

**Spatial analyses of
thermokarst lakes
and basins in
Yedoma**

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Spatial analyses of thermokarst lakes and basins in Yedoma landscapes of the Lena Delta

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Abstract

Distinctive periglacial landscapes have formed in late-Pleistocene ice-rich permafrost deposits (Ice Complex) of Northern Yakutia, Siberia. Thermokarst lakes and thermokarst basins alternate with ice-rich Yedoma uplands. We investigate different thermokarst stages in Ice Complex deposits of the Lena River Delta using remote sensing and geoinformation techniques. The morphometry and spatial distribution of thermokarst lakes on Yedoma uplands, thermokarst lakes in basins, and thermokarst basins are analyzed, and possible dependence upon relief position and cryolithological context is considered. Of these thermokarst stages, developing thermokarst lakes on Yedoma uplands alter ice-rich permafrost the most, but occupy only 2.2 % of the study area compared to 20.0 % occupied by thermokarst basins. The future potential for developing large areas of thermokarst on Yedoma uplands is limited due to shrinking distances to degradational features and delta channels that foster lake drainage. Further thermokarst development in existing basins is restricted to underlying deposits that have already undergone thaw, compaction, and old carbon mobilization, and to deposits formed after initial lake drainage. Therefore, a distinction between developmental stages of thermokarst and landscape units is necessary to assess the potential for future permafrost degradation and carbon release due to thermokarst in Siberian Yedoma landscapes.

1 Introduction

Climate warming in most northern high-latitude permafrost regions (ACIA, 2004) has resulted in widespread warming of permafrost, and also, in some cases, permafrost degradation during the last few decades (Romanovsky et al., 2010). Thawing of permafrost soils and sediments is accompanied by the release of old organic carbon (Anisimov and Reneva, 2006; Zimov et al., 2006; Schuur et al., 2008) and changes in water and land surface energy balances (Osterkamp et al., 2009), which may influence

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atmospheric processes via a feedback mechanism (Chapin, 2005; Schuur et al., 2008, 2009).

Thermokarst is one of the most obvious forms of permafrost degradation in arctic landscapes. Thermokarst is defined as the process by which characteristic landforms result from the thawing of ice-rich permafrost or the melting of massive ice (van Everdingen, 2005). During a phase of global warming about ten to twelve thousand years ago, thermokarst affected large areas in arctic lowlands with ice-rich permafrost (Romanovskii et al., 2000; Walter et al., 2007). In the late Pleistocene, such ice-rich deposits (Ice Complex) of the Yedoma Suite were deposited in Northern Siberia (Schirrmeister et al., 2011b). Today, thermokarst lakes and basins alternate with ice-rich Yedoma uplands in this region. Thermokarst has important effects on the ecology, geomorphology, hydrology, and local climate of affected landscapes (Osterkamp et al., 2000; Grosse et al., 2011). Various recent studies have investigated thermokarst lakes as sources of carbon release to the atmosphere (Zimov, 1997; Walter et al., 2006, 2007; Zona et al., 2009; Karlsson et al., 2010) or as indicators of a changing water balance in permafrost regions by analyzing changes in lake area using remote-sensing methods (Payette et al., 2004; Smith et al., 2005; Riordan et al., 2006; Kravtsova and Bystrova, 2009). The highest methane emissions from arctic lakes are reported for lakes in Yedoma or Yedoma-like sediments (Walter et al., 2006). Drained thermokarst lake basins have been investigated on a broad scale using satellite remote sensing on the North Slope of Alaska (Frohn et al., 2005).

The classical works of Soloviev (1959, 1962) and Czudek and Demek (1970) describe the development of thermokarst in Ice Complex deposits in Central Yakutia (Siberia). In this region with a continental climate, thermokarst starts to develop under subaerial conditions. Only after initial ground subsidence does water accumulate in the evolving thermokarst basins that are termed “alasses” at a more developed stage. In the wet polygonal tundra of the North Siberian lowlands, evolving thermokarst in ice-rich deposits is represented by ponds and circular lakes that completely fill their basins. As these thermokarst lakes grow, they eventually coalesce with neighboring lakes or

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drain partially or completely. The remaining basins feature steep slopes, flat bottoms, and smaller remnant thermokarst lakes. Repeated cycles of permafrost degradation under thermokarst lakes and subsequent permafrost aggradation after full or partial lake drainage can lead to multiple cycles of secondary thermokarst within basins accompanied by modifications of initial basin-and-lake morphometry and the growth of hydrostatic pingos in the basin (Katasonov, 1960; Romanovskii, 1961; Soloviev, 1962). Recent studies of modern thermokarst activity in Yedoma landscapes have focused on thermokarst lakes by detecting broad-scale changes in thermokarst lake area (e.g., Kravtsova and Bystrova, 2009). However, thus far they have not distinguished between thermokarst lakes on Yedoma uplands and thermokarst lakes in basins of older-generation thermokarst, and have not addressed these complex thermokarst basins.

To estimate future carbon release from Yedoma areas due to thermokarst it is necessary to assess the impact of thermokarst processes on the evolution of permafrost landscapes under climate scenarios that predict significant Arctic warming. In this study we provide a basis for quantifying potential thermokarst evolution in Siberian ice-rich permafrost by answering the question of where and to what extent thermokarst may develop in the study area, an area which comprises the third Lena River Delta terrace with its Ice Complex deposits. The specific objectives are: (1) to assess different stages in lake and basin development based on remote sensing and geoinformation techniques, (2) to analyze the spatial distribution of these lakes and basins, and elucidate any effects of relief position and cryolithological context, and (3) to deduce the potential extent of future thermokarst evolution in the study area.

2 Study area and regional setting

The North Siberian Lena River Delta (73° N; 126° E) is situated in the continuous permafrost and tundra zone. It features Ice Complex deposits on insular remnants of a late-Pleistocene accumulation plain in the foreland of the Chekanovsky and Kharaulakh ridges, which now form the third Lena Delta terrace (Grigoriev, 1993) (Fig. 1).

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The stratigraphical composition of the third terrace can be divided into two late-Pleistocene main units and a Holocene unit (Schwamborn et al., 2002b; Schirrmeister et al., 2003, 2011a; Wetterich et al., 2008) (Fig. 2). The lowest unit consists of fluvial, interbedded medium-to-fine-grained and silty sands deposited by a meandering paleo-Lena River during the early Weichselian period (between 100 and 50 ka). In some lower parts the sands include plant remains and alternate with peaty layers. The cryostructure of the sandy section is mostly massive with some small ice wedges. Gravimetric ice content is between 20 and 40 wt % and total organic carbon (TOC) content is between 1.0 and 5.4 wt %. The upper Pleistocene unit is formed by polygenetic Ice Complex deposits that accumulated during the middle and late Weichselian (between 44.5 and 17 ka). It consists of peat, silty sand, and peaty paleosoil layers with a high gravimetric ice content (38 to 133 wt %). The ground ice occurs as segregated ice in the form of ice bands, veins, and small ice lenses. Very large syngenetic ice wedges can be several meters wide and up to 20 m tall. TOC content exhibits a wide range in Ice Complex deposits (1.1 to 32.5 wt %). The vertical position of the sharp boundary between the lower and upper units varies within the study area by up to several tens of meters, likely due to neotectonic block movements affecting the Lena Delta (Schwamborn et al., 2002b). In the western Lena Delta this boundary is found in the height range of 15–25 m above river level (a.r.l.), whereas in the Eastern Lena Delta the boundary is located below the river level (Grigoriev, 1993). The Holocene unit is represented by deposits covering the Ice Complex and deposits of thermokarst depressions. Deposits of the Holocene cover exposed on top of the Ice Complex unit consist of brownish-black, cryoturbated silty sand with numerous small peat inclusions and are characterized by smaller ice wedges. Deposits of thermokarst depressions are composed of cryoturbated silty sands, numerous plant remains, and peat inclusions, and contain syngenetic ice wedges about 3 to 5 m wide (Schirrmeister et al., 2003).

The modern outlines and surface patterns of the third terrace are the result of ca. 12 000 yr of permafrost degradation and of deltaic processes that have been ongoing since the mid-Holocene (Schwamborn et al., 2002b). Thermokarst processes have

Ice Complex whose original morphology has been directly influenced by fluvial-deltaic action. The manual mapping was done using a desktop Geographical Information System (GIS). The resulting vector layer was then modified using our own field knowledge and expert advice given by M. N. Grigoriev (personal communication, 2009).

5 The Landsat scenes covering the Ice Complex extent show a medium water level situation in summer (26 July 2001 in the western part, 27 July 2000 in the central and eastern part). An Ice Complex area of about 140 km² affected by cloud cover was replaced by a subset of a Landsat scene from 5 August 2000 (path 130, row 9).

To extract all water bodies automatically, we applied a grey-level thresholding on band 5 of the Landsat data using the image processing software ENVITM 4.6. In these mid-infrared wavelengths water bodies are strong absorbers, easily distinguishable from other land cover types (Morgenstern et al., 2008a). All pixels with top-of-atmosphere reflectance values of 0 to 0.1 were defined as water. We manually removed all water pixels related to drainage channels, small streams, and river delta channels. The resulting data set was converted into vector polygons. Subsequent data processing and analyses were performed using the GIS software package ArcGISTM 9.3 and its spatial data analysis toolbox. In the following all extracted water bodies are referred to as lakes for reasons of readability even though smaller water bodies are actually ponds.

20 Basins were manually digitized along their upper margins at the scale of 1:30 000. The transition between Yedoma surface and basin slopes is visually clearly distinguishable in the Landsat data due to better drainage of slopes. Each basin was assigned to one of two categories: single basins are distinct basins formed by local thermokarst activity, whereas coalesced basins consist of at least two basins that have merged due to lateral lake expansion in the past. Basins that are located adjacent to each other and connected via narrow drainage channels but have retained their original morphometry were treated as separate features; each was assigned to the category single. Each lake was assigned a location attribute that had the value on Yedoma uplands or in basin (Fig. 3).

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lower values than digitized basins of the same shape. Thus, comparisons of circularity index between subpopulations of the data set are only legitimate among lakes, but not between lakes and basins, as the basins were manually digitized. The elongation index is therefore used as an additional measure and should give meaningful results because visual estimations of the basins (especially in the category single) reveal that they generally do not have complex outlines.

For each basin we determined the number of lakes per basin, the sum of lake area, and the percentage of lake area. The distance between basin centroid and lake centroid and the angle formed by moving counter-clockwise from the E–W reference axis to the line between the centroids were calculated to assess the position of lakes within single basins (Table 1). Centroid distances were normalized by dividing the distance by the length of the major basin axis to allow comparison between basins of different sizes. In addition, pingos were mapped as point objects on the basis of Landsat and Corona satellite data and topographic maps. Distances and angles between pingos and basin centroids were calculated in the same way as for lakes in basins.

Statistical analyses of the resulting dataset were performed using the SPSS™ 16.0 software. An explorative data analysis (EDA) and the Kolmogorov-Smirnov test revealed non-normal distribution for all variables. Therefore, we used non-parametric tests for subsequent analyses. In order to test for morphometric differences between the subgroups lakes on Yedoma uplands vs. lakes in basins and lakes on Yedoma uplands vs. single basins, we applied the rank-based Mann-Whitney-U test.

3.3 Relief analyses on Kurungnakh Island

For Kurungnakh Island, a high-resolution Digital Elevation Model (DEM) based on an ALOS PRISM satellite image stereo triplet (acquisition date 21 September 2006) was available (Günther, 2009). The DEM has a horizontal resolution of 5 m and a vertical accuracy of 5.8 m. For the rest of the study area, elevation information was derived from digitized 1:200 000 topographic maps. The spatial resolution of these maps is too

coarse to extract terrain information in the detail needed for analyzing the thermokarst relief of the whole Lena Delta Ice Complex.

We used the high-resolution DEM to analyze the relief position of thermokarst features and, in particular, their position in relation to the two sedimentary units. According to Schirrmeister et al. (2003) and Wetterich et al. (2008) we assume that the average boundary between Ice Complex deposits and underlying fluvial sands lies between 15 and 20 m a.r.l. For calculation purposes in the GIS we set the height of the boundary to 17 m a.s.l. All lakes and basins whose floors are partially or completely below the 17 m contour line are considered to have their base in the fluvial sands of the lower stratigraphical unit.

Detailed field observations in combination with DEM analyses in Eastern Kurungnakh Island revealed that in areas with a negligible slope of 0 to 2° ice-wedge polygons occur, whereas in areas with slope $\geq 2^\circ$ usually no polygons exist, but hummocks are prevalent. We also interpret this threshold as the relief condition for thermokarst initiation, i.e. at slopes $\geq 2^\circ$ better drainage would prevent water accumulation and restrict lake formation. To calculate the area prone to potential new thermokarst lake development within the limits of the remaining Ice Complex on Kurungnakh Island, and assuming that new lakes would predominantly form on poorly drained, flat Yedomas upland surfaces, we subtracted all areas with a slope of $\geq 2^\circ$ and existing thermokarst lakes and basins from the area above the 17 m reference plane. The resulting binary raster was target-oriented filtered using a combination of the morphological Erode and Dilate filters of ENVI™ 4.8 with a kernel size of 5 × 5 to correct for the influence of the systematic undulating surfaces of the DEM, which occurred mainly on the flat Yedomas uplands.

During a field campaign in summer 2008, the relief characteristics and lake bathymetries were investigated in detail in one thermokarst basin with three large lakes located in the south of Kurungnakh Island (Morgenstern et al., 2008b; Ulrich et al., 2010).

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4 Results

4.1 Area calculations and morphometric characteristics

The study area, i.e. the mapped Ice Complex, covers an area of 1688.1 km², which is 5.8% of the Lena Delta area (29 000 km²) and 98.6% of the third terrace area (1711.6 km²); the remaining areas consist of exposed bedrock (Morgenstern et al., 2008a). We detected 2327 water bodies (minimum one pixel, 900 m²) with a total area of 88.3 km² within the study area (Table 2). Thus, at a 30 m pixel resolution 5.2% of the Ice Complex extent is covered with open water. Of the total water body population, 1509 water bodies are situated on Yedoma uplands and 818 are in basins. Even though they are much more abundant, lakes on Yedoma uplands cover a smaller total area than lakes in basins (37.4 and 50.9 km², respectively). Figure 4 shows the study area with all thermokarst lakes and basins mapped.

Thermokarst basins cover a total area of 337.7 km² or 20.0% of the study area (Table 3). Of the 169 basins mapped, the majority ($n = 144$) was categorized as single. Single basins cover a much smaller areal extent, but show a higher lake area percentage than do coalesced basins (20.2% and 11.7%, respectively). Finally, 22.2% of the study area is affected by thermokarst. Lakes on Yedoma uplands account for a much lower proportion of total area than do thermokarst basins (2.2% and 20.0%, respectively).

Frequency distributions of area values for all water bodies in the study area show strong skewness towards lower values, because small water bodies are much more abundant than large lakes (Fig. 5). However, lakes $\geq 14\,400$ m² that are considered for morphometric analyses still cover 93.8% of the whole lake area, because even though their number is small (514 vs. 2327 for the whole water body population) they account for most of the lake area. This is consistent with the specific patterns of the relationship between lake surface area and areal frequencies found in various Ice Complex regions (Grosse et al., 2008) or in more general patterns throughout other environments (Downing et al., 2006).

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Lakes on Yedoma uplands ($n = 296$) differ significantly from lakes in basins ($n = 218$) in their morphometric characteristics except for the elongation index (Table 4). Lakes on Yedoma uplands are, on average, smaller than lakes in basins (median = $35\,000\text{ m}^2$ and $67\,900\text{ m}^2$, respectively) and have a smoother shoreline (Table 5). Frequency distributions of lake orientation on Yedoma uplands show a major peak in the WNW direction and a minor peak in the NNE direction (Fig. 6). Lakes in basins show a slightly different picture with a more pronounced NNE direction, but also two peaks directed to the WNW and NW.

Tests between lakes on Yedoma uplands ($n = 296$) and single basins ($n = 144$) reveal significant differences for all morphometric variables (Table 6). Lakes on Yedoma uplands are, on average, much smaller than single basins (by about one order of magnitude; the area median equals $35\,000\text{ m}^2$ and $362\,300\text{ m}^2$, respectively), and more elongated. Orientation shows the same major peaks for both groups, one in the NNE and one in the WNW direction, but with differing frequencies (Fig. 6). Lakes on Yedoma uplands have a much stronger prevailing orientation in the WNW direction; in contrast, single basins are more frequently oriented in the NNE direction. Table 5 shows a comparison of the morphometric characteristics between lakes on Yedoma uplands, lakes in basins, and single basins.

Frequency distributions of the major axis orientations for all lakes $\geq 14\,400\text{ m}^2$ show a major orientation peak in the WNW direction and a minor peak in the NNE direction (Fig. 6). In a previous study, all lakes $\geq 200\,000\text{ m}^2$ on the third Lena Delta terrace were found to exhibit a major NNE orientation (Morgenstern et al., 2008a). A selected distribution of major axis orientations for lakes with areas between $14\,400\text{ m}^2$ and $200\,000\text{ m}^2$ ($n = 425$) shows a peak in the WNW direction. This indicates an approximately 90° difference between the major orientation of smaller ($14\,400\text{ m}^2$ to $200\,000\text{ m}^2$) and of larger ($\geq 200\,000\text{ m}^2$) lakes. The frequency distributions of the major axis orientation of single basins show a major peak in the NNE and a minor peak in the WNW direction, opposite the orientation of all lakes $\geq 14\,400\text{ m}^2$.

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Basins have a low lake area percentage (median = 3.9 and 2.3, interquartile range = 19.0 and 22.1 for all basins and for single basins, respectively). Correlation between basin area and lake area percentage was found to be slightly positive ($r = 0.453$, $p \leq 0.01$ for all basins and $r = 0.212$, $p \leq 0.01$ for single basins). Lakes in basins are not regularly situated in basin centers, but are shifted towards basin margins, mostly in northern and southern directions (Fig. 7).

Bathymetric data from six lakes in the study area suggest that lakes on Yedoma uplands are deeper than lakes in basins (Fig. 8). Lakes 1, 2, and 3 are situated in a 30 m deep thermokarst basin. Maximum recorded depth is 3.6 m for lake 1, 4.2 m for lake 2, and 4.0 m for lake 3. Lake 4 is also located in a thermokarst basin, but it covers a large part of the basin floor. Its maximum recorded depth is 8.1 m. Lakes 5 and 6 are situated on Yedoma uplands and reach depths of 12.5 and 9.0 m, respectively (Pavlova and Dorozhkina, 2000).

Table 7 compares the characteristics of permafrost relief, thermokarst lakes, and basins between major islands of the study area. Islands of the tectonically-uplifted western part of the study area show higher maximum relief heights, especially Khardang Island, which experienced separate block uplift (Grigoriev, 1993). Maximum Ice Complex thickness varies greatly between the islands, but shows similar ranges in the western and eastern parts of the study area. Maximum basin depths as inferred from topographic maps are lower in the eastern part, but do not seem proportional to maximum Ice Complex thickness. Khardang Island has a very low lake area percentage while featuring the largest basin sizes by far.

In the study area 34 pingos were mapped, the majority situated in coalesced thermokarst basins (24 vs. ten in single basins). In single basins, pingos are situated at distances of several hundreds of meters from the basin centers (min = 224 m, max = 598 m; normalized distances: min = 0.24, max = 0.57), mostly in the NNE and SSW directions (Fig. 9).

4.2 Relief analyses of Kurungnakh Island

The mapped extent of the key study area on Kurungnakh Island is 259.5 km². The total area of thermokarst (i.e., all thermokarst basins and lakes on Yedoma uplands) on Kurungnakh Island is 102.8 km², or 39.6 % of the key study area. Thermokarst lakes and basins that intersect or are situated below the 17 m isoline cover 71 % of the total thermokarst area. This amounts to 73 km², or 28.1 % of the Kurungnakh Island area (Fig. 10). The surfaces of these thermokarst features (lake water level and basin bottoms) have subsided to the base of the Ice Complex deposits or lower. This areal calculation is very conservative because it does not take into account lakes and basins with surfaces above the 17 m contour line that should have formed taliks (bodies of unfrozen ground) that also reached the boundary between Ice Complex deposits and fluvial sands. This is illustrated in Fig. 11, which shows a profile line derived from the ALOS PRISM DEM that is situated above 17 m a.s.l. while the lake floors reach the 17 m level.

Based on the ALOS PRISM DEM, the deposits above the 17 m reference plane approximately represent the remaining Ice Complex deposits; the volume of these deposits was calculated to be 2.9 km³. The TOC reservoir of this volume amounts to 70 Mt (= 0.07 Pg) as calculated following the method described in Strauss et al. (2011), and corresponds to an average organic carbon inventory of 24 kg C m⁻³.

The area of Kurungnakh Island above 17 m a.s.l. with slopes of 0 to 2° that is not included in the thermokarst features amounts to 87.4 km² (Fig. 12). This means that only 33.7 % of the area within the limits of Ice Complex deposits represents flat Yedoma uplands unaffected by thermokarst or thermal erosion where new thermokarst could potentially start to develop. However, we are aware that lateral thermokarst expansion of existing lakes close to slopes may result in reworking of Ice Complex deposits along these slopes as well.

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5 Discussion

5.1 Thermokarst extent in the study area

Lakes cover 5.2% of the study area; this coverage is low compared to other arctic tundra regions like the western arctic coastal plain of Alaska with about 20% lake coverage (Hinkel et al., 2005) or the Tuktoyaktuk Peninsula in arctic Canada with 30% lake coverage (Côté and Burn, 2002). Within the Lena River Delta, the third terrace features the lowest lake area percentage (Morgenstern et al., 2008a). The Landsat resolution of 30 m per pixel did not allow small ponds to be detected. Grosse et al. (2008) showed that small ponds significantly contribute to the lake coverage of Ice Complex areas. For their OLE study site, which is part of the westernmost portion of our study area, they calculated 13.3% lake coverage including all standing water bodies of $\geq 30 \text{ m}^2$. This is still a small percentage of the whole study area. An assessment of the area available for potential thermokarst evolution in Ice Complex deposits that is solely based on detecting thermokarst lakes by remote-sensing methods would therefore deduce a high thermokarst potential for this study area. However, the total basin area mapped in our study area exceeds the total current lake area by a substantial factor of four, according to our calculations. This adds a high percentage to the area of Ice Complex degradation due to thermokarst, which is 22.2% of the study area. In Alaska, on the North Slope thermokarst lakes and drained basins cover a combined area of 46.1% (Frohn et al., 2005), and on the Barrow Peninsula 72% (Hinkel et al., 2003). The remaining 77.8% of our study area cannot be considered undisturbed Yedoma surfaces as thermal erosion also plays an important role in Ice Complex degradation. The results from Kurungnakh Island show that only 33.7% of the island area is undisturbed flat Yedoma surface. This is in the same range as results of previous remote-sensing-based studies that cover other portions of the Ice Complex accumulation plain in the Laptev Sea region. For the Bykovsky Peninsula east of the Lena Delta, Grosse et al. (2005) calculated the area affected by thermokarst and thermal erosion to be more than 50%; for the area

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of Cape Mamontov Klyk west of the Lena Delta, Grosse et al. (2006) calculated the affected area to be 78 %.

5.2 Areal constraints on thermokarst development

Modern lakes on Yedoma uplands are, on average, much smaller than single basins (by about one order of magnitude). Taken together with the fact that total basin area exceeds total lake area, this suggests that thermokarst lakes have reached much greater sizes in the past. After drainage, basins can undergo expansion through lateral erosion mainly by secondary thermokarst lakes in the basins. The smoothness of single basin boundaries indicates that this process cannot account for substantial area increase after drainage of the initial lake, which suggests that the size of these basins is indeed largely a result of the first lake that formed. We therefore conclude that conditions for large-area thermokarst lake development were more suitable in the past. During the massive thermokarst development in this region about 12 ka ago the coastline was situated hundreds of kilometers to the north of its present location (Bauch et al., 2001; Kaplina, 2009). The study area was not part of a river delta, but of a broad accumulation plain where Ice Complex deposits were distributed widely (Schirrmeister et al., 2011a). The terrain presumably was not as affected by fluvial erosion as it is nowadays in the delta context (Schwamborn et al., 2002b), and thermo-erosional gullies probably started to form simultaneously with the development of an increasing relief gradient between Yedoma uplands, thermokarst basins, and delta channels. Consequently, the area of thermokarst lake formation was little limited by the hydrological networks that forced growing lakes to drain; hence, large thermokarst lakes with diameters of several kilometers each were able to form.

In contrast, in the present situation small remnants of the former coherent Ice Complex plain have been elevated above a dynamic river delta environment, fostering the development of thermal erosion and the connection of Yedoma uplands to the hydrological network. The limiting effect of thermal erosion on the areal extent of thermokarst is also reflected in a lower lake area percentage in regions of higher relief energy,

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especially in the uplifted western part of the study area (Table 7). On Khardang Island, which has experienced an additional block uplift (Grigoriev, 1993), the discrepancy between the largest basins and the smallest lake area percentage suggests that there has been an abrupt change in thermokarst conditions, from large-scale to very limited, a change resulting from better drainage and thermal erosion.

Bosikov (1991) suggested that the lake area percentage of basins is an indicator of the evolutionary stage of thermokarst basins in Central Yakutia. Younger thermokarst basins would have a higher lake area percentage than old basins. Assuming that smaller basins are younger, they should tend to have a higher lake area percentage than larger basins. In our study area we found an opposite correlation. However, single basins exhibit a higher lake area percentage compared to coalesced basins. This fact results from a better connection of coalesced basins to the hydrological network; these basins have often coalesced into broad valleys, which drained through thermo-erosional channels. The water accumulation that is required for renewed lake growth is, therefore, less probable in coalesced than in single basins. The total number of pingos in coalesced basins (24) is much higher than in single basins (10). The occurrence of pingos, therefore, might also indicate the evolutionary stage of thermokarst basins. However, it is beyond the scope of this study to further investigate this hypothesis. The more irregular shapes of lakes in basins compared to lakes on Yedoma uplands reflect the complex basin floor morphology with drainage channels, pingos, lake terraces, different areas of permafrost aggradation and subsidence, etc.

5.3 Stratigraphical constraints on thermokarst development

The low lake area percentage in basins indicates that if drainage of lakes on Yedoma uplands occurs, lake level falls to the elevation of the drainage sill. Further water supply to the basin cannot lead to further water level rise or to the infilling of the basin to its margins. Subsequent thermokarst evolution in the basins does not seem likely to result in the substantial further subsidence of the lake or basin floor that would be required for large secondary thermokarst lakes to develop in existing basins. This

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can be explained by the stratigraphy of the study area, in particular the relatively ice-poor and thus thermokarst-resistant sand unit below the Ice Complex. Figure 13 illustrates thermokarst evolution in the specific stratigraphical context of the study area. The maximum Ice Complex thickness observed at coastal bluffs is about 30 m (Grigoriev, 1993) and possibly reaches about 48 m on Khardang Island (Table 7). Basin depths of up to 30 m are common, so the majority of first-generation thermokarst lakes have already completely thawed the Ice Complex deposits within their basin footprint (stage 3 in Fig. 13). Soloviev (1962) also describes Central Yakutian basin floors situated just above the Ice Complex base. Therefore, we assume that basin depth in our study area approximately corresponds to Ice Complex thickness, and the position of the basin floors marks the position of the Ice Complex base. This is confirmed by our analysis of the relief on Kurungnakh Island, where lake bottoms are situated at the Ice Complex base and lake surfaces and basin floors are located a few meters higher (Fig. 11). In our study area, the sediments underlying the Ice Complex are fluvial sands with a gravimetric ice content of 20 to 40 %, too low to allow for continuing significant thermokarst subsidence below the Ice Complex base. Even if the floor of a first-generation thermokarst lake has not yet reached the Ice Complex base, its talik naturally will expand below it. A thermokarst lake a few meters deep will develop a talik several tens of meters deep in cold, ice-rich permafrost over several hundred to a few thousand years (Anisimova, 1962; Schwamborn et al., 2002a; West and Plug, 2008). Consequently, Ice Complex deposits underneath first-generation thermokarst lakes have undergone tabular development, including ice loss, organic carbon depletion, and compaction, resulting in a diagenetically altered, thawed sediment which may refreeze again after lake drainage. Portions of Ice Complex deposits, including ground ice, are possibly conserved underneath smaller lake basins that drained at a stage corresponding to stage 2 in Fig. 13, before they reached full thermokarst maturity and developed a deep talik (Kaplina, 2009). However, only a small number of such basins exist in the study area as inferred from basin sizes. After first-generation thermokarst lakes drained the taliks and basin deposits gradually refroze, permafrost

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formed accompanied by ground ice aggradation. The renewed ground ice content, however, does not reach the amount included in initial Ice Complex deposits which accumulated over several tens of thousands of years. Permafrost sediments that have developed in thermokarst basins can be divided into three main horizons with varying ground ice content (Kaplina, 2009; Wetterich et al., 2009) (stages 4 and 5 in Fig. 13). The lowest horizon represents the former Ice Complex sediments, which were thawed, compacted, partly deformed, and refrozen. These so-called taberites have a much lower ice content than did the original Ice Complex (between 20 to 40 wt%), and can be several meters thick. Taberites in a thermokarst basin on Kurungnakh Island have been calculated to be 2.3 m thick, assuming a total ice content of 90 vol % in the original Ice Complex (Ulrich et al., 2010). Refrozen lake sediments overlaying the taberites have similar ground ice contents (20 to 40 wt%). The top horizon (i.e., alas deposits) is formed by silt and peat layers with very high ground ice content similar to that of the Ice Complex (up to 200 wt%), and can reach thicknesses of 5 to 7 m. A system of ice veins and wedges penetrates these horizons of basin sediments, which are epigenetic in the taberal and lake sediments and syngenetic, due to peat accumulation, in the alas deposits (Kaplina, 2009; Wetterich et al., 2009). Favourable conditions for considerable second-generation thermokarst, therefore, are provided only in the top horizon; the lower two resemble the underlying fluvial sands in terms of low ground ice content.

The different potential for ground subsidence due to thermokarst is also supported by the different depths of lakes on Yedoma uplands vs. lakes in basins (Fig. 8). While the former (lakes 5 and 6) reach depths of 12 m, the latter (lakes 1 to 3) are no more than 4 m deep. Lake 4 illustrates an intermediate stage. It partly drained before thermokarst was fully developed. The exposed basin floor is situated at 30 m a.s.l., which is well above the Ice Complex base (Fig. 11). The remaining lake continued the thermokarst process and reached its present depth of 8 m. The present lake floor is situated directly at the Ice Complex base.

5.4 Impact of future thermokarst development

These findings emphasize that the effect of thermokarst development varies depending on whether it takes place on undisturbed plain surfaces or in existing basins of older-generation thermokarst. Newly developing thermokarst lakes on Yedoma uplands have a stronger transformative impact on permafrost sediments, landscape character, and environmental processes than thermokarst lakes in existing basins. Taliks forming underneath thermokarst lakes on Yedoma uplands enable the activation of physical and biochemical processes in the Ice Complex deposits, altering their structure and the composition of organic matter that had been conserved for thousands of years. The sediments in basins, however, have already been reworked and do not possess the characteristics of the very ice-rich permafrost of the surrounding Yedoma uplands. These differences between thermokarst on Yedoma uplands and thermokarst in basins also have implications for the carbon cycle. Walter et al. (2007) report that refrozen taberal Ice Complex deposits beneath drained Holocene thermokarst lakes contain ~ 33 % less carbon than those Ice Complex deposits that never thawed. Ice Complex on Yedoma uplands has a high ground ice content; therefore, it is very sensitive to potential thermokarst development in a warming climate. Thermokarst lakes developing on Yedoma uplands thus have a higher potential to mobilize older, and more labile, carbon than do second-generation thermokarst lakes in existing basins. At the same time the potential for the development of new thermokarst lakes, especially large lakes that are able to form extensive taliks before they drain, is very limited. A well-established connection of the basins to the drainage system also allows for the erosion of basin sediments. Taberites, lake sediments, and Holocene peat horizons together with ground ice that aggraded during refreezing can be removed from the basin floor, thus eliminating the basis for future thermokarst development. In this case, the organic matter of the basin sediments is transported to the fluvial system.

On Kurungnakh Island, at least 71 % of all thermokarst lakes and basins have subsided to the Ice Complex base. Here, the Ice Complex deposits have thawed

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completely and have been exposed to biogeochemical processes such as the decomposition of old organic matter. If we assume that fully-developed taliks have existed underneath most of the remaining 29 % of thermokarst lakes and basins, thermokarst has completely degraded Ice Complex deposits in up to 39.6 % of the area of Kurungnakh Island. Areas outside existing thermokarst lakes and basins above the Ice Complex base with slopes of up to 2° are available for the initiation of new thermokarst lakes because these areas allow ponding of water and ground subsidence. The areas with slopes of more than 2° can also be affected by thermokarst, mainly by lateral growth of existing thermokarst lakes. In some cases ponding of water can also occur on upper slopes of Yedoma uplands. However, extensive thermokarst activity is not possible here, because lateral growth will lead to drainage when the lake reaches the lower parts of the slope. Many of the steeper slopes (5 to 20°) surround large thermokarst lakes or belong to thermo-erosional valleys that cut across the surface of Kurungnakh Island, indicating that lateral sediment transport and mass wasting processes are also important contributors to the degradation of Ice Complex deposits. Key processes are thermal abrasion of lake shores and thermal erosion in retrogressive valleys or gullies. Mobilization, transport, and transformation of organic matter differ between in situ thawing and ground subsidence in local thermokarst lakes and the lateral dynamics involving slope processes and flowing water. It will thus be of interest in future work to investigate the extent to which the remaining Ice Complex is degraded by newly developing thermokarst, or by thermal abrasion due to the expansion of thermokarst lakes in existing basins, or by thermal erosion.

5.5 Oriented thermokarst development

The lateral growth of thermokarst lakes and the spatial development of second-generation thermokarst in existing basins did not proceed uniformly, as can be seen from morphometric and orientation analyses. Even though lakes and basins in the study area are not as elongated as the oriented lakes of the second Lena Delta terrace (Morgenstern et al., 2008a), the descriptive statistics of circularity and elongation

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indicate a general deviation from round and regular forms. Smaller lakes (14 400 to 200 000 m²) are oriented mainly in the WNW direction whereas larger lakes (≥ 200 000 m²) and single basins have major orientation peaks to the NNE. Several hypotheses can be proposed to explain this 90° shift in orientation from smaller to larger forms. First, if it is true that smaller thermokarst lakes have not existed as long as larger lakes and single basins, the external orienting forces may have changed their direction by 90° at some time during the Holocene. Second, the strength of the orienting forces may have changed with growing lake size. Third, smaller lakes may be dominated by different orientation-driving forces than are larger lakes. Despite several decades of research, there is still a debate about which factors control thermokarst lake orientation in areas where lake orientation does not follow underlying relief structures. On the North American Arctic Coastal Plain, preferential erosion of the lake shores at right angles to prevailing summer wind directions due to wind-driven currents and wave activity has been proposed and agrees well with current main wind directions (e.g., Carson and Hussey, 1962; Côté and Burn, 2002; Hinkel et al., 2005); however, authors investigating orientation and directed evolution of thermokarst lakes and basins in Siberian Ice Complex deposits discuss solar insolation (e.g., Soloviev, 1962; Boytsov, 1965; Ulrich et al., 2010) and erosion due to wave activity in the direction of prevailing summer winds (e.g., Kuznetsova, 1961). The first of our three hypotheses would rule out solar insolation as the main factor for lake orientation. A possible explanation could be a change in major summer wind direction during the Holocene. Under our second hypothesis an important effect of solar insolation is also implausible. However, if main summer wind direction remained stable, a change in its effect from wave-induced erosion (abrasion) in the wind direction to the establishment of wind-driven currents at right angles to the main wind direction or vice versa might be possible. Our third hypothesis implies that in smaller lakes wind has a stronger effect on orientation than does solar insolation, while in larger lakes and in basins the effect of solar insolation dominates that of wind effects. This is physically not plausible, as wind effects should intensify with growing lake area.

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single basins in the study area does not permit using pingo position to derive robust conclusions about oriented thermokarst development.

The inconsistencies of lake and basin orientation patterns in the study area over space and time, as described above, do not allow the cause of oriented thermokarst development in the Yedoma landscapes of the Lena River Delta to be clearly elucidated at present.

6 Conclusions

Large parts of the study area are affected by thermokarst, and total thermokarst basin area exceeds total thermokarst lake area by a factor of four. Three developmental stages of thermokarst complexity have been distinguished in this study: (1) lakes on Yedoma uplands, (2) single basins with residual and second-generation lakes, and (3) coalesced basins with residual and second-generation lakes. The morphometric characteristics of lakes on Yedoma uplands differ significantly from those of lakes in basins or single basins, reflecting different evolutionary conditions. However, the differences between the two lake types are not clear-cut and do not allow for automatically classifying lakes on Yedoma uplands and lakes in basins based on morphometric indicators in a GIS. Thermokarst lakes and single basins show oriented morphometries, but the factors and processes responsible for oriented thermokarst development in the study area remain unclear. Conditions more suitable to the development of large-area thermokarst in the Ice Complex deposits of our study area have existed in the past; such development will be further limited in area and depth in the future. The proximity of newly-developing thermokarst to existing degradational features like thermokarst basins and thermo-erosional valleys as well as to delta channels reduces the potential for considerable thermokarst activity on Yedoma uplands before drainage occurs. On Kurungnakh Island, 33.7% of the total area is vulnerable to future thermokarst on Yedoma uplands. Further thermokarst processes in existing basins are limited due to the underlying ice-poor fluvial sands and, in the case of basins where permafrost

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has aggraded during the Holocene, due to the thin layers of ice-rich alas sediments and peat horizons. No old organic carbon will be directly mobilized from these areas. Developing thermokarst lakes on Yedoma uplands have the highest impact on the alteration of Ice Complex deposits and Yedoma landscapes. Therefore, it is necessary to differentiate between the different developmental stages of thermokarst and landscape units in order to assess the degradation of very ice-rich permafrost due to thermokarst, for example to quantify organic carbon inventories and the potential for future carbon fluxes.

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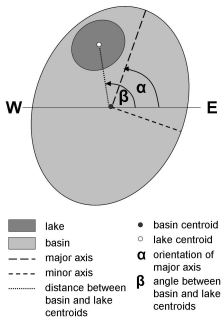


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Table 1. Overview of morphometric variables calculated for lakes and basins. Major and minor axes lengths and orientation of major axis for lakes are not shown here, but were calculated the same way as for basins.

Illustration	Variable	Calculation	Possible value range
	Area	GIS output	$[0; \infty] \text{ m}^2$
	Perimeter	GIS output	$[0; \infty] \text{ m}$
	Circularity index	$= 4 \times \pi \times \text{area} / \text{perimeter}^2$	$[0; 1]$, 1 = perfect circle
	Elongation index	$= \text{Major axis length} / \text{minor axis length}$	$[1; \infty]$, 1 = equal axes
	Orientation of major axis (α)	Angle between E–W reference axis and major axis (counter-clockwise)	$[0; 179.9]^\circ$
	Normalized centroid distance	$= \text{Distance between basin and lake centroids} / \text{major basin axis length}$	$[0; 1]$
	Angle between basin and lake centroids (β)	Angle between E–W reference axis and the distance between basin and lake centroids (counter-clockwise)	$[0; 359.9]^\circ$

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Table 2. Area calculations for water bodies on the third Lena Delta terrace (except bedrock islands).

	All lakes minimum one pixel (900 m ²)	Lakes on Yedoma uplands	Lakes in basins	All lakes ≥ 14 400 m ²
<i>N</i>	2327	1509	818	514
Area (km ²)	88.3	37.4	50.9	82.8
Percentage of study area	5.2	2.2	3.0	4.9

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Table 3. Area calculations for thermokarst basins on the third Lena Delta terrace (except bedrock islands).

	All basins	Single basins	Coalesced basins
<i>N</i>	169	144	25
Area (km ²)	337.7	133.0	204.7
Percentage of study area	20.0	7.9	12.1
Total lake number	818	263	555
Sum of lake area (km ²)	50.9	26.9	24.0
Total lake area as a percentage of total basin area	15.1	20.2	11.7

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Table 4. Results of the rank-based Mann-Whitney-U test for morphometric differences between lakes on Yedoma uplands and lakes in basins. Significant differences between the two lake subgroups were found for area, circularity index, and orientation of major axis.

	Area	Circularity index	Elongation index	Orientation of major axis
Mann-Whitney-U	23 916	23 654	31 059	26 657
Z	−5.017	−5.176	−0.724	−3.369
Asymptotic significance (two-sided)	0.000	0.000	0.469	0.001

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Table 5. Comparison of morphometric characteristics of thermokarst lakes $\geq 14\,400\text{ m}^2$ and single basins. Area values for all lakes including lakes $< 14\,400\text{ m}^2$ are shown in brackets.

	Lakes on Yedoma uplands		Lakes in basins		Single basins
<i>N</i>	296	(1509)	218	(818)	144
Area					
Total (km^2)	33.7	(37.4)	49.1	(50.9)	133.0
Median (m^2)	35 000	(2700)	67 900	(2700)	362 300
Interquartile range (m^2)	65 800	(8100)	210 300	(16 200)	1 096 200
Minimum (m^2)	14 400	(900)	14 600	(900)	20 400
Maximum (m^2)	2 482 200	(2 482 200)	2 112 300	(2 112 300)	7 706 600
Circularity index					
Median	0.48		0.41		0.93
Interquartile range	0.17		0.21		0.05
Minimum	0.12		0.07		0.74
Maximum	0.70		0.70		0.98
Elongation index					
Median	1.55		1.58		1.29
Interquartile range	0.60		0.82		0.24
Minimum	1.03		1.04		1.02
Maximum	6.19		8.07		2.02
Outlines	Smooth shorelines		More complicated shorelines		Smooth, circular outlines
Main orientation	WNW		NNE		NNE
Depth	10 m and more		up to 4 m		up to 35 m

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Table 6. Results of the rank-based Mann-Whitney-U test for morphometric differences between lakes on Yedoma uplands and single basins. Significant differences between the two subgroups were found for all three morphometric variables.

	Area	Elongation index	Orientation of major axis
Mann-Whitney-U	4724	9823	17 742
Z	-13.254	-9.180	-2.852
Asymptotic significance (two-sided)	0.000	0.000	0.004

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Table 7. Comparison of thermokarst features and permafrost characteristics between major islands of the study area.

		Ebe-Basyn	Khاردang	Dzhangylakh	Kurungnakh	Botulu	Sobo	Buor-Ylar	Bel'kej-Dzhangy-Aryta
Island	Area (km ²)	160.7	826.7	93.9	259.5	14.5	262.1	32.2	5.6
	Max. relief height (m a.s.l.) ^a	51	66	43	55	36	42	21	30
	Max. ice complex thickness (m) ^b	33	48	16	38	36 ^c	42 ^c	21 ^c	30 ^c
Lakes	<i>N</i>	205	375	227	549	14	841	92	11
	(<i>N</i> ≥ 14 400 m ²)	(57)	(93)	(23)	(116)	(5)	(185)	(27)	(4)
	Area								
	Total (m ²)	13 003 200	22 835 900	3 566 900	19 425 600	674 900	20 781 600	6 111 900	126 000
	Median (m ²)	4500	2700	1800	2700	8600	2400	4500	8100
Percentage of island area	8.1	2.8	3.8	7.5	4.7	7.9	19.0	2.3	
Basins	<i>N</i>	25	46	5	44	0	42	7	0
	Area								
	Total (m ²)	28 098 200	128 906 600	1 811 200	99 235 100	–	71 386 400	8 425 000	–
	Median (m ²)	553 100	1 451 100	351 600	329 900	–	323 300	278 900	–
	Median of single basins (m ²)	380 000	1 211 300	224 700	228 700	–	248 300	247 000	–
	Percentage of island area	17.5	15.6	1.9	38.2	–	27.2	26.2	–
	Total lake area as a percentage of total basin area	26.3	13.7	7.3	16.0	–	10.7	27.0	–
	Median lake area percentage	13.4	1.7	3.7	2.3	–	4.2	3.8	–
	Max. depth (m) ^a	15	30	15	35	–	10	10	–
Lakes on Yedoma uplands and basins	Total area (m ²)	33 725 400	134 137 200	5 246 700	102 766 700	674 900	84 509 600	12 266 200	126 000
	Percentage of island area	21.0	16.2	5.6	39.6	4.7	32.2	38.1	2.3

^a Inferred from 1:200 000 topographical maps.

^b Estimated from outcrop studies (Grigoriev, 1993) and maximum relief height.

^c Values indicate the “visible” thickness above the river water level because the Ice Complex base is situated below the sea level here.

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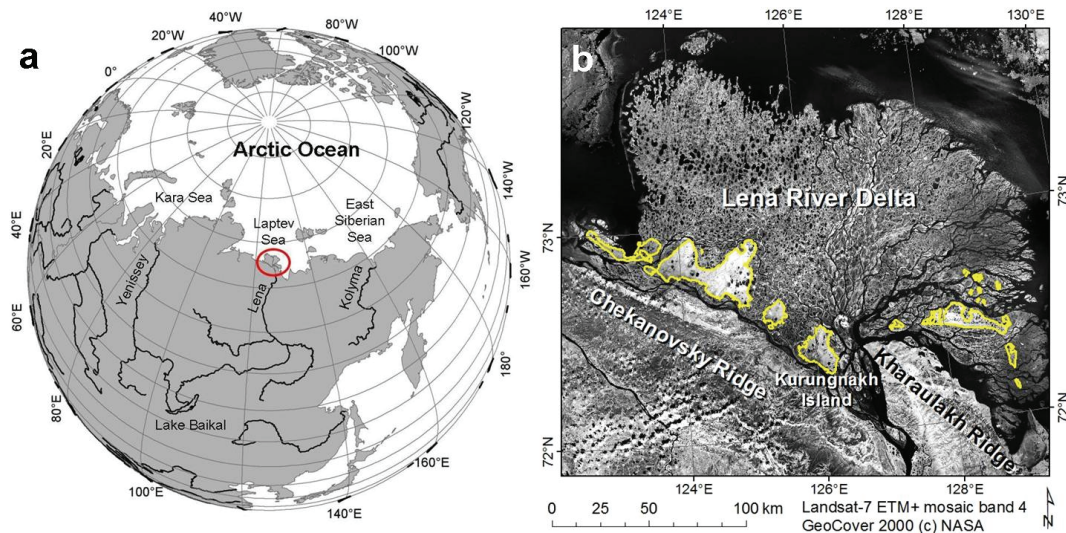


Fig. 1. Location of the study area in the Lena River Delta, North Siberia.

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Fig. 2. Typical stratigraphical composition of the study area with lower fluvial sand unit, upper Ice Complex unit, and Holocene cover. Ice wedges in the Ice Complex appear in light grey colors. Person for scale (photo by M. Ulrich, 26 August 2008).

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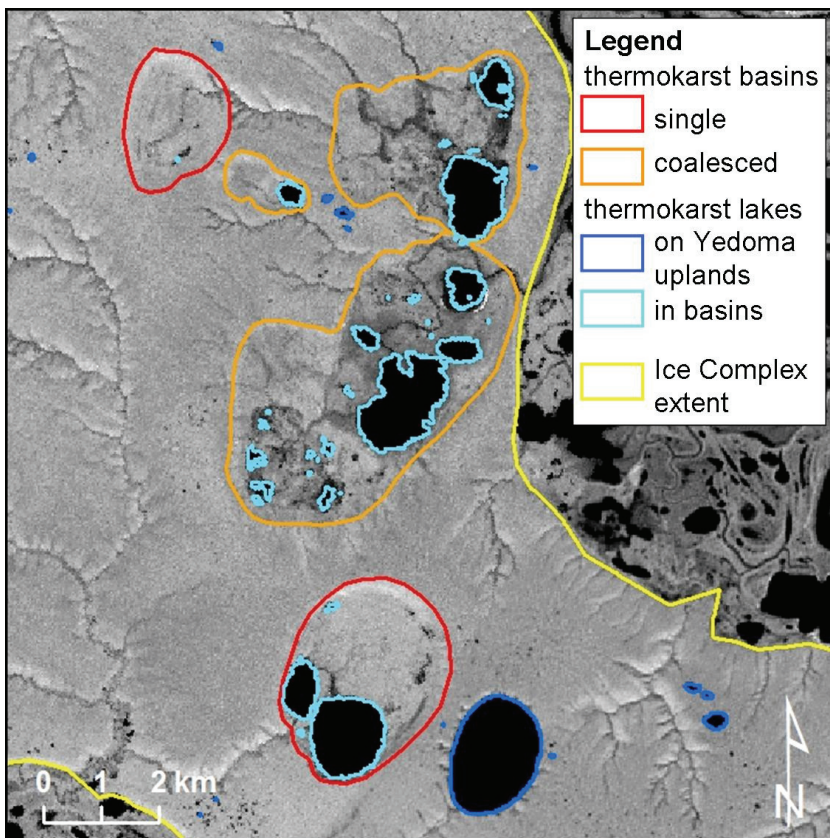


Fig. 3. Types of thermokarst features distinguished in this study.

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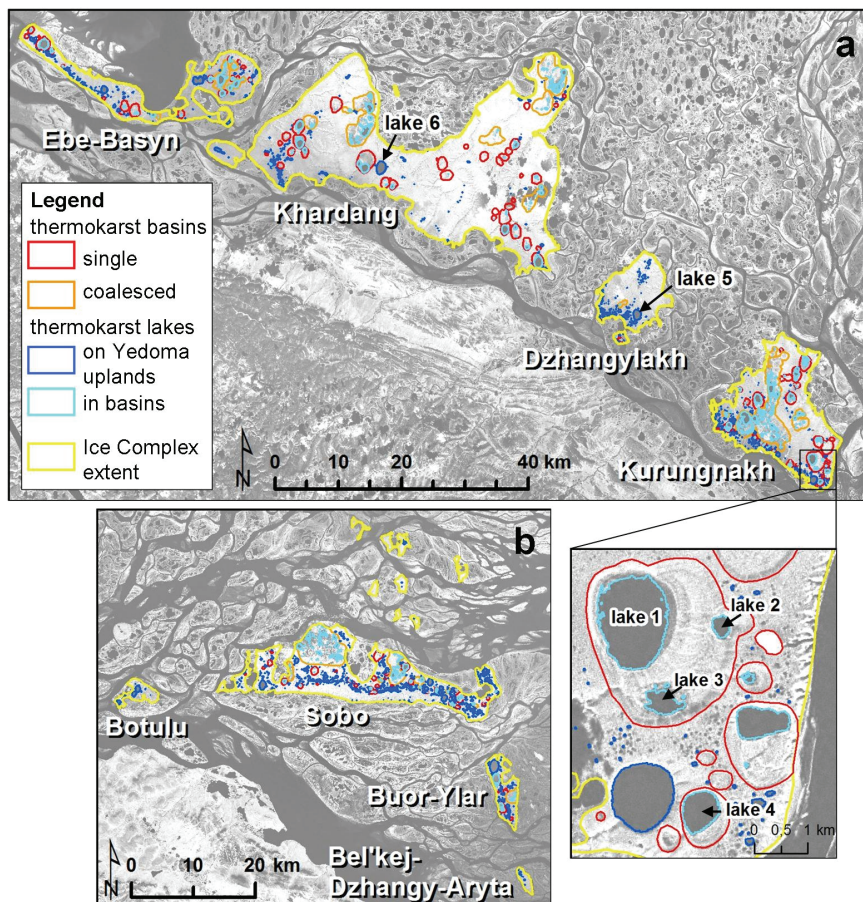


Fig. 4. Overview of study area with all thermokarst features investigated: **(a)** western part, **(b)** eastern part. Landsat-7 ETM+ mosaic, band 4, GeoCover 2000[®] NASA.

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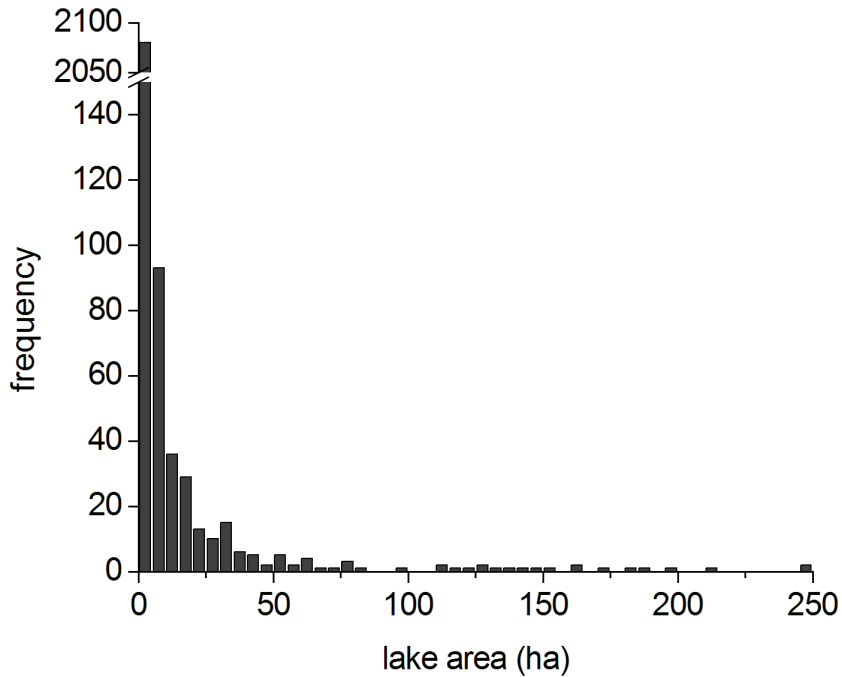


Fig. 5. Histogram of *area* of all water bodies in the study area (1 ha = 10 000 m²).

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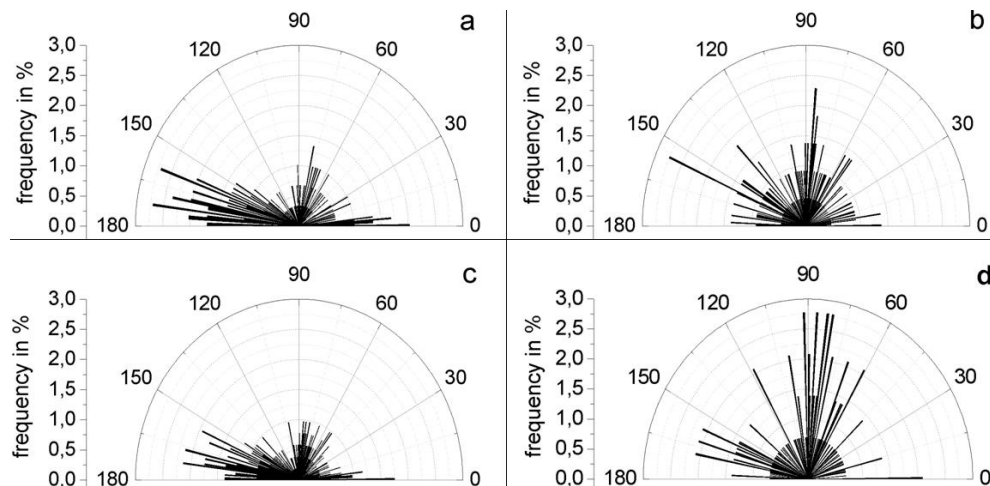


Fig. 6. Frequency distribution of major axis orientation for **(a)** lakes $\geq 14\,400\text{ m}^2$ on Yedoma uplands, **(b)** lakes $\geq 14\,400\text{ m}^2$ in basins, **(c)** all lakes $\geq 14\,400\text{ m}^2$, and **(d)** single basins. Intervals = 1° , 0° = east, 90° = north, 180° = west.

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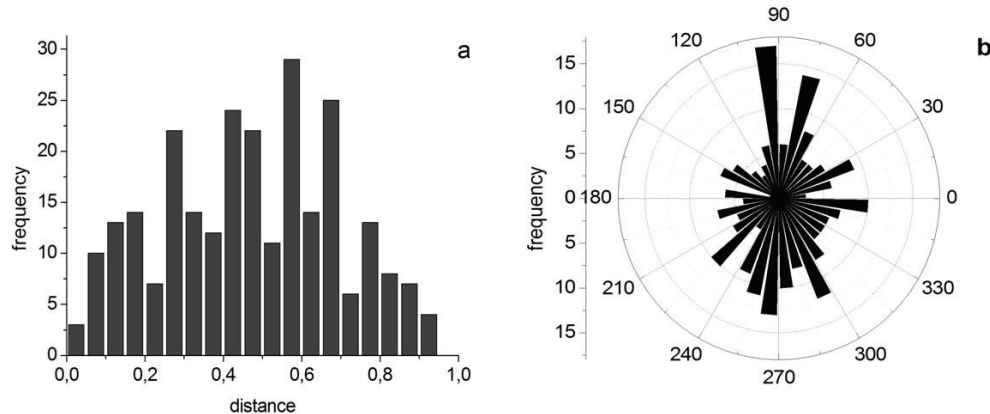


Fig. 7. Position of lakes within single basins: **(a)** frequency distribution of normalized distances between lake and basin centroids, **(b)** frequency distribution of angles (in $^{\circ}$) between basin and lake centroids. 0° = east, 90° = north, 180° = west, 270° = south.

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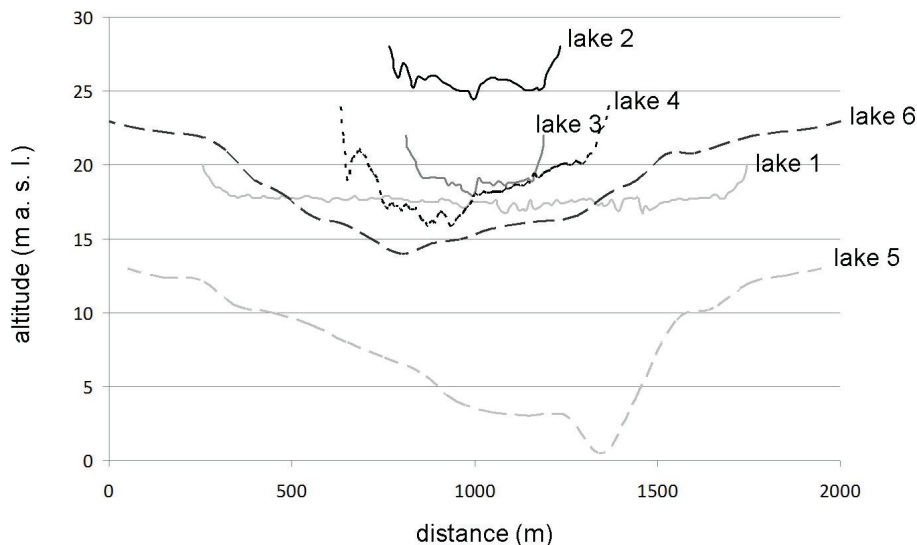


Fig. 8. Bathymetric profiles of lakes in relation to relief position. For lake locations see Fig. 4. Lake areas and profile directions are as follows: lake 1 = 1 841 400 m², from N to SE; lake 2 = 104 400 m², from N to S; lake 3 = 221 400 m², from N to S; lake 4 = 421 200 m², from N to S; lake 5 = 1 636 790 m², from NW to SE; lake 6 = 2 460 134 m², from N to S. Bathymetric data from lakes 5 and 6 from Pavlova and Dorozhkina (2000).

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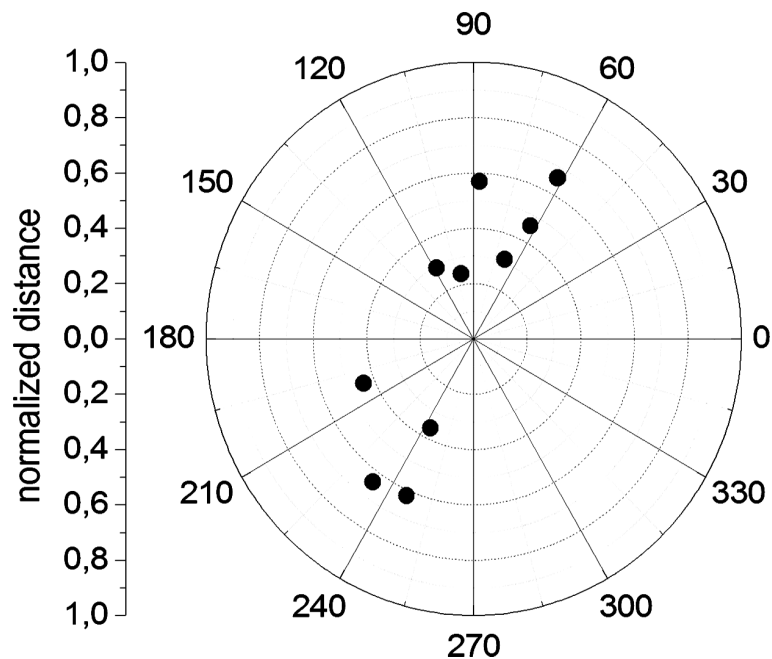


Fig. 9. Position of pingos within single basins. Normalized distances and angles (in °) were calculated between basin centroids and pingos. 0° = east, 90° = north, 180° = west, 270° = south.

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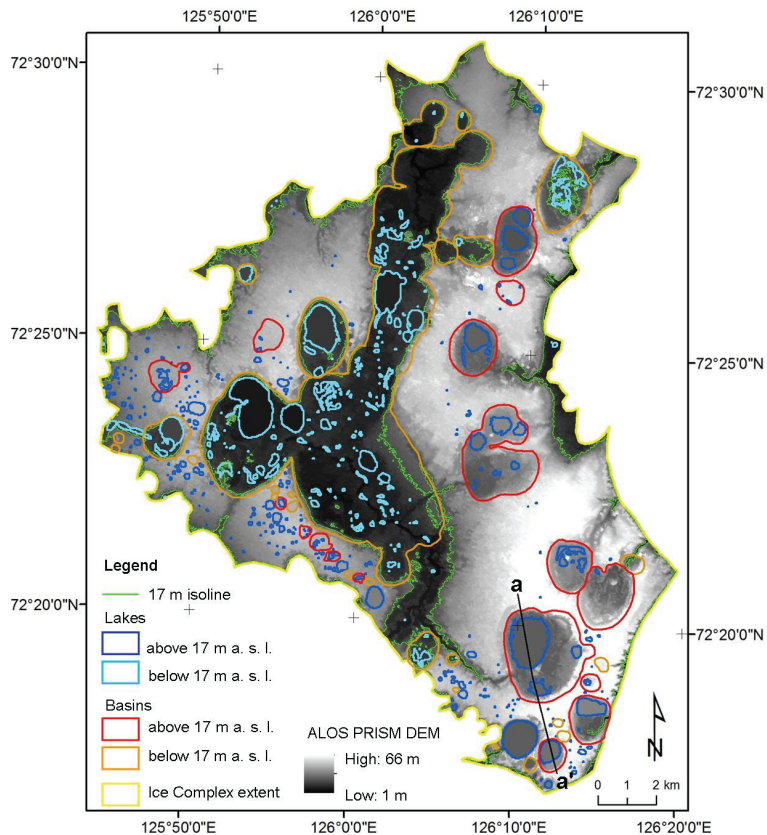


Fig. 10. DEM of Kurungnakh Island indicating the position of thermokarst features above and below the Ice Complex boundary at 17 m a.s.l. Of the total Kurungnakh Island area, 28.1 % is covered by features under which the Ice Complex deposits should have already completely degraded. The line between a and a' indicates a profile section across a Yedoma and thermokarst basin assemblage (see Fig. 11).

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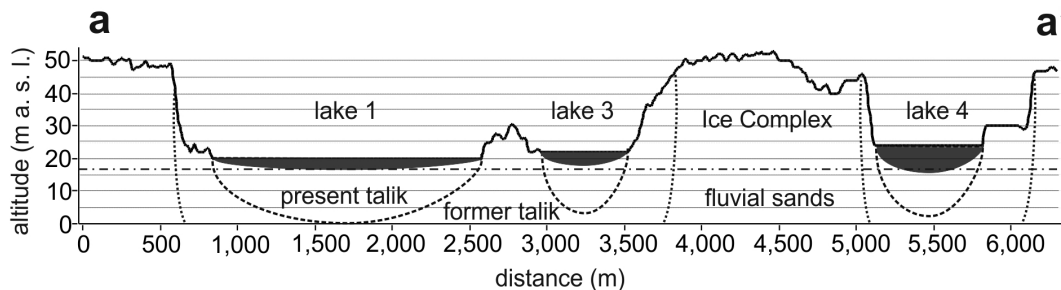


Fig. 11. Profile section across a Yedoma and thermokarst basin assemblage on Kurungnakh Island (for position see Fig. 10) showing topographic positions of investigated features. The thaw potential of thermokarst is much greater in Yedoma uplands than in basins. The profile line was derived from the ALOS PRISM DEM, the depths of lakes from bathymetric measurements, and the approximate position of the Ice Complex base from outcrop studies (Schirmeister et al., 2003; Wetterich et al., 2008). Positions of taliks are hypothetical, based on modeling studies of similar environments in other regions (West and Plug, 2008).

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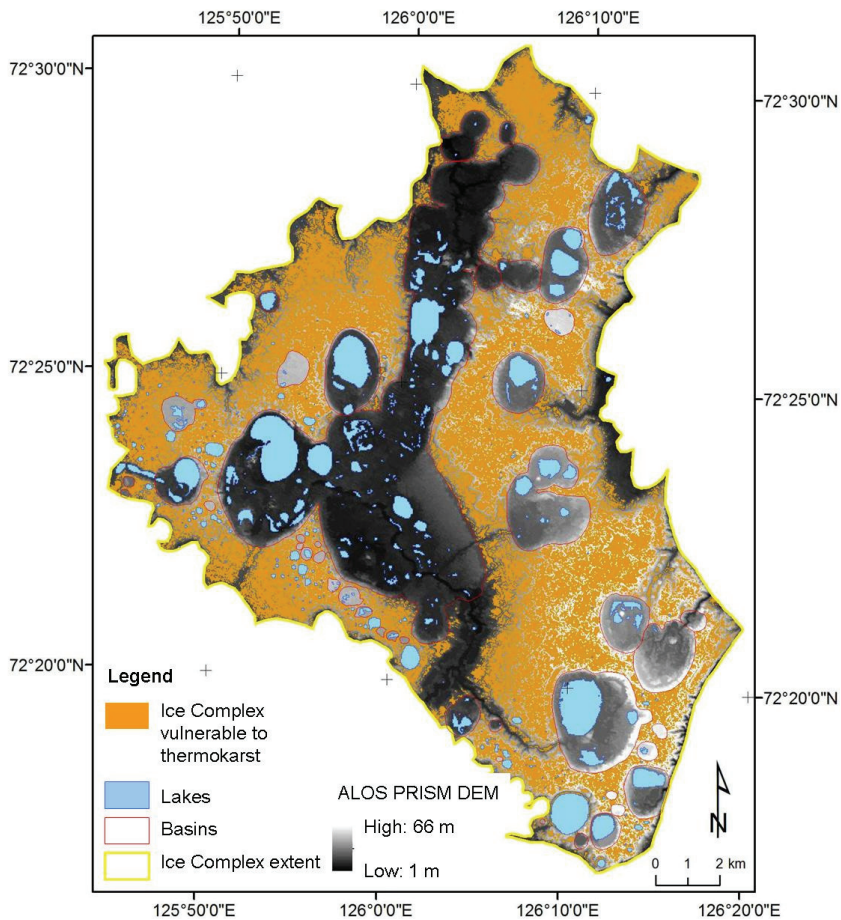


Fig. 12. Yedoma uplands of Kurungnakh Island unaffected by thermokarst or thermal erosion with slopes $< 2^\circ$. This area (33.7% of Kurungnakh Island) is vulnerable to future thermokarst.

Spatial analyses of thermokarst lakes and basins in Yedoma

A. Morgenstern et al.

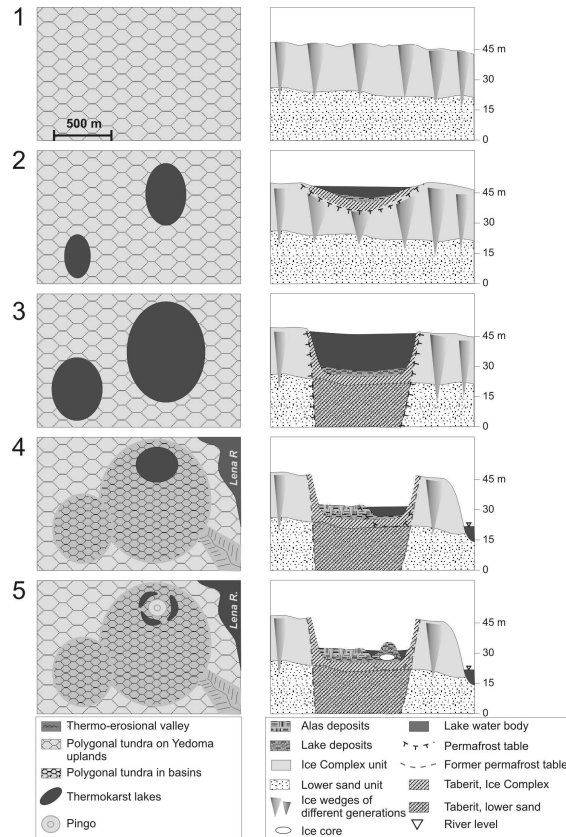


Fig. 13. Scheme of thermokarst development in Yedoma landscapes of the Lena River Delta in plane view (left) and cross section (right). 1: flat, undisturbed Yedoma uplands with polygonal tundra. 2: thermokarst lakes on Yedoma uplands – initial stage with lateral and vertical thermokarst development, lake sedimentation, talik in non-steady state. 3: thermokarst lakes on Yedoma uplands – mature stage with lateral expansion only, lake sedimentation, talik fully developed. 4: partially drained, coalesced thermokarst basin with remaining or second-generation thermokarst lake – partial refreezing of former talik, taberites, and lake sediments with ice aggradation and peat accumulation. 5: partially drained coalesced thermokarst basin with pingo.

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