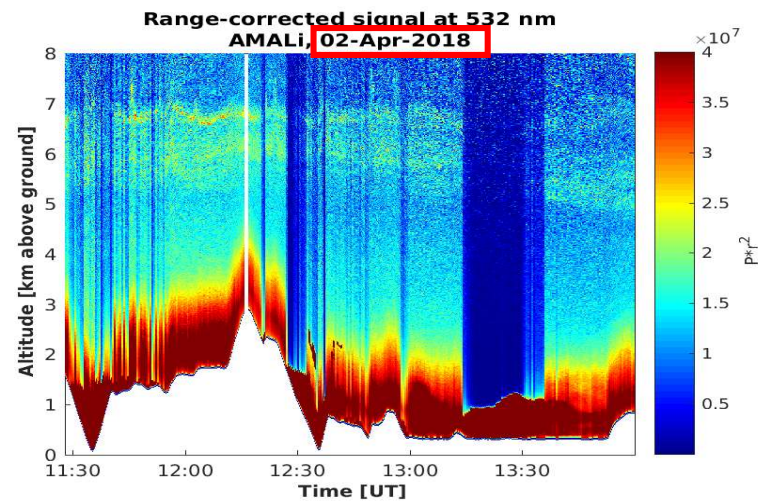
Transparency from Marco Zanatta

37 Several ground stations for meteorology and aerosol in-situ

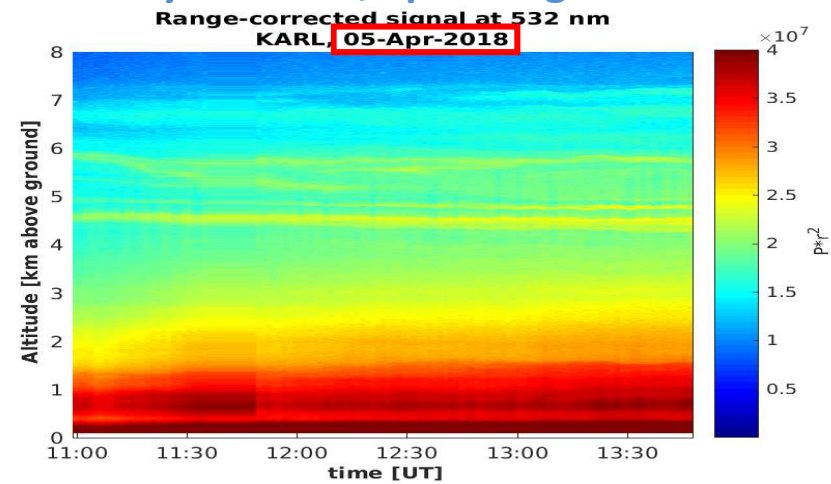
# AC3 and PAMARCMiP 2018:



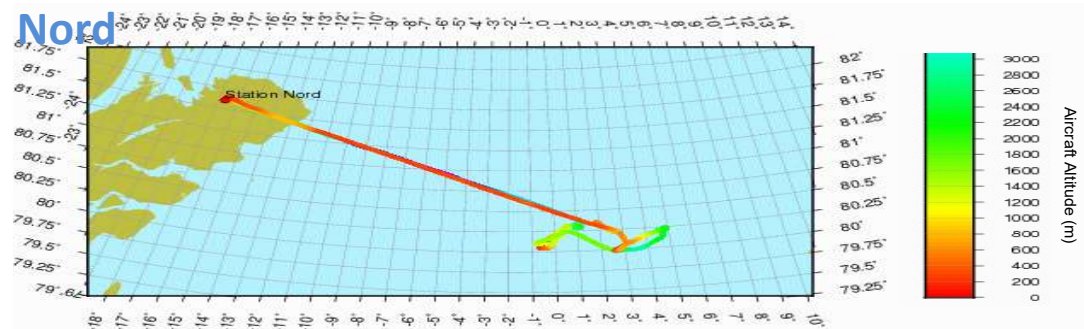
## Persistent layer of aerosol in 5-7km



## Ny-Ålesund, Spitsbergen



## Polar5 flight-track towards Station Nord



Compare remote sensing to in-situ

Calculate radiative forcing

## Summary:

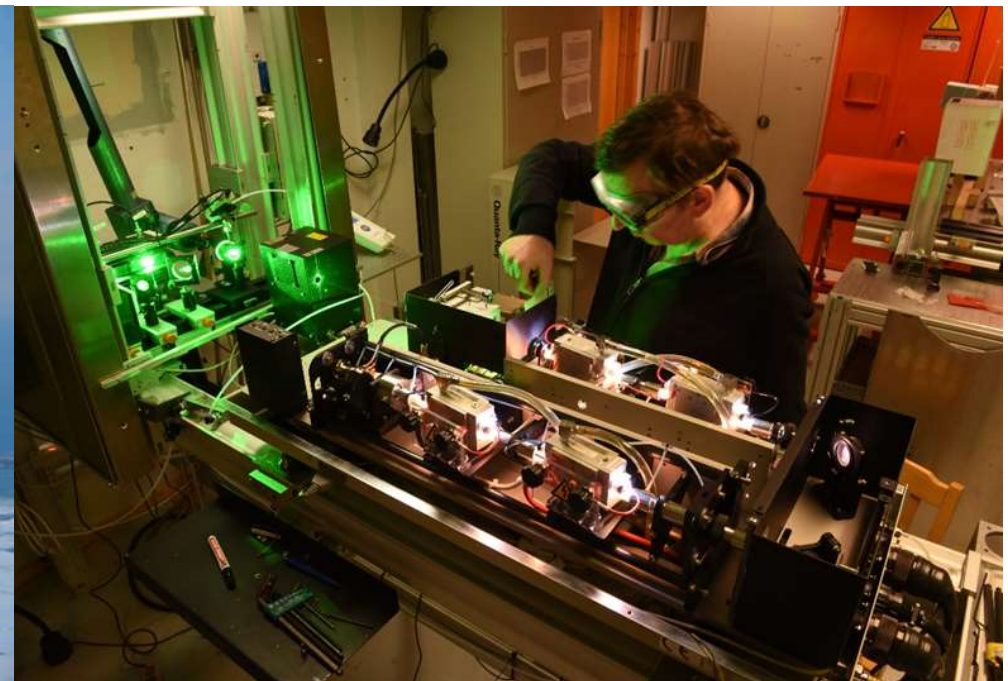
Arctic Haze consists of small particles

Max. extinction in spring due to slightly larger particles

Effective pollution pathways unknown: MOSAiC

Weakly depolarizing particles with moderate LR, chem. „internal mixed“ on 10min / 30m scale

Maybe in-situ underestimates extinction



A theory is short,  
concise and  
complete and is  
believed by nobody  
except of its  
inventor.



Observational data  
are noisy, strange  
and incomplete and  
are believed by  
everybody except  
of the one who  
measured them.

Picture:  
Loriot 1923 - 2011

Thank you for your attention!







# Aerosol measurements:

Can be  
direct ↔ indirect (physical model / other quantities needed)

Can be

In situ ↔  
DMPS, Filter, (OPC)  
“what aerosol is there”

remote sensing  
“what is the optical impact  
of the aerosol”

In situ and remote sensing must not  
match closely:

Shape of aerosol?

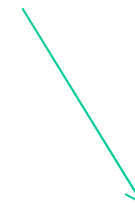
Index of refraction?

General scattering theory missing!

For spherical particles: Mie



Passive,  
without own  
radiation




Active, emits  
own radiation:  
easier ranging

“closure experiments”

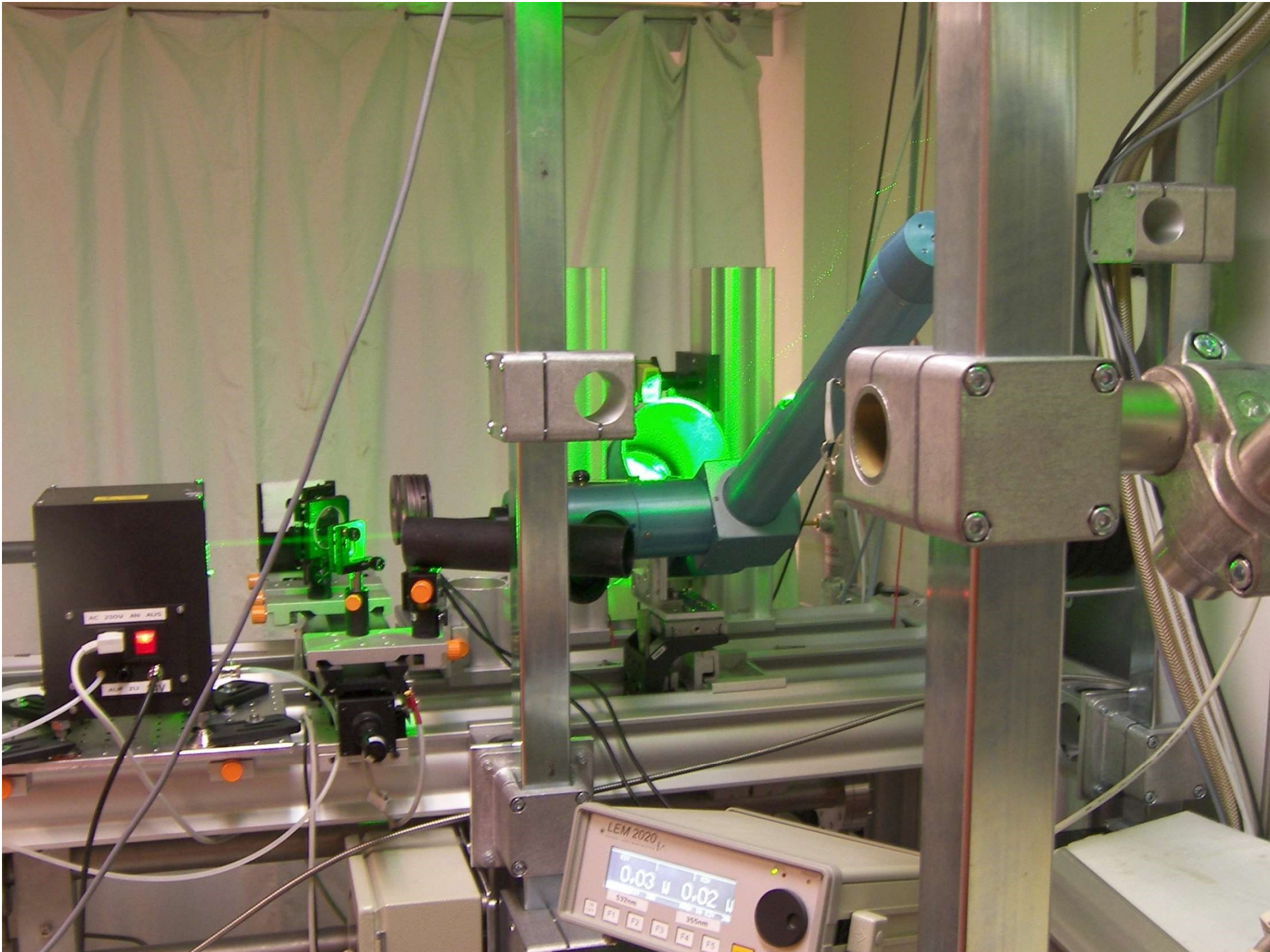
Note: prefer direct measurements

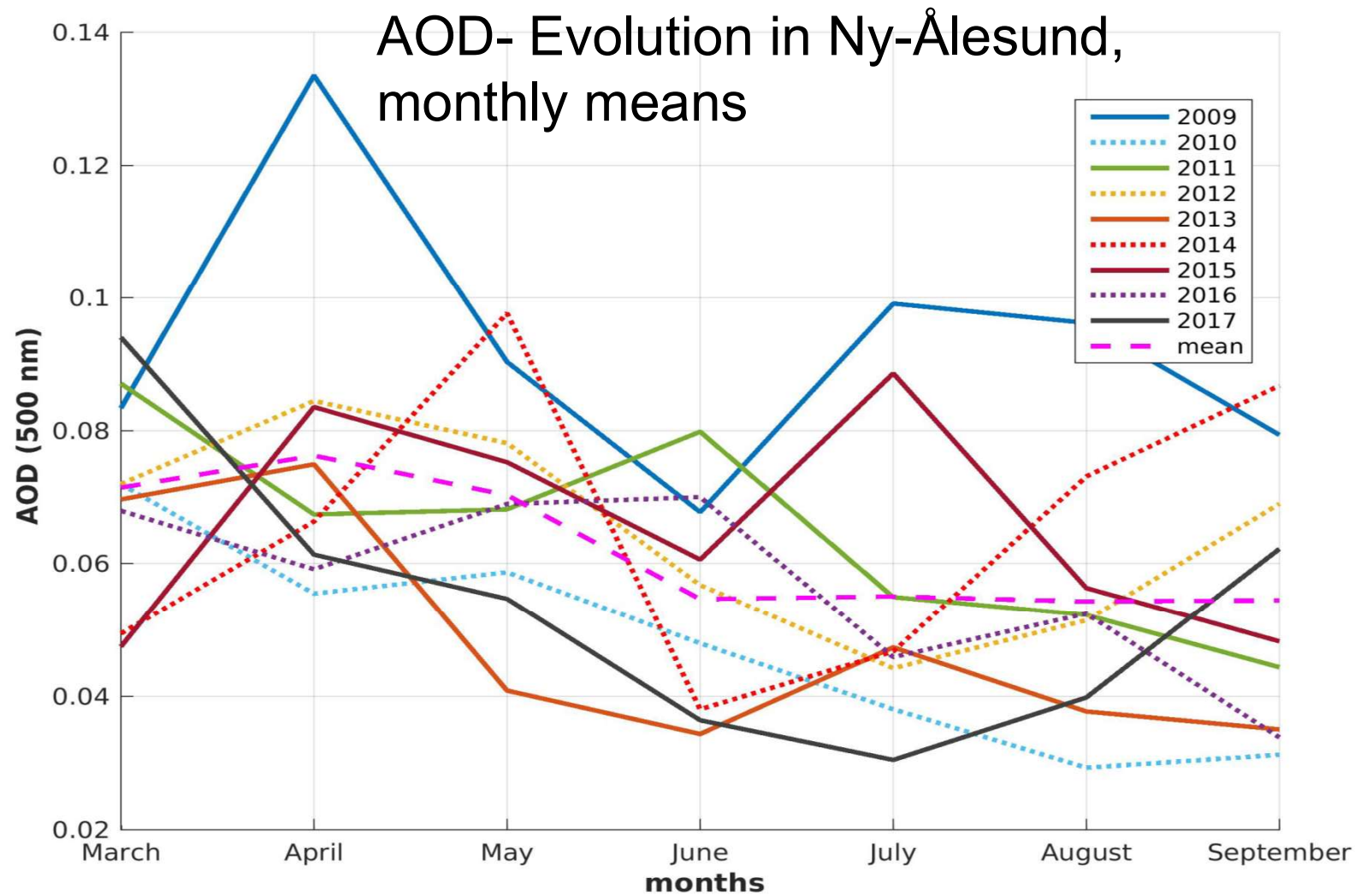
$f(\text{cause}) \rightarrow \text{effect}$  always given;

$f^{-1}(\text{effect}) \rightarrow \text{cause} ??$



KARL:  
Koldewey Aerosol Raman Lidar  
Since 2001  
Aerosol and water vapor  
199? – 2008 also a lidar for  
stratospheric ozone





S. Graßl 2019, Masterthesis

Spring AOD decreases over time  
 → annual run of AOD becomes flatter  
 2009 was last polluted year  
 Generally high variability

## Principles and equation:

elastic:

$$P_e(z) = C_e \beta(z) \frac{1}{z^2} \exp(-2 \int_0^z \alpha_{\lambda_e}(\hat{z}) d\hat{z}) \cdot [O(z)]$$

P : return power [MHz]

$\beta$ : backscatter [ $\text{m}^{-1}\text{sr}^{-1}$ ]

$\alpha$ : extinction [ $\text{m}^{-1}$ ]

O: overlap function

C: lidar constant (laser power, optics: transmission, PMT efficiency ...)

$$\beta^{\text{tot}} = \beta^{\text{Ray}} + \beta^{\text{aer}}$$

$$\alpha^{\text{tot}} = \alpha^{\text{Ray}} + \alpha^{\text{aer}}$$

Raman –scattering, inelastic  $\lambda_{\text{elastic}} \rightarrow \lambda_{\text{Raman}}$

$$P_r(z) = C_r \rho(z) \frac{1}{z^2} \exp(-\int_0^z \alpha_{\lambda_e}(\hat{z}) + \alpha_{\lambda_r}(\hat{z}) d\hat{z}) \cdot [O(z)]$$

$\rho$  : air density, Raman scattering at nitrogen molecules

Extinction principally challenging!

It all depends on SNR

In total: 2 equations for  $\alpha$ ,  $\beta$

## Without Raman effect: 1 equation, 2 unknowns

If the green 532nm light is emitted into the atmosphere the strongest Raman return occurs at 607nm (Stokes from N<sub>2</sub>), N<sub>2</sub> is proportional to air number density

$$P^{607nm}(z) = \hat{C} \cdot \frac{1}{z^2} \rho(z) \cdot \exp\left(-\int_0^z \alpha^{532} + \alpha^{607} d\hat{z}\right)$$

As with photometer assume  $\hat{A}$  :  $\alpha_{Aer}^{532} := \alpha_{Aer}^{607} \cdot (532nm/607nm)^{\hat{A}}$

⇒ equation for  $\alpha^{532}$  but noisy

$$P^{607nm}(z) = \hat{C} \cdot \frac{1}{z^2} \rho(z) \cdot \exp\left(-\int_0^z f \cdot \alpha^{532}(\hat{z}) d\hat{z}\right)$$

does f depend on z?



## Evaluation of lidar data:

If Raman channel is available and looks trustful:

- a) Solve Raman lidar equation for extinction
- b) Use this extinction to solve the elastic lidar equation for backscatter

If Raman channel is not available:

Estimate a Lidar Ratio  $LR(\lambda) = \frac{\alpha^{aer}}{\beta^{aer}}$

Bring elastic lidar equation in form of Bernoulli Differential equation and solve it for the backscatter

$$\beta^{tot} = \beta^{Ray} + \beta^{aer}$$

You need (always): 1) air density profile

2) boundary condition  $\beta^{tot}(z_{ref}) = (1+\epsilon) \cdot \beta^{Ray}(z_{ref})$

$$P_r(z) = C_r \rho(z) \frac{1}{z^2} \exp\left(-\int_0^z f \cdot \alpha_{\lambda_e}(\hat{z}) d\hat{z}\right) \cdot [O(z)]$$

$$P_e(z) = C_e \beta(z) \frac{1}{z^2} \exp\left(-2 \int_0^z (\alpha^{Ray} + LR \cdot \beta^{Aer}) \cdot [O(z)]\right)$$

## Extinction in a lidar:

$$P_r(z) = C_r \rho(z) \frac{1}{z^2} \exp\left(-\int_0^z \alpha_{\lambda_e}(\hat{z}) + \alpha_{\lambda_r}(\hat{z}) d\hat{z}\right) \cdot [O(z)]$$

Do not smooth or fit your lidar profile !!

Instead you can calculate a “layer-integrated” extinction ( $z_{\text{bottom}} \rightarrow z_{\text{top}}$ )

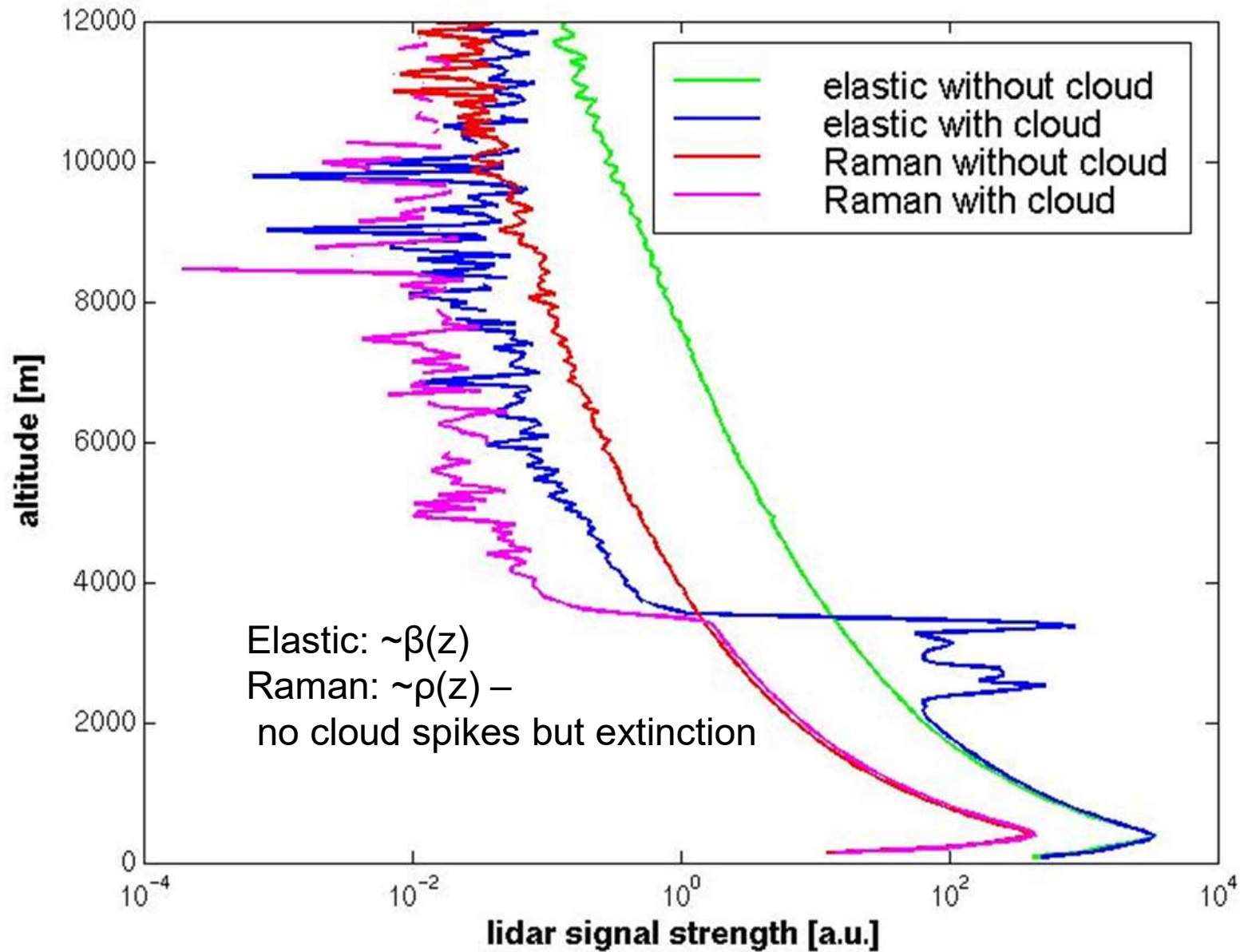
$$P_r(z_t) = C_r \rho(z_r) \frac{1}{z_r^2} \underbrace{\exp\left(-\int_0^{z_b} \alpha \dots dz\right)}_{\substack{P_r(z_b) z_b^2 \\ C_r \rho(z_b)}} \cdot \exp\left(-\int_{z_b}^{z_t} \alpha \dots dz\right)$$

↘ Layer AOD

If the derivative  $\partial/\partial z$  harms, avoid it

Or make a statistic from unsmoothed lidar data  
(first calculation, then averaging)

# How do lidar signals look like?



# Shortcomings of lidar data:

Phase function missing: only info around  $\Phi = 180^\circ$

overlapp: boundary layer difficult

Refractive index challenging:  $m = m_{\text{real}} + i \cdot m_{\text{imag}}$

$m_{\text{real}} \sim$  scattering     $m_{\text{imag}} \sim$  absorption  
but we only have  $\beta$ ,  $\alpha$

Weak absorption  $\rightarrow \omega$  insecure

Only trustful info for accumulation mode:

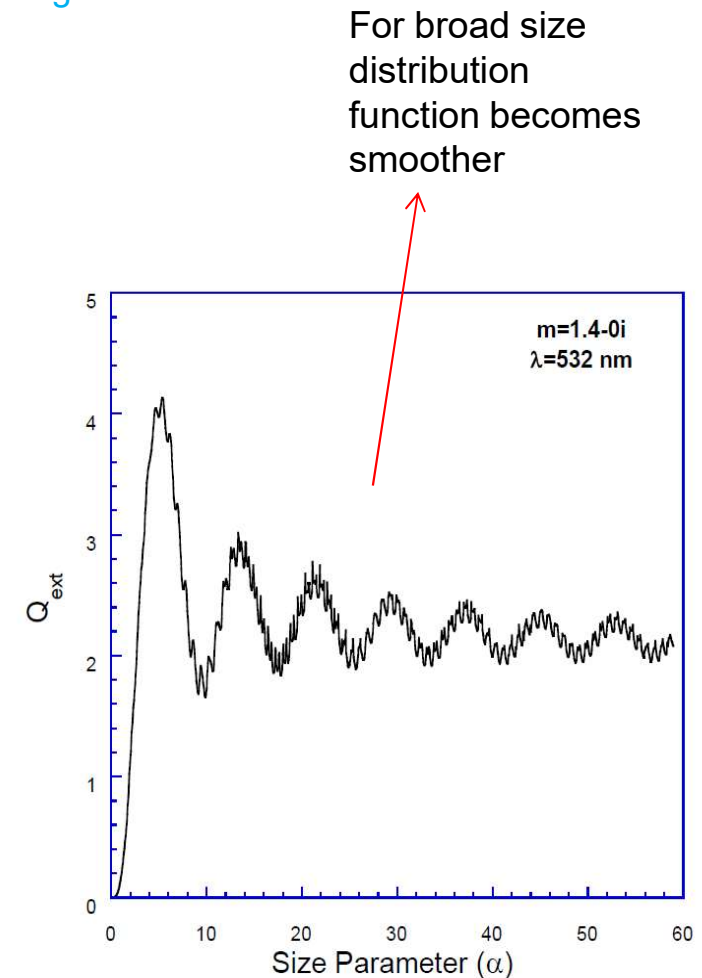
Aitken: interaction too small

giant mode: Mie efficiency becomes flat

$$\alpha = \frac{2\pi r}{\lambda} \leftrightarrow r = \frac{\alpha \lambda}{2\pi}$$

$$\alpha \in [1 \dots 12], \lambda = 0,5\mu \rightarrow r \in \left[\frac{1}{4\pi} \dots \frac{3}{\pi}\right] \mu$$

conclusion: aerosol, cloud particles



## Results Haze season 2013:

Most polluted March / April

Principally more backscatter close to ground

Haze season starts close to the ground and lasts longer in higher altitude

LR might have an annual cycle with lower values early in the season and closer to the ground

During the haze period the particles are more irregular in shape

Below 850m time consuming overlapp corrections with Ceilometer required

Since 2011: Vaisala CL51

Calibrate Ceilo with KARL  
(Klett) in about 1.5km on  
clear, stable day

Assume Ceilo measured  
true results (@910nm)

Assume Å, LR(z)

→ **calculate missing  
overlapp of KARL**

SNR(Ceilo) moderate



# Definition “aerosol closure”:



- 1) Closure on microphysics (locally)
- 2) Closure on radiative impact (column)

1) Bring together different sensors (with different weaknesses and assumptions) in a common evaluation scheme until

a) a consistent set of assumptions & properties is found

b) a clear gap / mismatch has been identified

best: homogeneous aerosol (composition, mixing state)

dry, stable atmosphere, spherical particles

problems: scattering theory (who does not depend on that, entirely?)

refractive index & shape, espec. when heterogeneous particles, hysteresis of rh ...

at the end of a successful closure we have a match between “what is there” and its optical impact

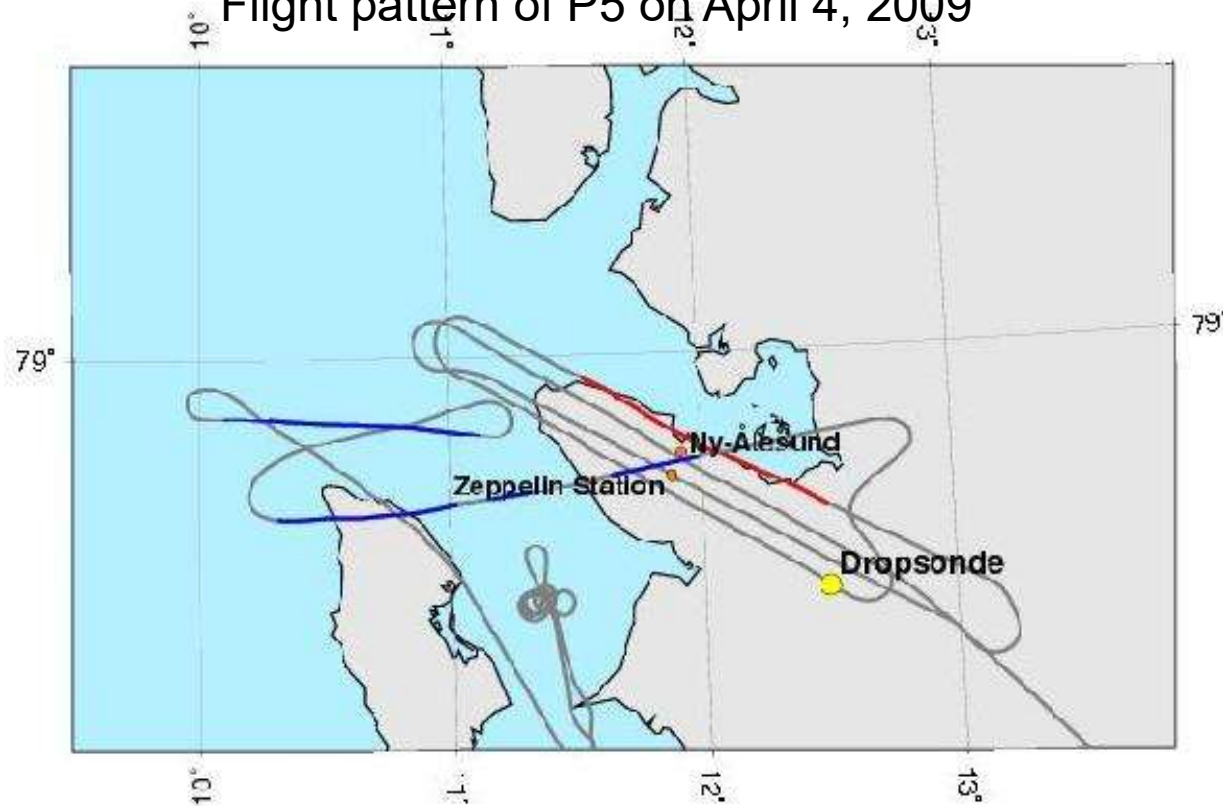
2) understand the relation between microphysics and optical properties for the whole column and include integrating values as AOD, radiation values and the resulting forcing by a radiative transfer model

problems: air-borne in-situ measurements for validation

At the end: knowledge of RF of aerosol, depending on meteorology

# Comparison in-situ, remote sensing

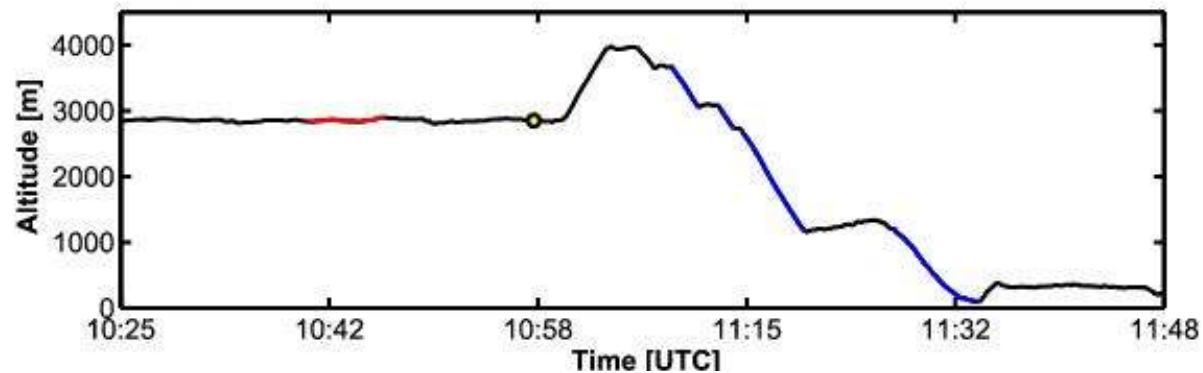
Flight pattern of P5 on April 4, 2009



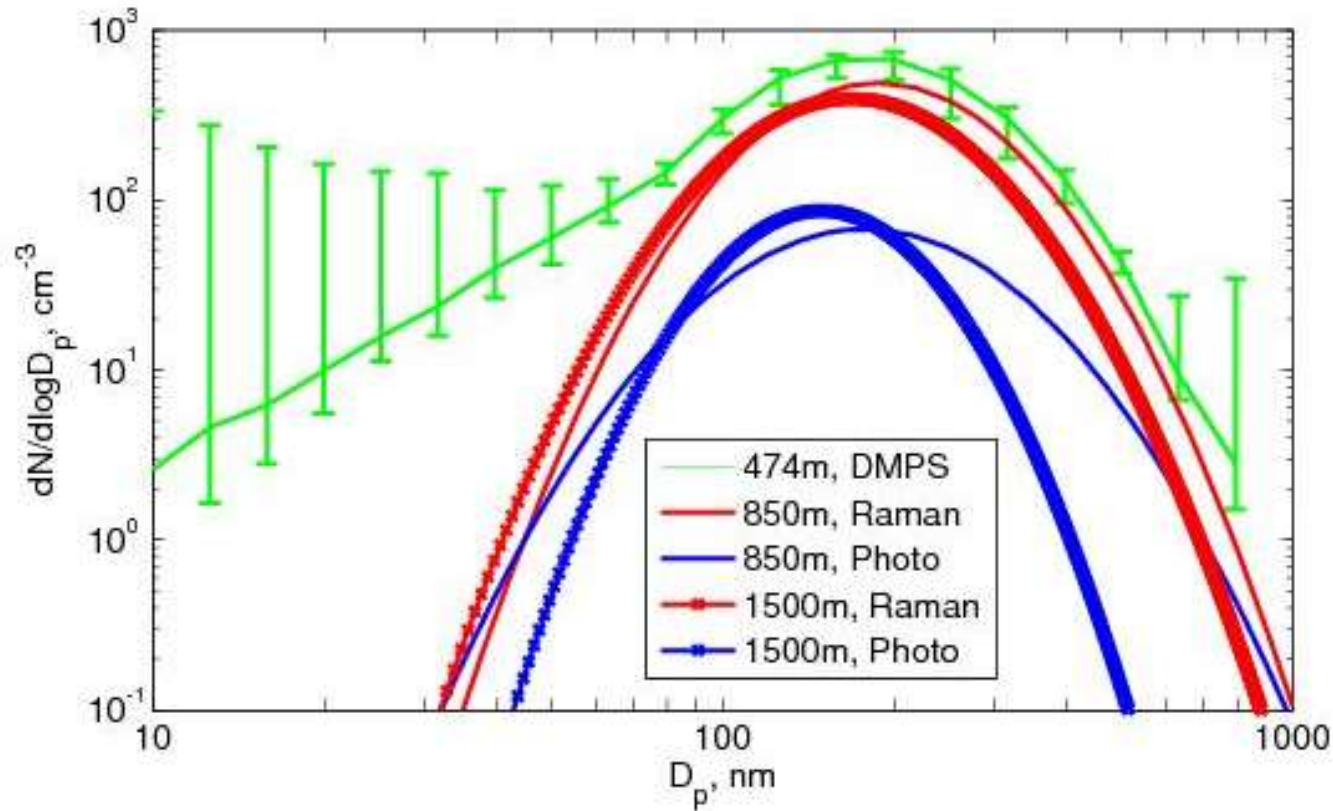
Red: AMALI lidar,  
downward looking

Blue:  
sunphotometer  
Extinction from  
 $AOD(z_1) - AOD(z_2)$

So we have:  
Backscatter from lidar  
Extinction: from lidar  
and from photometer



1<sup>st</sup> comparison in situ to lidar, case April 4, 2009



Extinction in photometer(s) smaller than in lidar, cause:?  
Optical systems insensitive below 80nm  
So, accum. mode: basically log-normal distribution



# Summary

Lidar active remote sensing, give height resolved information on many parameters. Aerosol: backscatter, extinction & depolarisation

→ allows (sometimes) estimation of size distribution & refractive index in accumulation mode

Is handicapped in Aitken mode  
can easily see giant mode, clouds

Should be compared to ground-based in-situ data:  
Is the aerosol in the column / free troposphere the same as on ground?

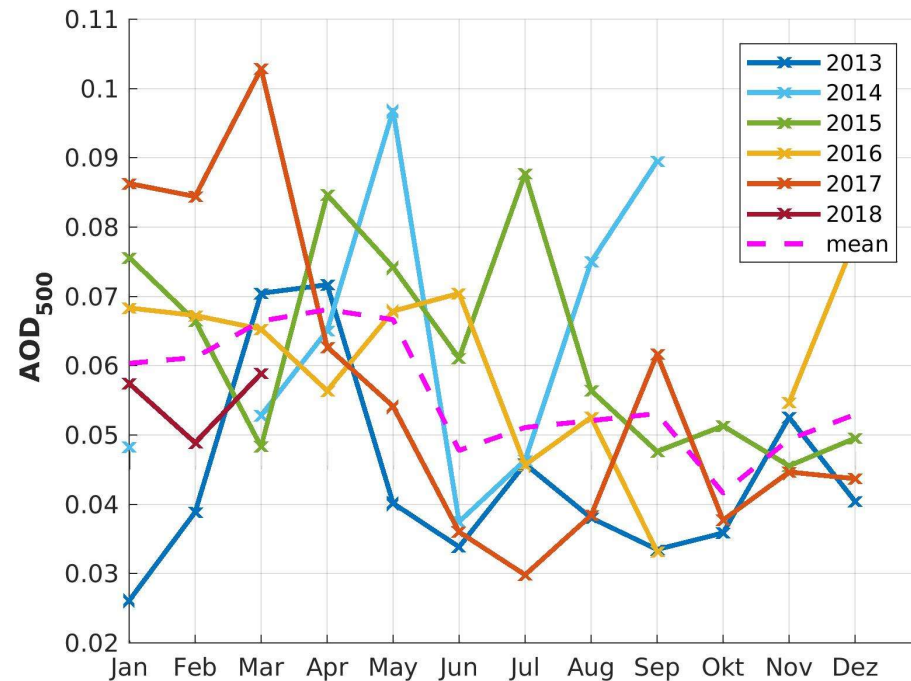
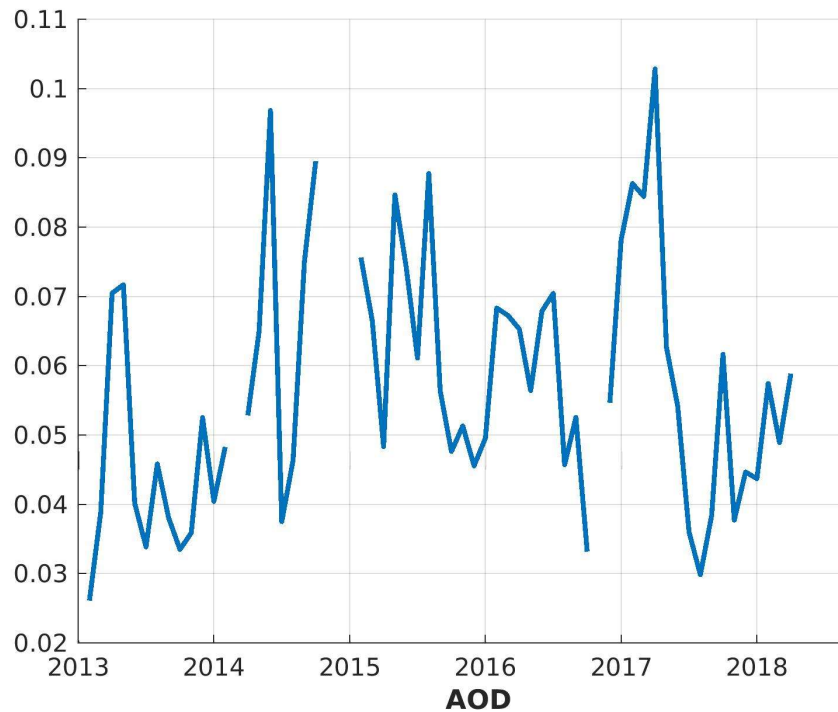
Has typical resolution of minutes / 10m

# Arctic Haze: spring-time „air pollution“



Arctic Haze:  
Sulfates, soot, ...  
Small particles, but they can scatter light

Max. in spring but large year to year variability  
Sandra is analysing it:

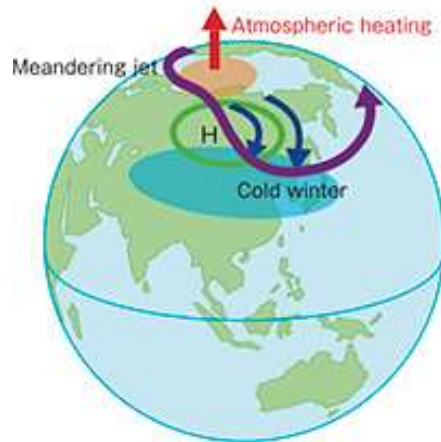


# Motivation:

Warum interessieren wir uns für das Klima der Polargebiete?

**Telekonnektion** der Atmosphäre! Korrelation der Abweichung meteor. Größen auf Skalen bis 10000km

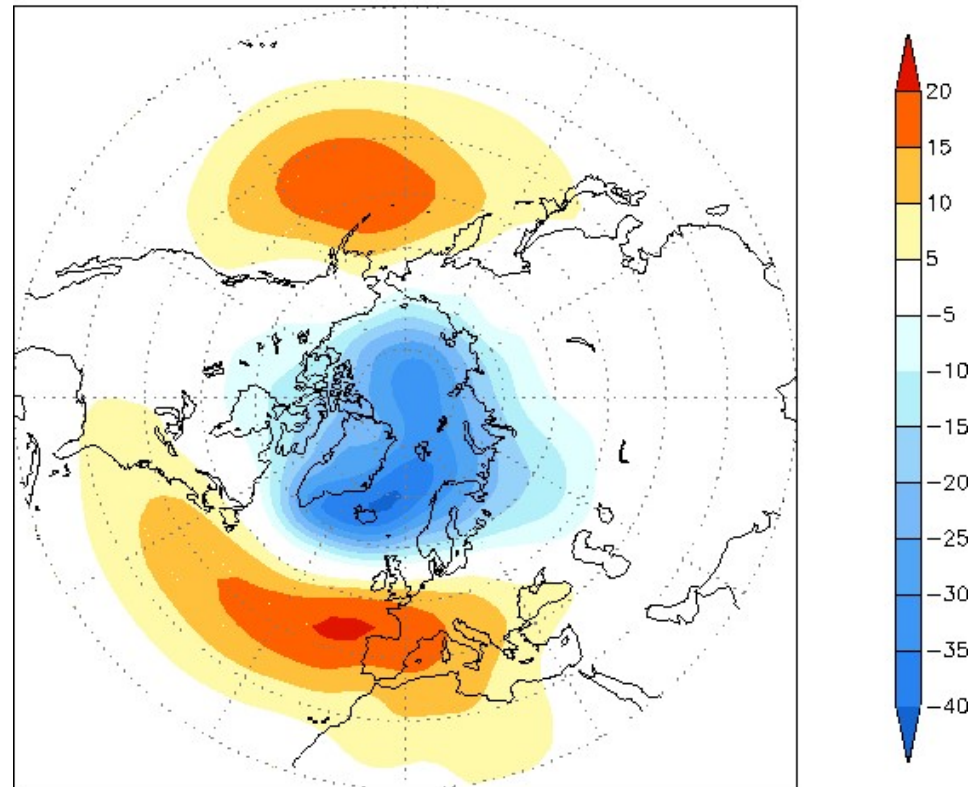
Arctic influences over Japan



Sichtweise  
aus Japan

Eine wärmere, eisarme Arktis  
könnte die Wahrscheinlichkeit  
kalter Winter in gemäßigten  
Breiten erhöhen

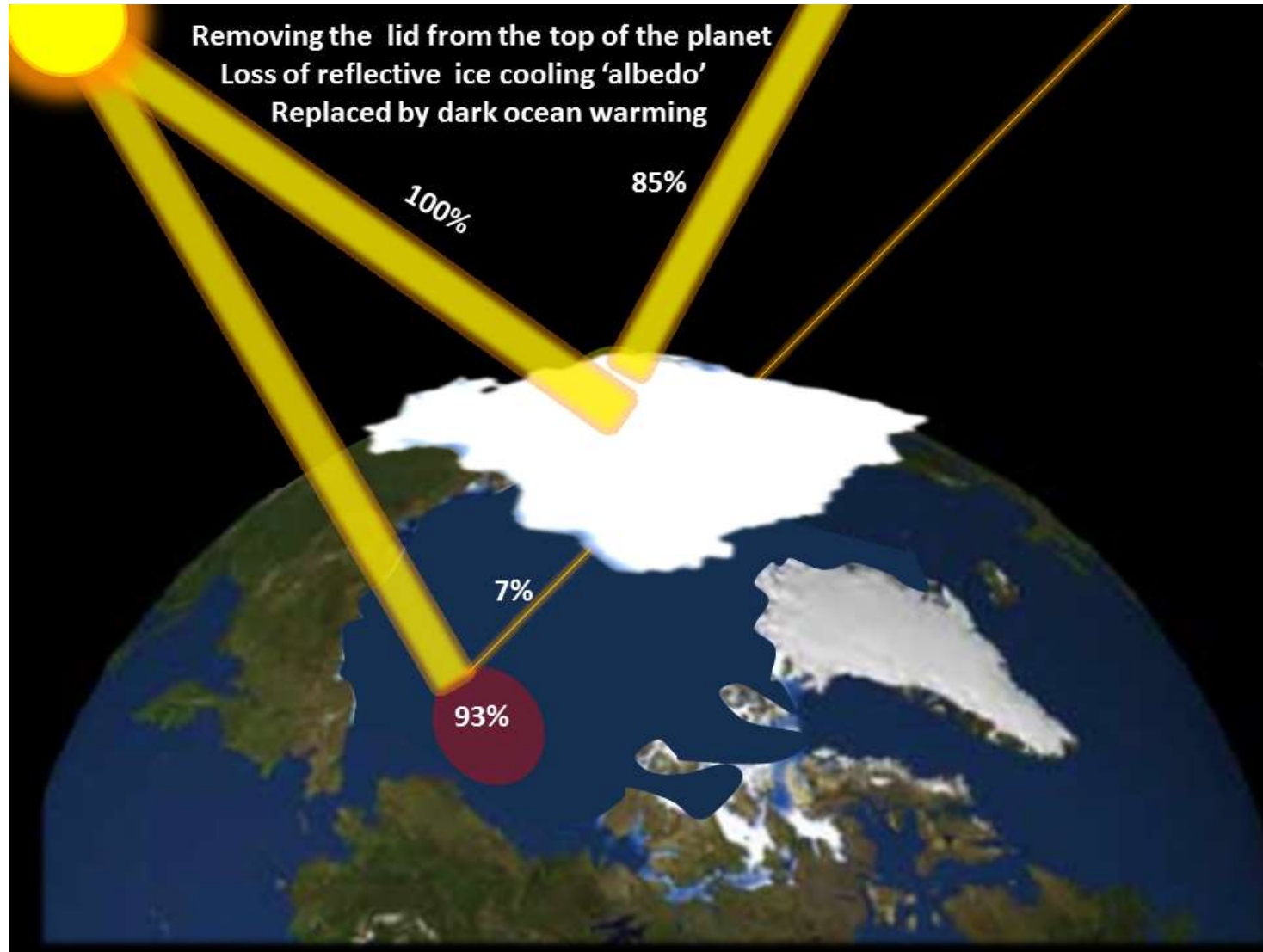
Leading EOF (19%) shown as  
regression map of 1000mb height (m)



„Arktische Oszillation“

warum? Rückkopplungen „Feedbacks“

Ursache → Effekt (der) → Ursache verstärkt → (stärkerer) Effekt ...

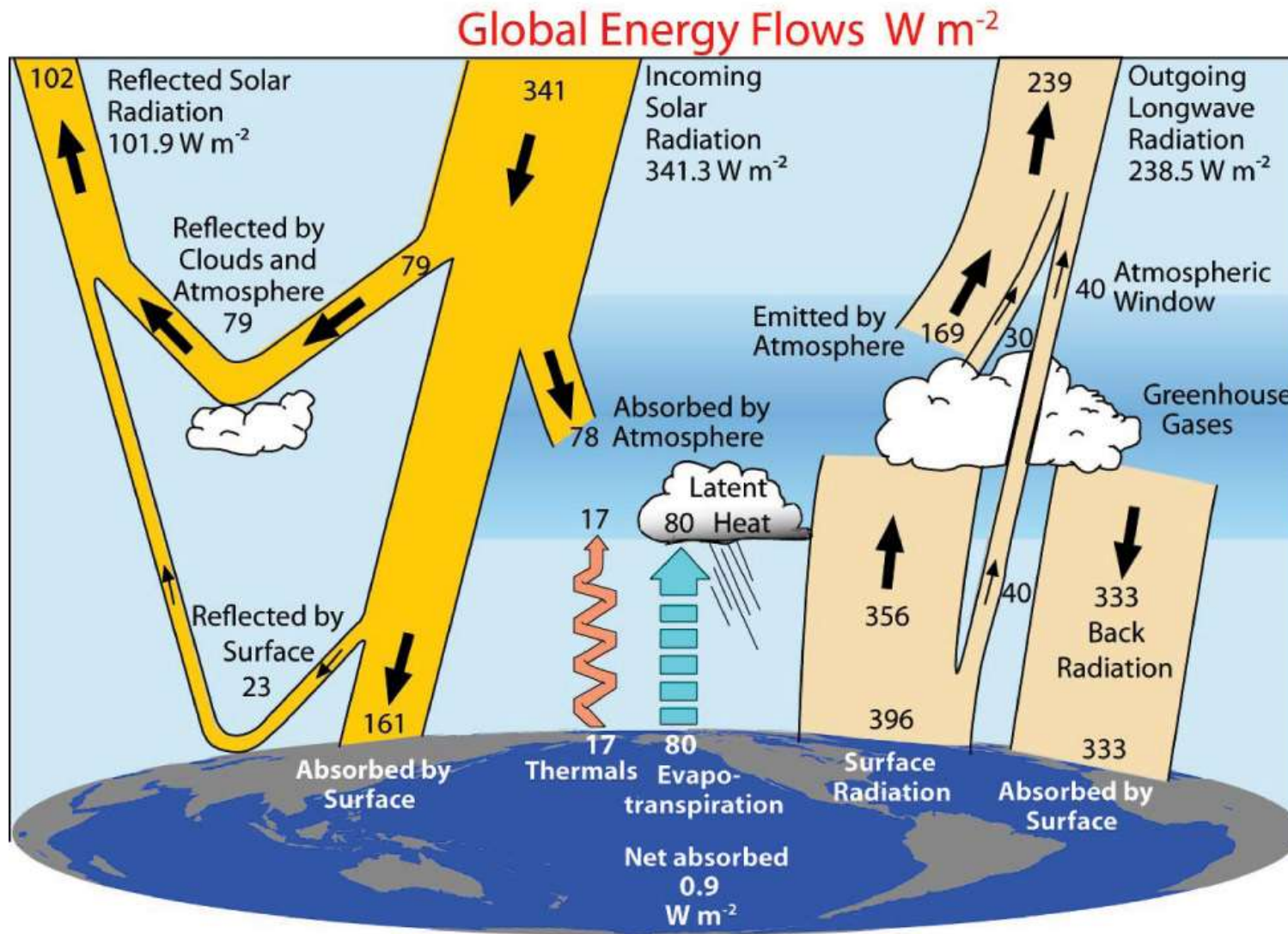


Eis-Albedo  
Rückkopplung

2014: Pithan,  
Mauritsen  
(MPI-  
Hamburg)

Arktische  
Verstärkung  
nur durch  
Temperatur-  
effekte

# (generell) Strahlungsbilanz:



Sehr verschiedene Prozesse, Subtraktion, Addition von ähnlichen Größen

Wolken wichtig!

Es gibt Eis- und Wasserwolken

Absorbierte Energie  $> 0 \rightarrow$  Erwärmung

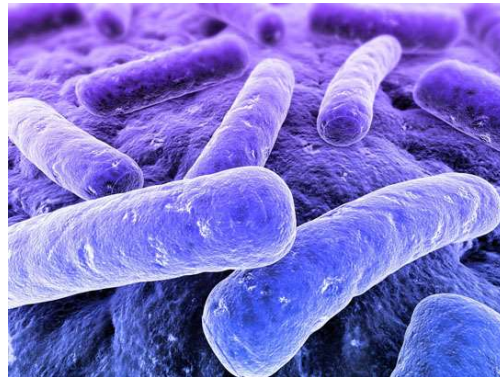
Aerosole:

Alle festen oder flüssigen Teilchen in Atmosphäre als Trägergas:

Wüstenstaub  
(Sahara, Gobi)



Biologisch:  
Bakterien, Viren,  
Pollen



Waldbrände



anthropogen

weiterhin:

Seesalz, Vulkanstaub,

„sekundärem Aerosol“, aus  
reaktiven Gasen gebildet

Durchmesser: 10nm -10µm  
(Sedimentationsge-  
schwindigkeit  
 $\sim r^2$ )

Ganz unterschiedliche Form,  
Größe und Chemie

# Ohne Aerosole kein Niederschlag!

Betrachten kleine Wassertröpfchen:

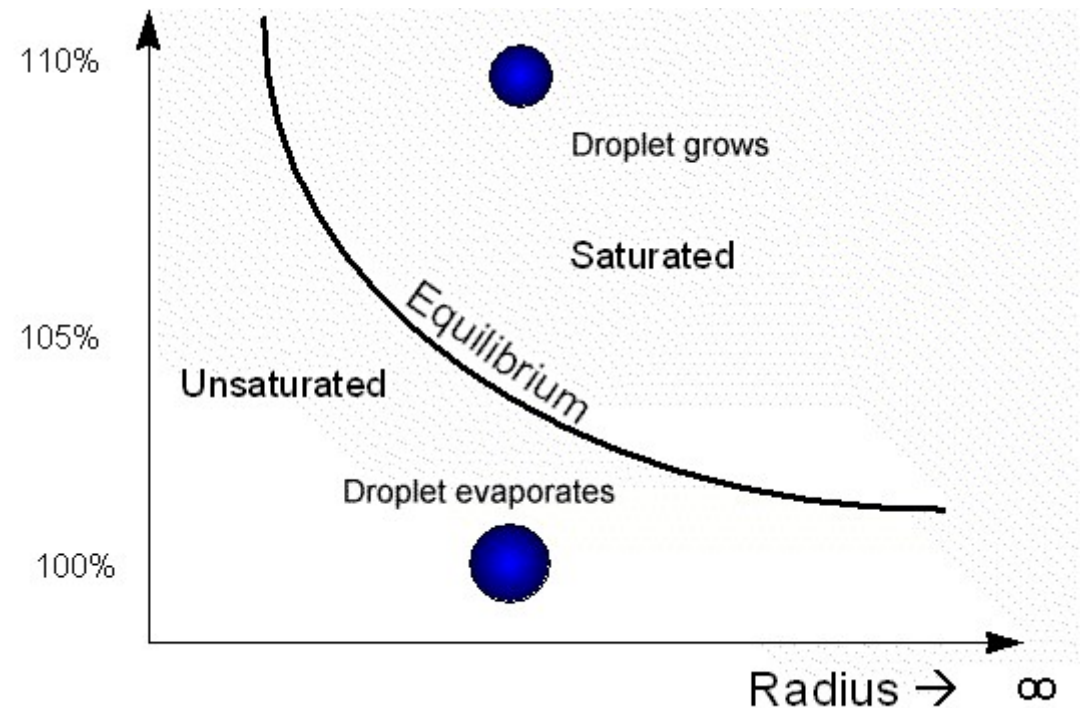
Haben hohe Oberflächenspannung  
→ haben höheren Sättigungsdampfdruck als  
große Tropfen

Tröpfchen verdunsten – Tropfen wachsen  
„**Kelvineffekt**“

„Oberflächenspannung  
aufrecht zu erhalten,  
kostet Energie, Natur  
will das vermeiden“

In reiner Luft bräuchte man eine  
Übersättigung von 450% bis sich  
aus Wasserdampf stabile  
Tröpfchen bilden

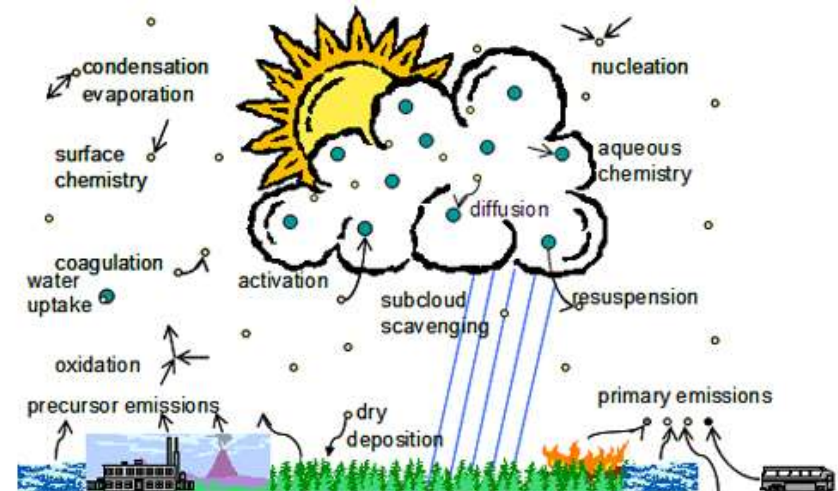
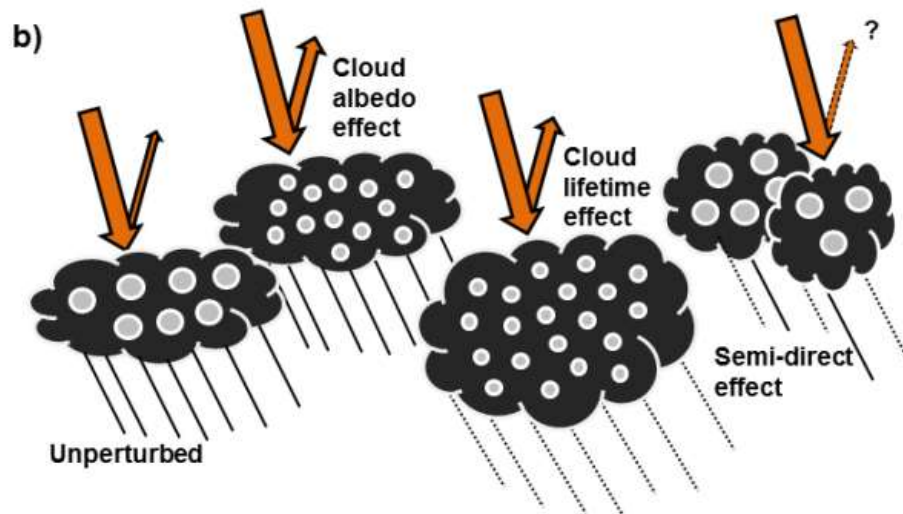
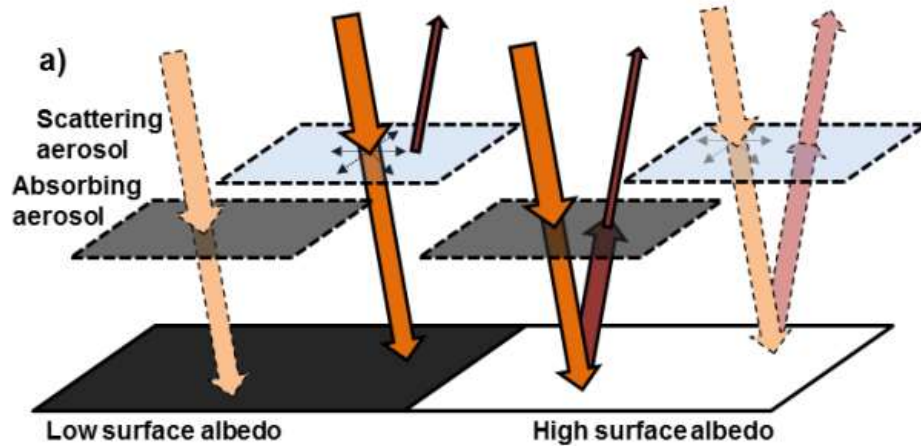
Aerosole stellen Oberfläche zur  
Verfügung, an der sich  
Wassermoleküle anlagern können



## Aerosole beeinflussen Strahlungsbilanz:

direkt (Streuung, Absorption – abhängig von Albedo) oder  
indirekt (Wolkenbildung, deren Lebensdauer, Helligkeit)

„forcing“

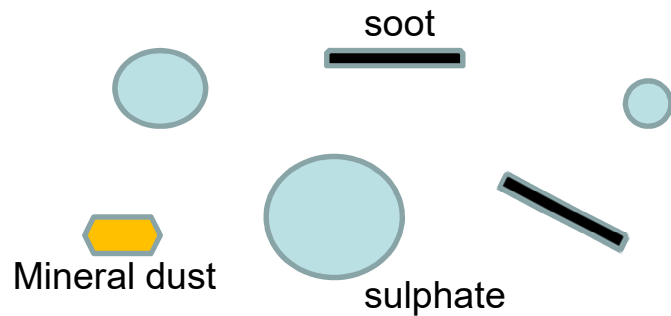


Aerosole ändern ständig  
Durchmesser und chem.  
Zusammensetzung  
Verschwinden aus Atmosphäre  
durch Niederschlag,  
Sedimentation



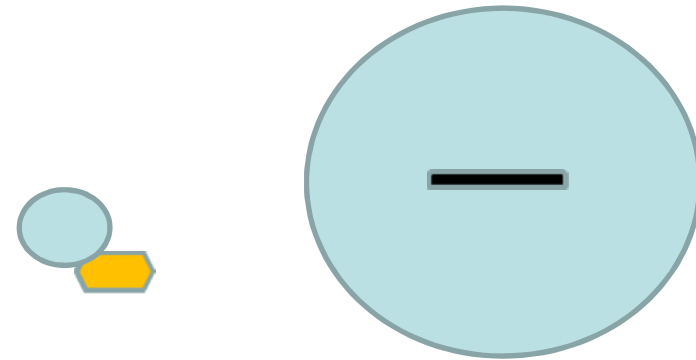
# Consider an aerosol cloud:

a) External mixing



Chemically external mixture: most particles have one chemical composition

b) Internal mixing:



Internal mixing: most particles have heterogeneous composition

Aging:

Due to Brownian motion coagulation, more internal mixture

But also new particle formation due to  $\text{SO}_2$ ,  $\text{O}_3$  and UV radiation

## Problems with climate ...

### Deficiencies in physics:

Aerosol: scattering properties (shape, size, index of refraction!),  
interaction with clouds

Clouds: size, altitude, phase (IN), life-time, precipitation, brightness  
“nothing known”

Turbulence

(origin of) long-scale variations, circulation pattern

Dependence on external forcing: sun, cosmic rays, sea ice, ocean

### Deficiencies in description:

Non-sufficient horizontal and vertical resolution: parameterization

Reifen & Toumi, GRL 2009: “non-stationarity of climate feedbacks” ...

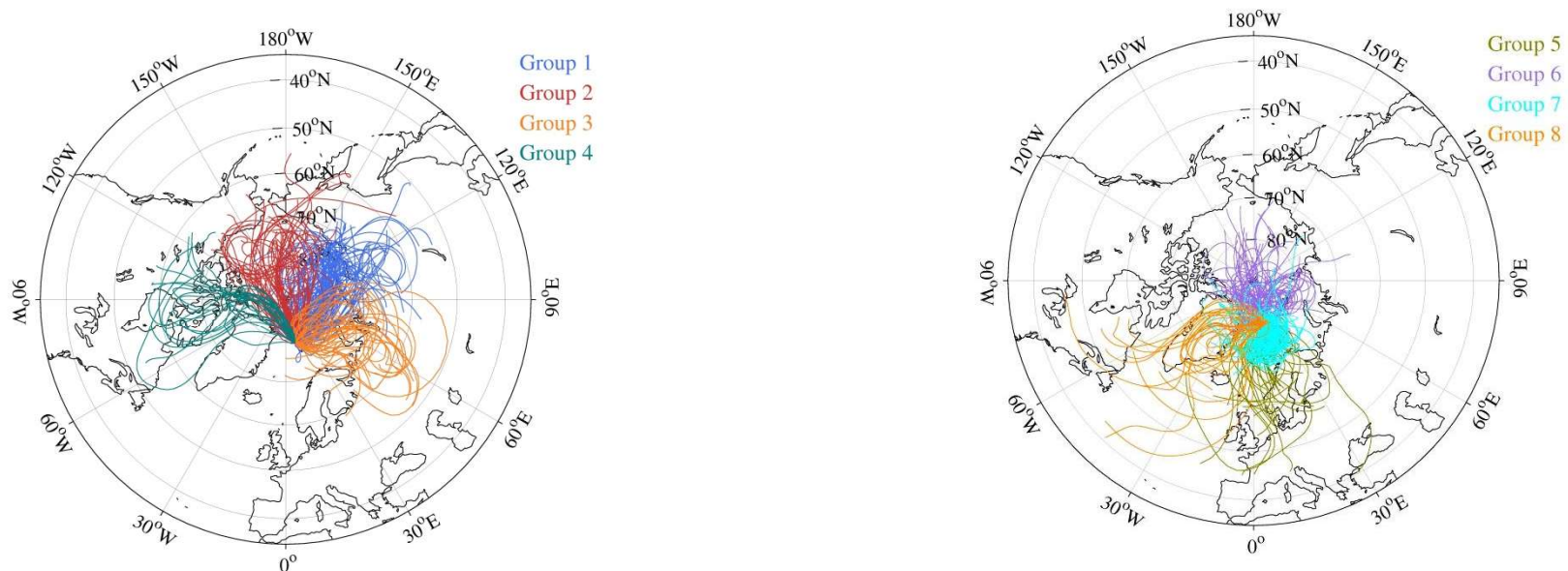
→ models which are good in one period might be inferior at other times

### Principal challenges:

Collins: Climate Dynamics 2002: critical dependence on initial conditions,  
is chaotic system

→ still no predictability beyond seasonal scale

## Introduction III where does the aerosol come from?



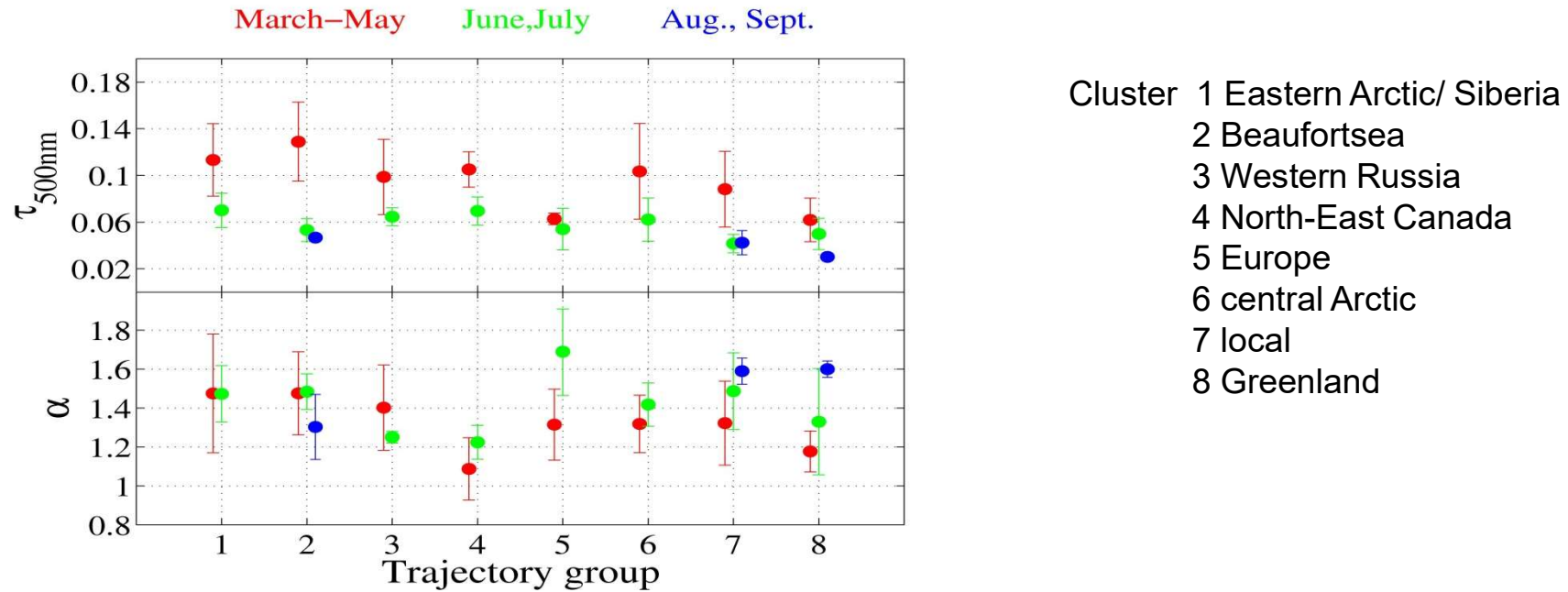
Method: take all AOD data Ny Alesund from 1998 – 2008 where air trajectories came from same origin in 850hPa, 700hPa, 500hPa (ECMWF)

Remove all aerosol events of known origin (mainly forest fire / agricul. flaming)

Cluster 1 Eastern Arctic/ Siberia  
2 Beaufortsea  
3 Western Russia  
4 North-East Canada

Cluster 5 Europe  
6 central Arctic  
7 local  
8 Greenland

## Aerosol origin



Most aerosol from Beaufortsea, Eastern or central Arctic, least from Europe, Greenland

Spring: annual max and max of variations between clusters

Europe: rapid / (direct) transport associated with cloud formation (no photometer observation and/or wet scavenging)

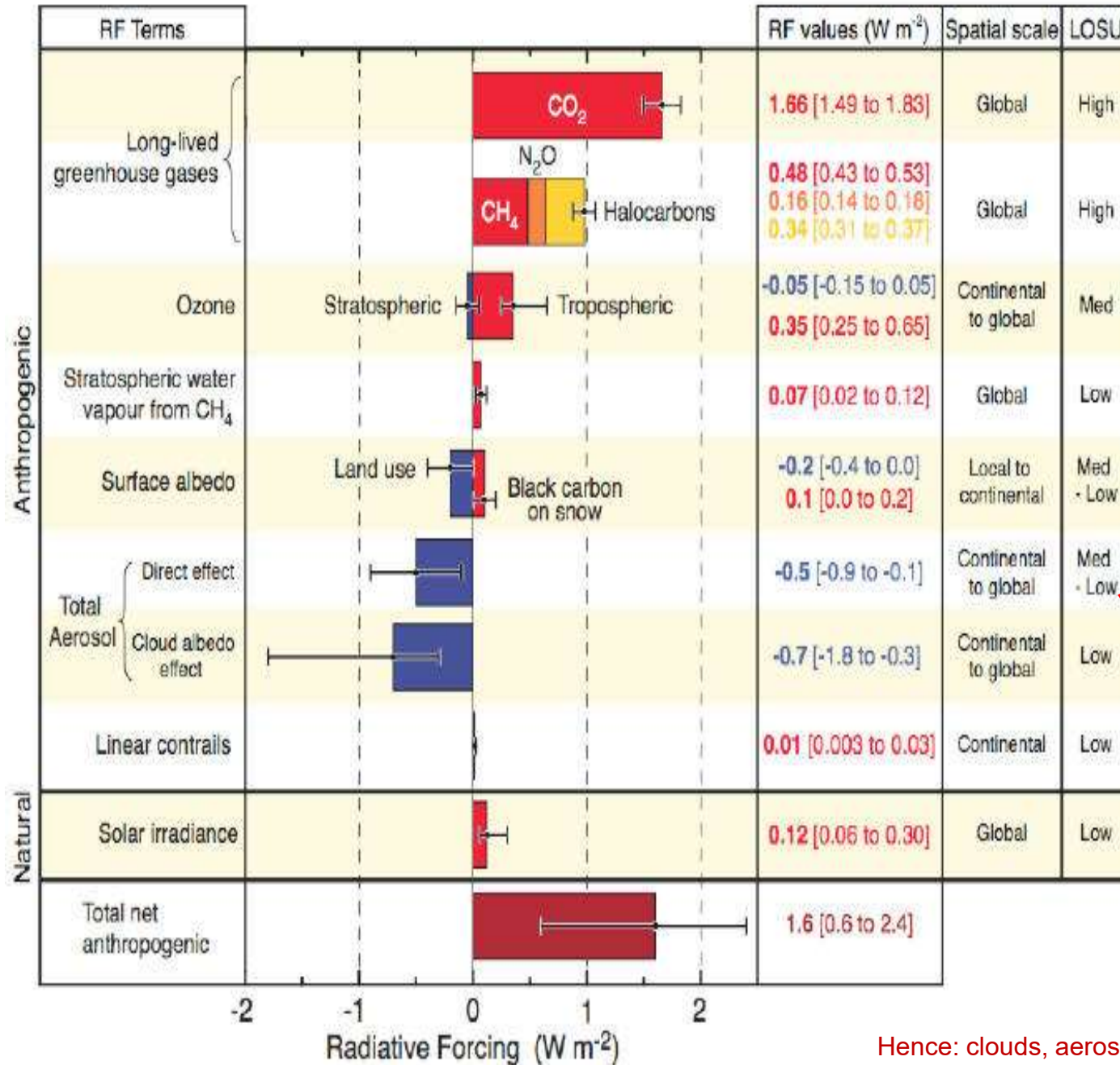
**Where does aerosol come from?**

**Measurements in central and Russian Arctic required!**

**Follow pollution plumes with aircraft/satellites over several days**



# Radiative Forcing Components



©IPCC 2007: WG1-AR4

Downwind of emission comparable to Greenhouse gases

Assumptions the similar? → results similar

Hence: clouds, aerosol!

# The headache caused by aerosol:



## Challenges:

- Various types
- Non-uniform distribution
- Properties change with meteorology (hysteresis)
- RF also dependent on ground
- Chemically mixture: intern or extern

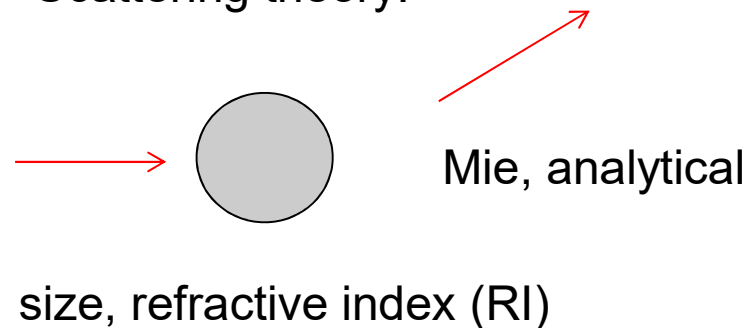
## Remote sensing:

Measures optical parameters, then estimates (overall) size distribution, (overall) shape and effective RI

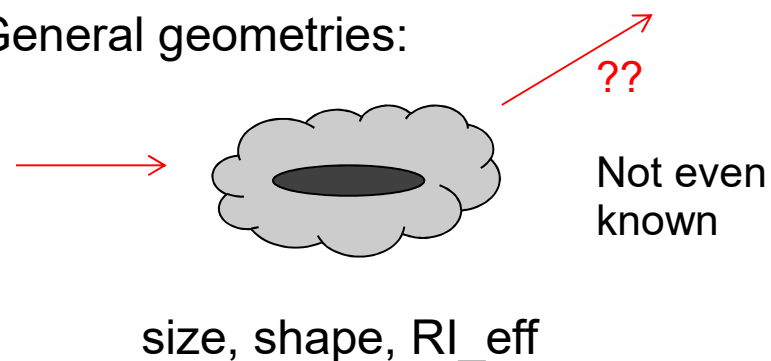
## In-situ measurements:

Get size distribution, chemical composition (almost) directly, then estimates scattering properties

## Scattering theory:

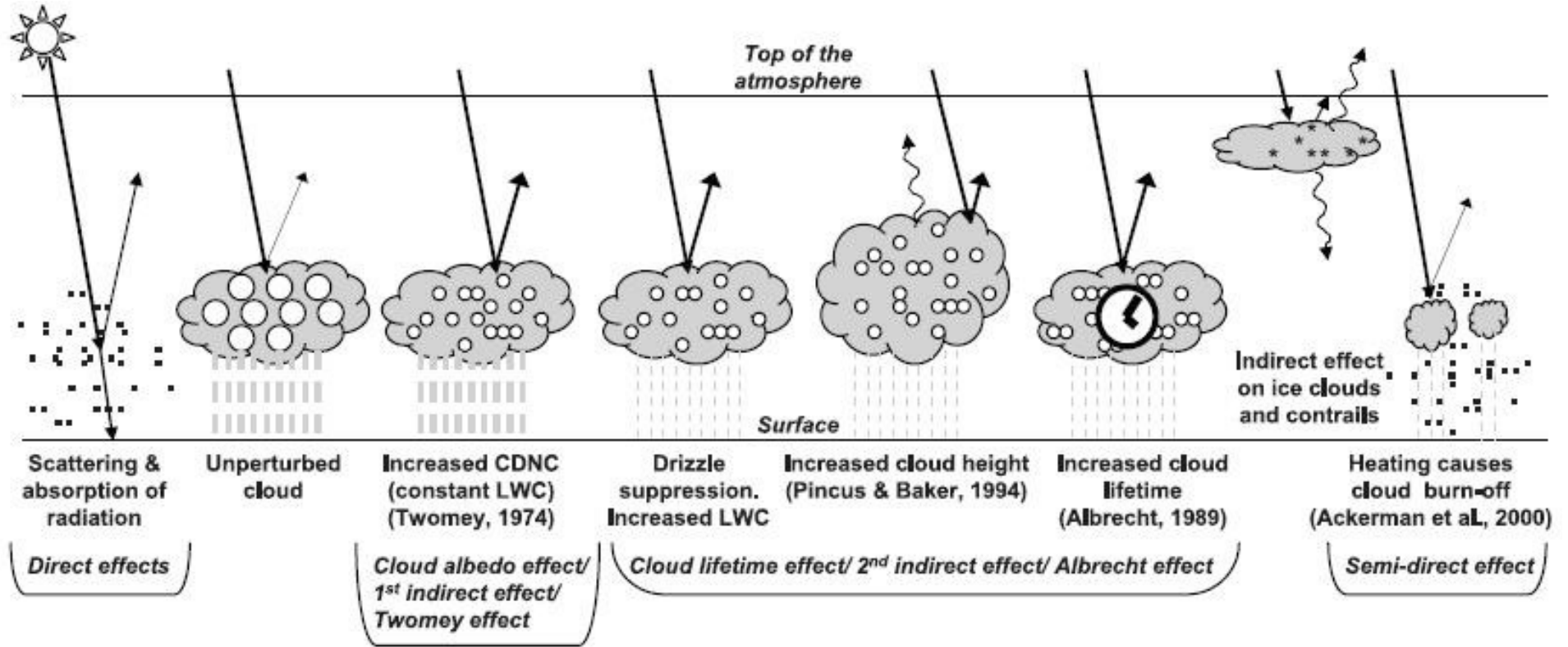


## General geometries:



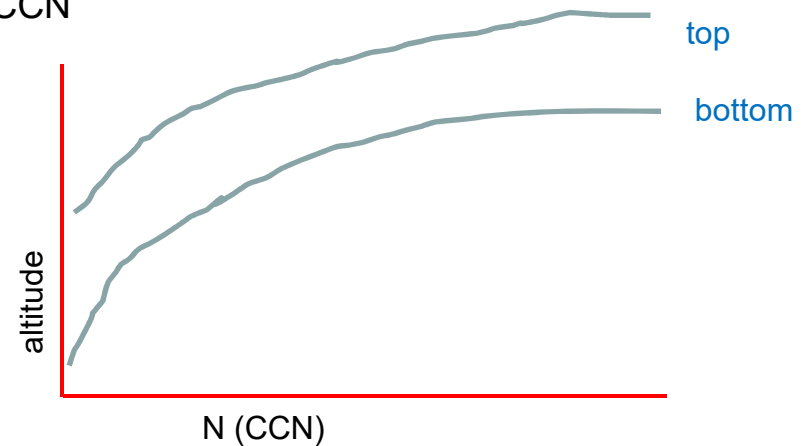
In situ & remote sensing must not agree

# Overview over aerosol effects



Twomey effect: smaller cloud particles have less absorption, more scattering (polluted clouds are whiter)  
higher albedo, larger life-time

Pincus & Baker: cloud thickness and cloud top altitude increase with concentration of CCN





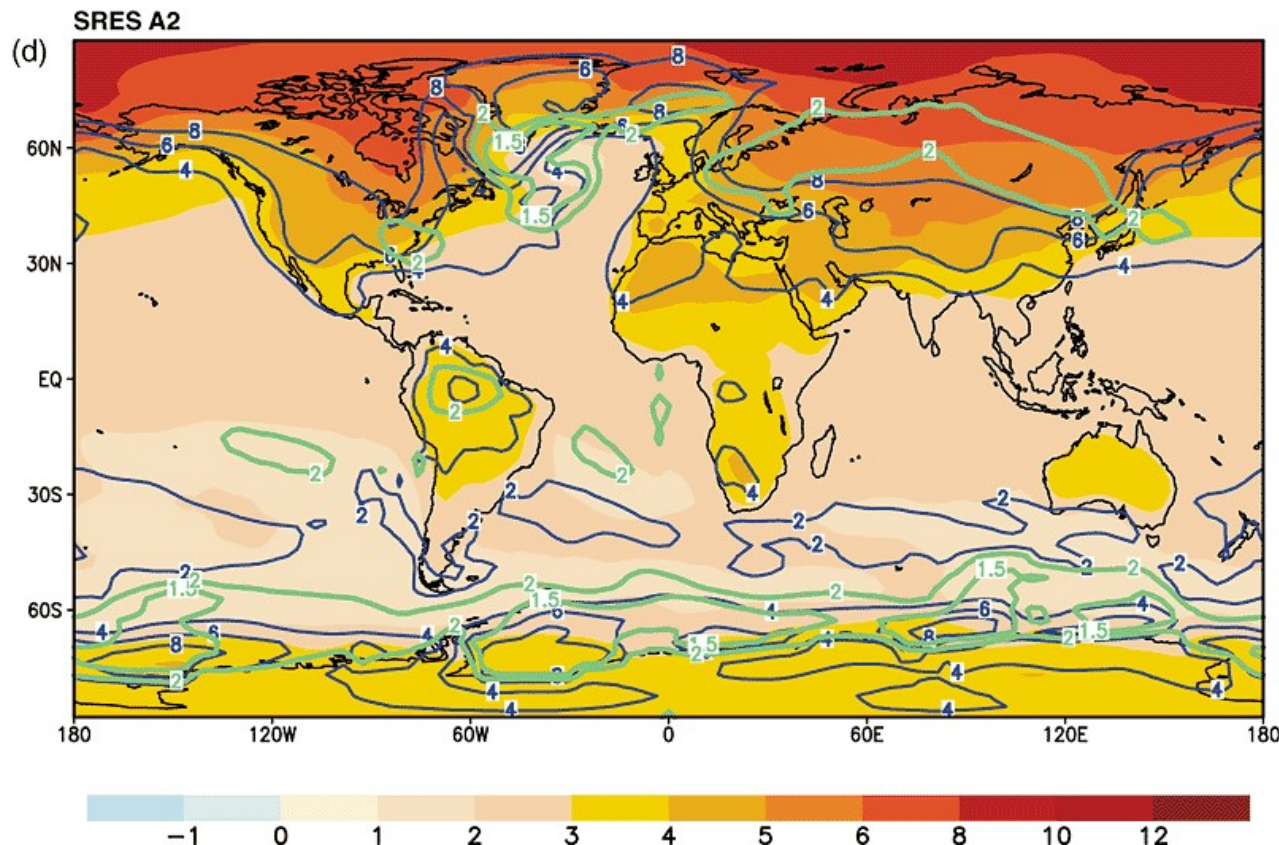
# Why aerosol in the Arctic?

Arctic relatively clean : AOD(550nm): 0.05 – 0.1

But climatological sensitive environment (“polar amplification”)

Many different aerosol processes:

- in atmosphere (scattering, absorption: “dimming”)
- on ground: decrease of albedo “darkening”



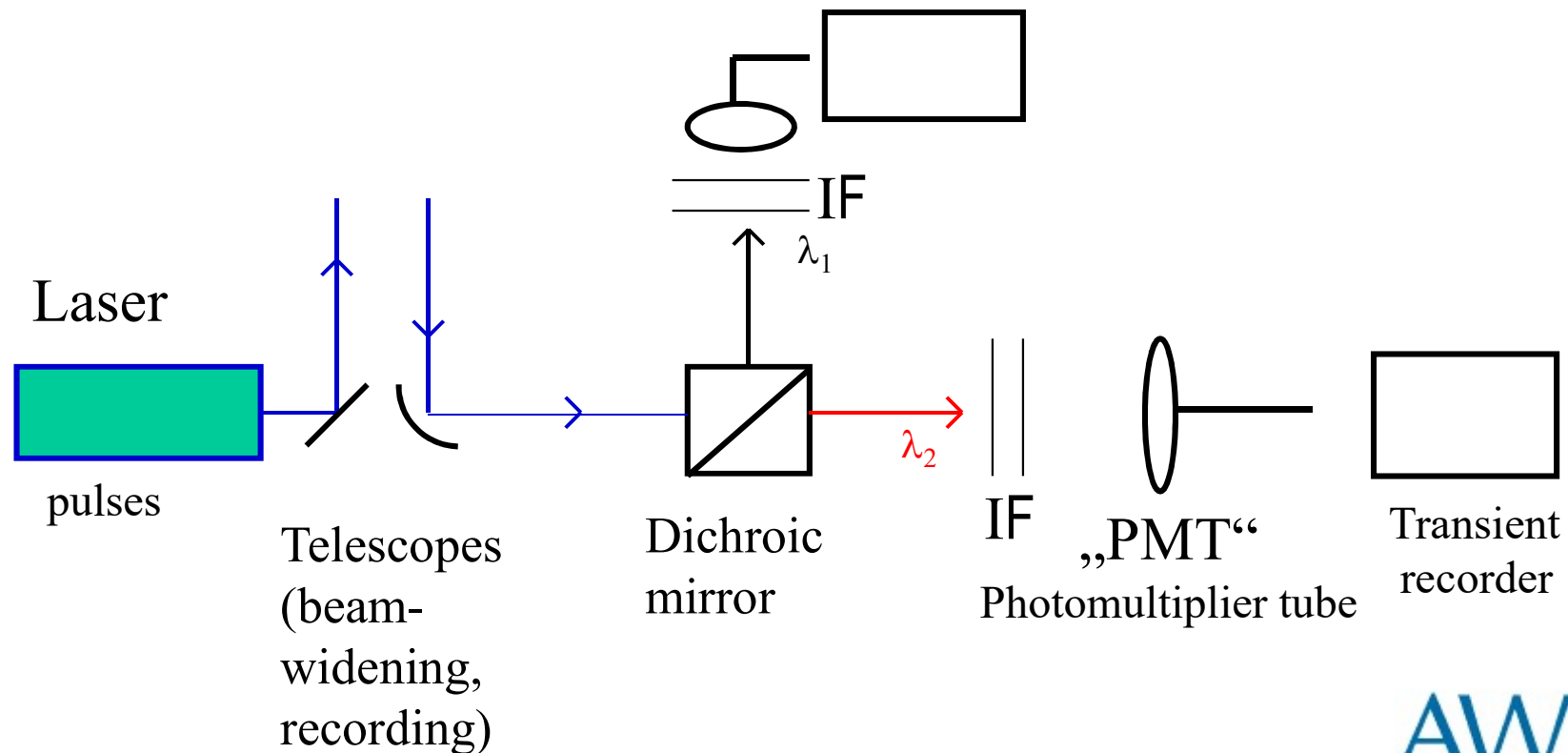
Polar amplification:  
(from IPCC)  
 $\Delta T$  (2071 – 2100) –  
(1961 – 1990)  
Reason: feedbacks  
(e.g. ice – albedo)

Challenges:  
Seasonality: albedo,  
solar incident angle  
Aerosol: (inter-  
annual) variability

# LIDAR

## (Light Detection and Ranging)

Active remote sensing: information on altitude by time delay and  $c$



# Temperature, CO<sub>2</sub>, and Sunspots

