

1 **Statistical upscaling of ecosystem CO₂ fluxes across the terrestrial tundra and** 2 **boreal domain: regional patterns and uncertainties**

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48 Abstract

49 The regional variability in tundra and boreal carbon dioxide (CO₂) fluxes can be high, complicating efforts to
50 quantify sink-source patterns across the entire region. Statistical models are increasingly used to predict
51 (i.e., upscale) CO₂ fluxes across large spatial domains, but the reliability of different modeling techniques,
52 each with different specifications and assumptions, has not been assessed in detail. Here, we compile eddy
53 covariance and chamber measurements of annual and growing season CO₂ fluxes of gross primary
54 productivity (GPP), ecosystem respiration (ER), and net ecosystem exchange (NEE) during 1990–2015 from
55 148 terrestrial high-latitude (i.e., tundra and boreal) sites to analyze the spatial patterns and drivers of CO₂
56 fluxes and test the accuracy and uncertainty of different statistical models. CO₂ fluxes were upscaled at
57 relatively high spatial resolution (1 km²) across the high-latitude region using five commonly-used statistical
58 models and their ensemble, i.e., the median of all five models, using climatic, vegetation, and soil
59 predictors. We found the performance of machine learning and ensemble predictions to outperform
60 traditional regression methods. We also found the predictive performance of NEE-focused models to be
61 low, relative to models predicting GPP and ER. Our data compilation and ensemble predictions showed that
62 CO₂ sink strength was larger in boreal biome (observed and predicted average annual NEE –46 and –29 g C
63 m⁻² yr⁻¹, respectively) compared to tundra (average annual NEE +10 and –2 g C m⁻² yr⁻¹). This pattern was
64 associated with large spatial variability, reflecting local heterogeneity in soil organic carbon stocks, climate,
65 and vegetation productivity. The terrestrial ecosystem CO₂ budget, estimated using the annual NEE
66 ensemble prediction, suggests the high-latitude region was on average an annual CO₂ sink during 1990–
67 2015, although uncertainty remains high.

68 Keywords: land, empirical, Arctic, permafrost, greenhouse gas, CO₂ balance, remote sensing

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70

71 1. Introduction

72 The terrestrial ecosystem carbon dioxide (CO₂) balance is one of the largest uncertainties in the global
73 carbon budget (Friedlingstein et al., 2020), with high-latitudes (i.e., tundra and boreal biomes) representing
74 one of the least constrained budgets (López-Blanco et al., 2019; Schuur et al., 2015; Zscheischler et al.,
75 2017). Moreover, due to polar amplification and large carbon stocks, the high latitudes have the potential
76 for substantial positive feedbacks to climate warming (Abbott et al., 2016; Gasser et al., 2018; Schuur et al.,
77 2008; Turetsky et al., 2020). Currently, in the absence of major disturbances (e.g., fire), boreal forests are
78 generally CO₂ sinks (Bradshaw & Warkentin, 2015; Pan et al., 2011), while regional estimates of tundra vary
79 from sinks (McGuire et al., 2009, 2012, 2016) to sources (Belshe et al., 2013). Both the winter and growing
80 seasons are important for these annual budget estimates. A recent synthesis by Natali et al., (2019) found
81 that winter soil CO₂ emissions from the northern permafrost region are larger than previously estimated,
82 however CO₂ uptake by plants over the growing season can be substantial and is often the dominant
83 component of the annual CO₂ budget (Alekseychik et al., 2017; Kolari et al., 2009; Lafleur et al., 2012). The
84 current state of the annual terrestrial high-latitude CO₂ budget (net sink or source) remains highly
85 uncertain. A key research priority is to develop and compare methods used to estimate CO₂ budgets so that
86 best practices can be identified and regional boreal and tundra budgets constrained at annual and seasonal
87 time scales.

88 Estimating high-latitude CO₂ fluxes across large areas and over long timescales is challenging due to their
89 high spatiotemporal variability (Ai et al., 2018; Wilkman et al., 2018) that is controlled by a range of
90 environmental variables (Camps-Valls et al., 2015; Lund et al., 2010). The ecosystem CO₂ balance (net
91 ecosystem CO₂ exchange; NEE) is a relatively small difference between the two large CO₂ fluxes of
92 photosynthesis (gross primary production; GPP) and ecosystem respiration (ER; comprising autotrophic and
93 heterotrophic respiration). Although NEE can be measured with the eddy covariance (EC) and chamber
94 techniques (Baldocchi et al., 1988; Lundegårdh, 1927), GPP and ER are estimated indirectly using
95 environmental light and temperature measurements for EC sites (Lasslop et al., 2010; Reichstein et al.,
96 2005) and light manipulations for chamber sites (Shaver et al., 2007). Field studies have shown that GPP,
97 ER, and NEE depend on climatic conditions (e.g., temperature, precipitation, and radiation) (López-Blanco
98 et al., 2017; Nobrega and Grogan, 2008; Zhang et al., 2018), vegetation (Cahoon et al., 2012; Fox et al.,
99 2008; Järveoja et al., 2018), and soil properties (e.g., soil nutrients and moisture) (Arens et al., 2008; Dagg
100 and Lafleur, 2011; Lund et al., 2009). However, our understanding of the influence of these drivers on GPP
101 and ER, and particularly on NEE, across the entire boreal and tundra domain remains limited (see e.g.,
102 Belshe et al., 2013; Lund et al., 2010).

103 Knowledge of the contemporary high-latitude terrestrial CO₂ budget is further limited by an increasing, but
104 still relatively sparse, flux measurement network (Alton, 2020; Chu et al., 2017; Virkkala et al., 2018). The
105 majority of flux sites are concentrated within a few intensively studied regions, particularly Alaska and
106 Fennoscandia (Metcalf et al., 2018; Pastorello et al., 2020; Virkkala et al., 2019), with just a few sites in
107 other large regions such as Siberia and northern Canada. Consequently, several methodological issues
108 related to the temporal, geographical and environmental representativeness of the measurements need to
109 be addressed to accurately estimate high-latitude carbon budgets. Previous studies have used a variety of
110 synthesis approaches (Belshe et al., 2013; McGuire et al., 2012), and statistical (Natali et al., 2019), process-
111 based (López-Blanco et al., 2019; McGuire et al., 2018; Rawlins et al., 2015; Wania et al., 2009) and
112 atmospheric inversion models (McGuire et al., 2012), yielding highly different sink-source patterns. Most of
113 these modeling studies have been conducted at coarse spatial resolutions (25 – 100 km km; Natali et al.,
114 2019; Rawlins et al., 2015; López-Blanco et al., 2019) that do not fully capture the local heterogeneity in
115 high-latitude environments despite their importance for the regional CO₂ budgets (Treat et al., 2018). New
116 efforts synthesizing the current distribution of flux data and developing models at high spatial resolution
117 are required to improve our understanding on the spatial patterns and magnitudes of CO₂ fluxes.

118 Models that rely on the statistical relationships between CO₂ flux and predictor variables have been
119 increasingly employed (e.g., Jung et al., 2020; Natali et al., 2019; Warner et al., 2019). These statistical
120 models are useful for predicting fluxes across larger areas (i.e., upscaling) because they directly draw upon
121 relationships between fluxes and environmental variables, can account for environmental variability across
122 space and time at high resolutions, and are able to handle biases in the geographic representation of the
123 data (Jung et al., 2020; Natali et al., 2019; Warner et al., 2019). A broad range of statistical models and data
124 sources are available for upscaling, but not all of these have been fully utilized. For example, many past
125 studies have upscaled high-latitude fluxes using a single model (Natali et al., 2019; Peltola et al., 2019;
126 Ueyama, Ichii, et al., 2013), but how different models compare with each other is not well known (with
127 exception of Jung et al., 2017 and Tramontana et al., 2016). Further, most of the studies have primarily
128 used machine learning models due to their ability to capture non-linear relationships in data and lack of
129 required assumptions (Elith et al., 2008). However, traditional regression methods can be a powerful tool in
130 upscaling high-latitude ground conditions due to their ability to extrapolate beyond the range of data used
131 for training, and due to their generalizability and ease of interpretation (Aalto et al., 2018). Finally, many of
132 the recent upscaling studies have relied on EC flux measurements, neglecting chamber measurements
133 despite their importance as additional data sources, especially in remote, sparsely-measured treeless
134 tundra where chambers can capture the entire ecosystem CO₂ balance and directly measure NEE and ER
135 (Natali et al., 2019). Thus, a compilation of both EC and chamber flux measurements and the comparison of

136 available modeling techniques is clearly required to ensure accurate CO₂ flux estimates from existing data
137 and models.

138 Here, we synthesize annual and growing season CO₂ fluxes from EC and chamber measurements across the
139 high-latitude terrestrial tundra and boreal biomes. We then use this new database to upscale annual
140 average ecosystem CO₂ fluxes at relatively high spatial resolution (1 km²) across the high-latitude domain
141 using several statistical models. We compare our new database of *in situ* CO₂ fluxes to past tundra
142 syntheses (Belshe et al., 2013; McGuire et al., 2012), provide a detailed assessment of model performance,
143 analyze the spatial patterns and drivers of CO₂ fluxes, and discuss the resulting CO₂ budget estimates and
144 recommendations for future work. We focus on understanding the spatial variability in average CO₂ fluxes
145 instead of a temporal analysis of CO₂ flux change; however, our modeling framework also considers the
146 interannual variability in fluxes.

147 2. Material and Methods

148 2.1 Data Collection

149 2.1.1 Collection of CO₂ flux data

150 Our study area was defined by the high-latitude tundra and boreal biomes (>45 °N) based on global
151 ecoregions (20.6 x 10⁶ km²; Fig. 1; Dinerstein et al., 2017). We first conducted a literature survey to identify
152 existing EC and chamber-based terrestrial CO₂ flux observations of GPP, ER, and NEE over annual and
153 growing season periods across the domain. Potential sites were identified from previous studies (Ichii et al.,
154 2017; Marushchak et al., 2013; McCallum et al., 2013; Watts et al., 2014) and prior synthesis efforts (Belshe
155 et al., 2013; McGuire et al., 2012; Virkkala et al., 2018). We augmented the resulting site list using a Web Of
156 Science search with key words ("tundra" or "boreal" or "arctic") and ("CO₂ flux" or "CO₂ exchange" or "CO₂
157 budget"). Additionally, a community call was solicited through a CO₂ flux synthesis workshop (Parmentier et
158 al., 2019), whereby investigators contributed their most current unpublished data. Additional EC data were
159 downloaded from FLUXNET2015 (Pastorello et al., 2020).

160 The compiled data set represents all natural vegetation types (categorized by needle- or broadleaf forest,
161 shrubland, grassland, wetland, and sparse vegetation) present in the study domain. We included flux
162 measurements from managed forests and wetlands but excluded croplands. While the EC observations
163 represent all vegetation types, chamber data from forest sites were not included since they do not
164 represent whole ecosystem fluxes. EC measures NEE directly, whereas GPP and ER are indirect estimates
165 acquired from various partitioning methods (Lasslop et al., 2010; Reichstein et al., 2005). NEE is also often
166 gap filled with the indirect GPP and ER estimates. Chambers measure NEE and ER directly, out of which GPP
167 can be estimated. If a given site reported both EC and chamber fluxes for the same year and period, EC

168 fluxes were selected over chambers as EC footprints are larger and correspond better with the scale of our
169 gridded predictor variables. In experimental manipulation studies, only the fluxes from the control plot
170 were included. We aggregated spatial replicates of chamber fluxes within a given site and year by
171 calculating the median flux.

172 We included studies and sites with NEE, GPP, and ER estimates over a full growing season or year (i.e.,
173 cumulative flux). Growing season flux measurements are provided by EC and chambers. Winter flux
174 measurements include a variety of methods in addition to EC and chambers (e.g., a gas diffusion method by
175 Björkman et al., 2010, soda lime by Welker et al., 2004, or an empirical model by Vogel et al., 2009).
176 Growing season length and measurement period were defined in multiple ways at individual sites. To allow
177 inter-site comparison, we filtered out measurements that did not represent the entire growing season and
178 standardized the remaining measurements (see Supplementary Text Section 1.1 and a similar approach in
179 Belshe et al., 2013). From this filtered data set, we calculated average growing season daily flux rates based
180 on the reported measurement length and standardized the fluxes based on a common growing season
181 length. The final list of sites having representative annual or growing season measurements is provided in
182 Supplementary Table 1, sites that were dropped are in Supplementary Table 2.

183 The resulting dataset included 148 sites with CO₂ fluxes from 1990 to 2015 from variable measurement
184 periods (Fig. 1). We compiled 1390 cumulative annual and growing season flux values (when chamber
185 measurements were aggregated per site; Fig. 1); 78 % of the aggregated observations are from EC and 22 %
186 are from chambers. Annual and growing season NEE were the most widely reported fluxes in the dataset
187 (Fig. 1). Unlike McGuire et al., (2012) and Belshe et al., (2013) we also included data from the boreal biome,
188 additional tundra sites, and wetlands (not synthesized in Belshe et al., 2013; Supplementary Fig. 1). Similar
189 to McGuire et al., (2012) and Belshe et al., (2013), our database primarily represents undisturbed
190 environments. However, it also includes measurements from ca. 10 sites that have experienced high
191 natural, anthropogenic or anthropogenically-induced disturbances, such as rapid permafrost thaw
192 (Bäckstrand et al., 2010; Cassidy et al., 2016; Trucco et al., 2012), fires (Iwata et al., 2011; Ueyama et al.,
193 2019), insect outbreaks (Heliasz et al., 2011; López-Blanco et al., 2017; Lund et al., 2017), or extensive
194 harvesting practices (Coursolle et al., 2012; Machimura et al., 2005). Throughout the text, positive numbers
195 for NEE indicate net CO₂ loss to the atmosphere (i.e., CO₂ source) and negative numbers indicate net CO₂
196 gain (i.e., CO₂ sink). GPP and ER are always given as positive numbers.

197 2.1.2 Gridded predictors and reference flux data

198 We acquired 10 eco-physiologically relevant predictors at 1 km² resolution (0.0083°) representing
199 topographic, soil, climate, and vegetation conditions: topographic wetness index (TWI), potential incoming
200 direct annual radiation (RAD; MJ cm⁻² yr⁻¹), soil organic carbon stocks in the upper 2 m (SOC; tons per ha),

201 topsoil (0-5 cm) pH, topsoil clay content (CLAY; %), growing degree days (GDD3; °C), freezing degree days
202 (FDD; °C), water balance (WAB; mm), normalized difference index (NDVI) and land cover (LC; classes were
203 mixed or broadleaved forest, needleleaved forest, grassland and shrubland, wetland, sparse vegetation; see
204 Supplementary Text Section 1.2 and Supplementary Fig. 2 for more information about the predictors).
205 These predictors characterize previously identified key relationships between CO₂ fluxes and summer and
206 winter temperatures, radiation, precipitation, local hydrology and soil conditions, soil carbon stocks, and
207 vegetation properties (i.e., see Beer et al., 2010; Belshe et al., 2013; Lund et al., 2010; Natali et al., 2019;
208 Ueyama, Iwata, et al., 2013). We recognize that GPP and ER partitioning and gap filling rely on some
209 environmental data (e.g., temperature and radiation), and consequently these fluxes already include some
210 information about variables that we also used as predictors in our statistical models. We used annual
211 (1990–2015) data for GDD3, FDD, WAB, and maximum summer NDVI; the remaining predictors were
212 considered to be static. In cases where an annual flux value extended over multiple years (i.e.,
213 measurement period from October to September of the following year, or where a study reported an
214 average flux from multiple years), a median climate or NDVI value for those years was used. All predictor
215 data sets were masked to only include tundra and boreal biomes (Dinerstein et al., 2017), and to exclude
216 permanent water bodies, urban areas, and croplands based on a land cover dataset developed by ESA,
217 (2017).

218 We compared our annual ecosystem NEE predictions and budgets (see Section 2.2.1) with FLUXCOM, a
219 global product derived from FLUXNET EC towers and machine learning at 0.5 ° resolution (Baldocchi et al.,
220 2001; Jung et al., 2017; Tramontana et al., 2016) and an ensemble of global Earth system models from the
221 Coupled Model Intercomparison Project Phase 5 (CMIP5) at 1.92 x 1.5 ° resolution (Taylor et al., 2012)
222 (Supplementary Text Section 1.2).

223 2.2 Data Analysis

224 2.2.1 Statistical Modeling

225 Our main response variables were annual and growing season cumulative GPP, ER, and NEE, but we also
226 modeled daily average GPP, ER, and NEE during the growing season. Annual and growing season CO₂ fluxes
227 were linked to the environmental predictors using a range of different statistical modeling methods
228 (Supplementary Fig. 3). We used five statistical models; two were extensions of linear regression models,
229 and three were based on machine-learning. All of these models have been widely used in empirical CO₂
230 flux upscaling studies (Bond-Lamberty and Thomson, 2010; Hursh et al., 2016; Tramontana et al., 2016;
231 Ueyama, Ichii, et al., 2013). Specifically, we examined generalized linear models (GLMs); generalized
232 additive models (GAMs); generalized boosted regression trees (GBMs); random forest (RF models); and
233 support vector machines (SVMs). GLM is an extension of linear regression models where the response

234 variable can have a non-normal distribution, and the regression is generalized by linking the linear model to
235 the response variable via a link function (Nelder and Wedderburn, 1972). GAM is a more flexible method
236 than generalized linear modeling, as it can use local spline smoothing functions constrained by the user to
237 fit non-linear relationships between the response variable and the predictor (Hastie and Tibshirani, 1987).
238 GBM and RF are tree-based machine learning methods, where modeling is based on splitting the data into
239 multiple trees (Breiman, 2001; Elith et al., 2008). SVM is a powerful machine learning method based on
240 projecting vectors into a high-dimension space with a kernel function and then fitting an optimal
241 hyperplane (Smola and Schölkopf, 2004).

242 We used several model approaches because individual models have inherent strengths and weaknesses
243 (Supplementary Text Section 2). For example, machine learning methods might suffer from overfitting,
244 whereas regression methods might result in unrealistic values when extrapolated outside the model data
245 range. Further, individual models may detect different patterns in the data, and the best performing
246 models are not always the same for different response variables (Segurado and Araújo, 2004). We also
247 produced an ensemble prediction by calculating a median prediction over the five predictions from the
248 individual modeling methods (see also Tramontana et al., 2016). We used the median instead of the mean
249 to avoid extreme predicted values inflating the ensemble prediction. In this procedure, the uncertainty of
250 the ensemble is expected to be lower than the uncertainty of a single model (Aalto et al., 2018).
251 Consequently, we produced six model predictions for each of our response variables.

252 To determine the main drivers of the spatial patterns of response variables, the relative contribution of
253 predictors in the models was assessed using a prediction re-shuffling approach (Niittynen and Luoto, 2018).
254 We first fit the model and developed predictions using the original data, and then repeated this procedure
255 with the values for one predictor randomly permuted. The contribution of a variable was calculated as a
256 correlation between these two predictions (i.e., original model and the model with a shuffled predictor)
257 subtracted from one:

$$258 \text{ Relative contribution} = 1 - \text{correlation} (\text{Prediction}_{\text{original data}}, \text{Prediction}_{\text{Randomly permuted data}})$$

259 Values close to 1 indicate that the two predictions were different, indicating high variable importance of
260 the predictor variable. Each predictor was randomly permuted 100 times for each flux with each of the
261 modelling methods, and an ensemble contribution was derived by taking a mean of the values. To visualize
262 a predictor's effect on a response variable after controlling for the effects of other predictors, partial
263 dependence plots were derived from the random forest model. For both variable importance and partial
264 dependence plot analyses, we used daily average growing season fluxes because the growing season length
265 estimates that were used to calculate growing season fluxes are not independent from GDD3. We found

266 that the daily average fluxes correlated strongly with the growing season fluxes (Pearson's correlation 0.93-
267 0.94), so they can be assumed to reflect the same relationships with the predictors.

268 To extrapolate across the domain, we fit the models using the entire data set to produce annual flux
269 predictions and their ensembles that were subsequently averaged to 1990-2015 mean values. Because the
270 ensemble predictions were among the most accurate and least uncertain predictions across all response
271 variables, and because their use is generally recommended in predictive efforts (Araújo and New, 2007),
272 our final flux maps were based on the flux ensemble. Because growing season length has been estimated in
273 several different ways in previous studies, we aggregated growing season budgets for two additional
274 periods to compare the tundra and northern permafrost region growing season budgets to previous
275 studies: Belshe et al., (2013) and Natali et al., (2019). Belshe et al., (2013) estimated the growing season to
276 be 100 days at each site, and Natali et al., (2019) used the May-September period (153 days) for the
277 growing season. For this comparison, we calculated a growing season NEE budget by multiplying the
278 growing season daily NEE predictions by 100 and 153 days. However, we suggest our time-varying growing
279 season estimate more reliably represents true growing season length as it captures the variability in
280 growing season length across the high-latitude region. Regional budgets of annual NEE and the time-
281 varying 100- or 153-day growing season NEE were calculated for the entire study domain (i.e., tundra and
282 boreal biomes; Dinerstein et al., 2017), the northern permafrost region (Brown et al., 2002; excluding
283 permafrost south of the boreal biome; includes regions both in tundra and boreal biomes), the non-
284 permafrost region located within our study domain (includes boreal regions in Fennoscandia and some
285 parts of Russia and Canada), and the boreal and tundra wetland and upland regions (based on the biomes
286 and wetland and non-wetland classes in LC; ESA, 2017) by averaging the budgets estimated from annual
287 ensemble predictions over the 26-year period. In addition to annual and growing season budgets, we also
288 calculated a non-growing season budget (see Supplementary Table 3). We had different numbers of
289 observations and sites available for each flux and model, and consequently observed and predicted ER and
290 GPP fluxes and budgets do not sum up to NEE.

291 2.2.2 Model fit, predictive performance and uncertainty

292 To evaluate model fit, we predicted fluxes over the entire model training data. To assess the predictive
293 performance of the models, we used a leave-one-site-out cross validation scheme in which each site was
294 iteratively left out from the data set, and the remaining data were used to predict fluxes for the excluded
295 site (Bodesheim et al., 2018). For both model fit and predictive performance, we calculated bias an average
296 of the absolute error between prediction and actual observation, Pearson correlation (r) to determine the
297 extent of linear relationship between the observed and predicted fluxes, and root mean squared error
298 (RMSE) to estimate the model error. We use the terms "observed" and "predicted" to distinguish between

299 field measurements and model predictions but acknowledge that some of these observed values represent
300 indirect estimates of fluxes.

301 We evaluated the prediction uncertainty of all flux models and the budget uncertainty of annual and
302 growing season NEE models using a repeated random resampling procedure (Aalto et al., 2018). Prediction
303 uncertainty was calculated to characterize the spatial variability in flux predictions across the high-latitude
304 region, whereas budget uncertainty quantified the range of potential NEE budget values. We used
305 bootstrapping (fractional resampling with replacement based on LC classes) to subset the model training
306 data into 200 different data sets, all of which had the same number of observations as the original flux data
307 itself. These 200 data sets were then used to produce 200 individual predictions with all five statistical
308 models and their ensemble for each flux and for each year from 1990 to 2015 to assess prediction
309 uncertainty which was summarized using the prediction interval (PI; 95th percentile – 5th percentile).
310 Uncertainty for annual and growing season NEE budgets was estimated by calculating the range of budgets
311 from the 50 first ensemble predictions out of the 200 predictions for each year from 1990 to 2015, due to
312 computational constraints. The prediction uncertainty of annual NEE was also assessed by comparing the
313 average annual NEE budgets with the annual NEE derived from annual ER and GPP predictions, by
314 examining alternative estimates from other studies (i.e., FLUXCOM and CMIP5) and by calculating a
315 standard deviation across these products to evaluate where the regional differences occur. For more
316 details, see Supplementary Text section 2.3 and Supplementary Fig. 4.

317 3. Results

318 3.1 Observed flux variation

319 Flux measurements showed considerable variation in magnitudes and signs (sink vs source) across the high-
320 latitude environments (Fig. 1 and Table 1). Observed annual NEE (no upscaling) was on average a small
321 source of CO₂ in the most northern parts of the study domain (tundra: +10 g C m⁻² yr⁻¹, 42 sites, northern
322 permafrost region: +6 g C m⁻² yr⁻¹ based on 63 sites) and in drier environments (tundra upland: +16 g C m⁻²
323 yr⁻¹, 34 sites), whereas the boreal biome (–46 g C m⁻² yr⁻¹, 41 sites), and in particular boreal uplands (–47 C
324 m⁻² yr⁻¹, 36 sites), and non-permafrost-boreal regions (–90 g C m⁻² yr⁻¹, 20 sites) were net ecosystem CO₂
325 sinks. All environmental categories were, on average, net CO₂ sinks during the growing season, with the
326 average NEE ranging from –37 to –115 g C m⁻² period⁻¹ (Table 1). Tundra upland and non-permafrost
327 regions had the lowest average growing season sink strength. The non-permafrost region sink was greatly
328 reduced by one disturbed site that had large source values up to +600 g C m⁻² period⁻¹ (Petrone et al.,
329 2014), but this was not apparent in the annual averages because the same site did not report annual fluxes.
330 Although the distribution of environmental conditions at the sites were fairly representative

331 (Supplementary Fig. 5), colder environments with low NDVI and GDD3 as well as high FDD were less well
332 represented (e.g., large areas of Siberia; Fig. 1).

333 3.2 Predictive performance of the models

334 The model fit and predictive performance analyses indicated that the GBM, RF and SVM (machine learning)
335 methods outperformed the GLM and GAM (regression model) approaches across most of the response
336 variables (in particular with NEE, but also with GPP and ER; model fit of annual machine learning models: $r =$
337 $0.69\text{--}0.99$ vs. regression models: $r = 0.6\text{--}0.92$; predictive performance of annual machine learning methods:
338 $r = 0.2\text{--}0.73$ vs. regression models: $r = 0.12\text{--}0.72$; Fig. 2). We found that the machine learning-based
339 methods were less uncertain (Supplementary Fig. 6) and always predicted values within the range of the
340 observed fluxes as opposed to regression models. However, the machine learning method that performed
341 best and had the least uncertainties varied depending on the flux response variable.

342 Ensemble predictions were among the best performing models (model fit of annual and growing season
343 ensemble models: $r = 0.68\text{--}0.94$; predictive performance of annual and growing season ensemble models: $r =$
344 $0.21\text{--}0.73$; Fig. 2 and Supplementary Fig. 7). However, similar to the individual models, model fit and
345 predictive performance was lower for annual and growing season NEE compared to GPP and ER (model fit
346 for GPP and ER: $r = 0.89\text{--}0.94$ vs. NEE: $r = 0.68\text{--}0.77$; predictive performance for GPP and ER: $r = 0.53\text{--}0.71$
347 vs. NEE: $r = 0.21\text{--}0.27$; Fig. 2 and Supplementary Fig. 7). Annual models for ER and NEE exhibited a better fit
348 and predictive performance than the growing season models, whereas the opposite was true for GPP (Fig. 2
349 and Supplementary Fig. 7). The growing season GPP model fit and predictive performance was higher than
350 that of the ER models, but annual GPP and ER models performed equally well. In most predictive
351 performance analyses the lowest and highest observed fluxes were over- and underestimated, respectively,
352 indicating overall poor predictive performance at the extremes (Supplementary Fig. 8–9).

353 Average predicted and observed fluxes were of similar magnitude (Table 1). However, there was a
354 tendency for the average predicted values to have slightly larger GPP and ER values (e.g., observed and
355 predicted annual GPP in the tundra: 250 g C m^{-2} and 378 g C m^{-2} , respectively) and stronger net CO_2 sink
356 values than what was observed (e.g. observed and predicted annual NEE in the tundra: $+10\text{ g C m}^{-2}$ and -2 g
357 C m^{-2} , respectively). Our cross-comparison of annual and growing season flux ensemble predictions showed
358 there was a mismatch between annual and growing season component fluxes in approximately 2 % of the
359 pixels (growing season GPP/ER larger than annual GPP/ER) and that unrealistic flux values (negative GPP or
360 ER) were found in less than 0.01 % of the pixels in the ensemble predictions.

361 3.3 Predicted flux variation

362 Predicted fluxes showed pronounced spatial variability across the region with a general trend towards
363 increasing fluxes and sink strength with decreasing latitude for GPP, ER, and NEE (Fig. 3 and Supplementary

364 Fig. 10). The variability was related to differences in climate (GDD3 and FDD), solar radiation (RAD) and
365 vegetation greenness (NDVI), which had the strongest influence on most of the fluxes (Fig. 4). Moreover,
366 SOC, CLAY, and LC were important variables for annual NEE; CLAY and SOC both had a positive yet
367 saturating relationship. The relationship between LC and annual NEE suggested that the annual and
368 growing season net sink strength was largest in wetlands and smallest in sparse vegetation (Supplementary
369 Fig. 11–12). Some variables had a very low variable importance for most of the fluxes (e.g. TWI, soil pH).

370 Our predictions revealed regional hot spots in annual and growing season NEE, GPP, and ER. Strong annual
371 and growing season CO₂ sinks, having low ER and high GPP, were found in forested regions with high GDD3,
372 NDVI, RAD, and low FDD across Fennoscandia and European Russia, southern Canada, and southern Siberia
373 (Fig. 3 and Supplementary Fig. 10). Annual CO₂ sources were identified within northern and central Siberia,
374 Greenland, northern and central Alaska, as well as northern Canada. These regions were located mainly in
375 the tundra, characterized by high FDD, and low GDD3 and NDVI. Growing season CO₂ sources were located
376 in southeastern Siberia, northern Siberia and some parts of southern and northern Canada. Largest
377 uncertainties in flux predictions were found in areas with relatively strong CO₂ sinks in the boreal biome,
378 such as in Fennoscandia and eastern Canada, but also in the tundra (e.g., Canadian Arctic Archipelago; Fig.
379 3 and Supplementary Fig. 10). The largest differences across our annual NEE, and CMIP5 and FLUXCOM
380 predictions were found in European Russia, Fennoscandia, and southeastern Canada (Fig. 5a-d).

381 3.4 Terrestrial ecosystem NEE budget for the high-latitude region

382 Our ensemble predictions showed that the annual terrestrial ecosystem CO₂ sink was considerable for the
383 high-latitude tundra and boreal region over the 26-year (1990–2015) study period (Table 2). The annual
384 NEE budget (based on upscaled NEE data) averaged $-419 \text{ Tg C yr}^{-1}$ (90 % uncertainty range: -559 to $-189 \text{ Tg C yr}^{-1}$;
385 C yr^{-1} ; range of budgets across the 26-year time period: -449 to $-366 \text{ Tg C yr}^{-1}$). When estimating annual
386 NEE according to the separately modeled annual GPP ($11,344 \text{ Tg C yr}^{-1}$) and ER ($10,397 \text{ Tg C yr}^{-1}$) budgets,
387 we obtain a NEE budget of $-948 \text{ Tg C yr}^{-1}$. The average high-latitude growing season NEE budget over the
388 period of 1990–2015 was $-1,018 \text{ Tg C yr}^{-1}$ ($-1,332$ to $-455 \text{ Tg C yr}^{-1}$, 90 % uncertainty range), which was
389 supported by the difference between the average growing season ER ($5,800 \text{ Tg C yr}^{-1}$) and GPP ($7,016 \text{ Tg C}$
390 yr^{-1}) budgets. For the regional budgets, see Table 2.

391 The average annual NEE budgets over the study period from CMIP5 and FLUXCOM were -488 and -1056 Tg
392 C yr^{-1} , respectively (Supplementary Table 4). In the boreal biome, average annual GPP in our study was
393 $8,850$ compared to $8,561 \text{ Tg C yr}^{-1}$ in FLUXCOM. In the tundra biome, the average annual GPP in this study
394 was twice as high as in FLUXCOM ($2,495$ and $1,267 \text{ Tg C yr}^{-1}$, respectively). Differences were larger for
395 annual ER. Our annual ER budget for the boreal and tundra biomes was $8,241$ and $2,156 \text{ Tg C yr}^{-1}$,
396 respectively, but the same budgets were only $6,363$ and $1,200 \text{ Tg C yr}^{-1}$ in FLUXCOM. For the regional NEE
397 budgets estimated with CMIP5 and FLUXCOM, see Supplementary Table 4.

398 4. Discussion

399 This study provides a conceptual and methodological framework to bridge the gap between local, regional,
400 and high-latitude scales in statistical flux upscaling. Our framework is unique in that it 1) compiles a new
401 data synthesis of growing season and annual fluxes using EC and chamber data and investigates the drivers
402 of these fluxes; 2) quantifies the performance of different statistical models; and 3) provides the first
403 spatially continuous high-latitude maps of CO₂ fluxes and their uncertainties at high spatial resolution,
404 capturing the inherent spatial heterogeneity in predictors and fluxes and minimizing biases in upscaling
405 compared to coarser scale models (Fig. 5e). The better geographical and environmental coverage of the flux
406 measurements compared to past efforts improves our understanding of the spatial patterns and regional
407 budgets of terrestrial ecosystem CO₂ fluxes, however uncertainties in our direct model estimates of NEE
408 remained rather high.

409 4.1. Drivers and spatial patterns of GPP, ER, and NEE

410 Our results suggest that climatic, vegetation, and soil variables were all important predictors for terrestrial
411 ecosystem CO₂ fluxes. However, almost all CO₂ fluxes were strongly driven by the broad climatic gradients
412 and spatiotemporal variability in radiation, growing and winter season climatic conditions, water balance,
413 and the resulting vegetation greenness patterns, supporting the findings of previous syntheses (Belshe et
414 al., 2013; Lund et al., 2010; Natali et al., 2019). Even though these climatic variables are not independent of
415 our GPP and ER estimates (see section 4.2.), confidence in these results can be drawn from the underlying
416 mechanistic relationships between the climate drivers and fluxes. For example, GPP across large scales is
417 dependent on growing season temperatures, length of season, and radiation, which regulate and provide
418 resources for plant growth (López-Blanco et al., 2017; Lund et al., 2010), and ER is largely driven by
419 enzymatic processes, which are tightly linked with temperatures (Davidson et al., 2006) as well as plant
420 growth (La Puma et al., 2007). In general, we found that warmer, moderately wet, and greener conditions
421 (i.e., environments of higher biomass as indicated by NDVI) increased the magnitude of annual GPP and ER.
422 However, our results also indicate that the overall net sink strength increases with larger greenness,
423 warmer and shorter winters, and wetter climate. These results suggest that GPP and ER respond rather
424 similarly to changes in climate and vegetation conditions across the high-latitude region, although GPP
425 might increase even more due to increases in vegetation greenness (Berner et al., 2020) and changing
426 climate (Lund et al., 2010). However, differences in these relationships might occur in different regions
427 (Belshe et al., 2013) and land cover types (Baldocchi et al., 2018; Lafleur et al., 2012).

428 In addition to the climate and greenness variables operating mostly at large scales, other more local-scale
429 variables such as soil organic carbon stock and land cover helped explain CO₂ fluxes. Soil organic carbon
430 stock was the most important predictor for annual NEE, and it had a positive relationship with it,

431 demonstrating that areas with high carbon stocks might lose more CO₂. However, this result was not
432 supported by the annual ER models, which would represent the main process behind this relationship (i.e.,
433 larger carbon stocks have more potential for increased CO₂ emissions, particularly in dry conditions (Voigt
434 et al., 2019)). The lack of this relationship might be due to annual ER models not covering the full range of
435 conditions represented by the annual NEE models, or spurious causal relationships being identified by the
436 relatively poorly-performing NEE models. The importance of land cover was expected as it summarizes
437 many key processes related to carbon cycling (e.g. the carbon uptake capacity, temperature sensitivity, as
438 well as quantity and quality of carbon inputs into the soil; Sørensen et al., 2019) and distinguishes other
439 environmental characteristics across the land cover types (e.g., soil moisture is likely higher in wetlands
440 than in sparse vegetation).

441 Our ensemble prediction suggested that most of the southern high-latitude terrestrial region was an annual
442 net ecosystem CO₂ sink while the central and northern regions were neutral or small net CO₂ sources.
443 Observed and predicted spatial patterns in fluxes were similar to those described by most previous studies.
444 For example, our compiled field observations and predictions are consistent with the majority of Alaskan
445 tundra being an annual ecosystem CO₂ source on average, similar to the average observed fluxes in
446 McGuire et al., (2012) or the prediction in Ueyama et al., (2013). The strongest annual ecosystem CO₂ sinks
447 in our study were located in southern European Russia, Fennoscandia, and southern Canada, as also
448 observed in the FLUXCOM products (Jung et al., 2017; Tramontana et al., 2016).

449 For some regions, our ensemble prediction differed from the predictions of previous studies. The
450 distribution of annual CO₂ sources across the tundra biome was larger in our prediction compared to
451 FLUXCOM, particularly in Siberia and Canada. This was likely explained by our models being based on a
452 larger number of tundra sites from Canada, Greenland, European Russia, and Siberia, which were not
453 covered by the FLUXCOM model training data. Some of the sites in these regions were annual CO₂ sources
454 on some years (Emmerton et al., 2016; Karelin et al., 2013). A similar disagreement was found between an
455 Asia-focused statistical upscaling analysis by Ichii et al., (2017) which suggested stronger sink strength
456 across large parts of Siberia, likely due to a limited number of northern eddy covariance sites used to train
457 their models. The largest regional differences between our predictions, CMIP5, and FLUXCOM occurred in
458 central Siberia, Fennoscandia, European Russia, and Canada, and these differences were primarily driven by
459 the fact that CMIP5 showed these regions to be primarily sources whereas they were sinks in FLUXCOM
460 and our analysis (Fig. 5). These regional differences demonstrate that these particular areas should be
461 studied further to understand the sink-source patterns more accurately in the future.

462 Our uncertainty estimation suggests that CO₂ flux predictions should be interpreted carefully in areas that
463 lack sampling locations or have large variability in fluxes that cannot be captured by the predictor variables.

464 Such areas are particularly concentrated in European Russia, eastern Canada, and the Canadian Arctic
465 Archipelago. As the accuracy of the prediction can usually be improved with increases in the quantity and
466 quality of data, new measurements in these regions would likely improve the accuracy of high-latitude CO₂
467 flux models.

468 4.2 Key sources of uncertainty in our modeling approach

469 No single best model could be identified across the five modeling methods. However, the three machine
470 learning methods outperformed the two regression models, particularly for NEE, as demonstrated by the
471 improved model performance, lower uncertainty and the lack of unrealistically high or low flux values in
472 predictions. The better performance of the machine learning methods was likely related to their flexibility
473 and capability to find complex structures in the flux data (Elith et al., 2008). Our results demonstrate that
474 several machine learning methods should be tested to produce the most accurate high-latitude flux
475 predictions and that ensemble methods provide robust predictions (Araújo and New, 2007). Our results
476 also indicate that an ensemble prediction based on machine learning methods alone would likely lead to
477 higher model accuracy and transferability (see also Tramontana et al., 2016).

478 Our models performed well when predicting to the same data that the models were trained with, but the
479 models had challenges when predicting to new data. The predictive performance of our ensemble
480 predictions was comparable to (annual GPP and ER) or less than (growing season GPP, ER, NEE, and annual
481 NEE) that of in other global and regional upscaling studies (Ichii et al., 2017; Natali et al., 2019; Peltola et
482 al., 2019; Tramontana et al., 2016; Ueyama, Ichii, et al., 2013). However, comparisons of cross-validation
483 results are hampered by different cross-validation techniques used in studies, with some of the studies
484 including observations from the same site both in the model training and validation data, therefore
485 providing overly optimistic accuracy estimates based on non-independent data. Moreover, these other
486 studies primarily focused on a smaller area and/or shorter time period (with the exception of Tramontana
487 et al., 2016), and used a different set of predictors, further complicating this comparison. In these other
488 studies, the correlation (r) between observed and predicted fluxes (derived with cross validation),
489 measured mostly throughout the year as daily-to-monthly fluxes, was roughly 0.65–0.7 for NEE and 0.7–0.8
490 for GPP and ER. There are several reasons for why some of our models performed more poorly than these
491 previous studies, which we explain below.

492 The lower quantity of measurements and weaker comparability of fluxes derived with EC and chamber
493 techniques and with variable measurement lengths might explain the lower predictive performance in our
494 study compared to the other upscaling studies. As we used aggregated fluxes over the growing season and
495 annual time scales, the sample size in our models was smaller than in other efforts which all used daily or
496 monthly fluxes (a few hundred observations versus thousands of observations). A larger sample size usually
497 increases the predictive performance of the models, particularly when these measurements cover variable

498 environmental conditions that can be captured by the predictors. For example, FLUXCOM models (Jung et
499 al., 2017, 2020; Tramontana et al., 2016) might have had a higher predictive performance than our models
500 because they use a global FLUXNET database (Pastorello et al., 2020), which covers broad environmental
501 gradients. However, FLUXNET data originates mostly from lower latitudes (e.g., only five sites from the
502 Arctic and 34 from the boreal out of 224 global sites in total used in Tramontana et al., 2016). This could
503 explain the larger net sink strength in FLUXCOM compared to our predictions. The higher predictive
504 performance of FLUXCOM compared to our prediction might also be explained by the fact that FLUXNET is
505 based on a single flux measurement technique (EC) with standardized filtering, gap-filling, and partitioning
506 procedures. Although the inclusion of chambers in this study was crucial for adequate environmental
507 coverage, using both chamber and EC measurements, and different partitioning methods for EC, increased
508 the number of different flux measurement techniques and study designs, and may have made the
509 comparison of fluxes across sites more uncertain (Fox et al., 2008; Tramontana et al., 2016). Further, the
510 lower predictive performance of growing season models compared to annual models was potentially
511 related to the variable growing season measurement periods used across the studies. We accepted this
512 variability because our goal was to use as many published fluxes as possible to improve the geographical
513 and environmental coverage of sites.

514 The accuracy of our ensemble predictions varied depending on the flux, with the predictive performance
515 being lowest for NEE models ($r=0.21-0.27$). The predictive performance of our GPP and ER models was
516 much higher ($r=0.53-0.73$) and is comparable to past efforts (Ichii et al., 2017; Natali et al., 2019;
517 Tramontana et al., 2016; Ueyama, Ichii, et al., 2013) because these fluxes better represent the biophysical
518 processes describing carbon uptake and loss. GPP and ER also already included some information about
519 variables that we used as predictors in our statistical models, which may introduce some circularity and
520 artificially inflate the model performance. Our NEE models over- and underestimated low and high (i.e.,
521 large negative and positive) values, respectively, by approximately $100-200 \text{ g C m}^{-2} \text{ yr}^{-1}$, which has also
522 been demonstrated with NEE and other fluxes in previous upscaling studies (Ichii et al., 2017; Tramontana
523 et al., 2016; Warner et al., 2019). These extreme values were often from disturbed sites experiencing for
524 example, permafrost thaw or extreme forest management practices, or an observation that was notably
525 different from the site mean. Based on the cross validation results of the individually-modeled annual NEE,
526 a substantial fraction (54 %) of annual source observations were predicted to be sinks (similar to the
527 pattern observed in Ichii et al., (2017) Fig. 3b), but some sink observations (24 %) were also predicted as
528 sources. We also discovered that the observed average annual NEE was often larger (more positive) than
529 the individually-predicted average NEE, which was either a result of the model not being able to predict
530 sources accurately, or of the distribution of flux sites being biased towards environments with larger CO_2
531 source observations than the entire region on average (see the large number of sites with source

532 observations originating primarily only from Alaska in Fig. 1). These results demonstrate that the predictors
533 included in our analyses did not fully represent the spatial gradients and dynamic temporal variability in
534 environmental conditions that influence carbon cycle processes, and particularly the high and low NEE
535 conditions. Further research should explore improvements offered by other current and potential future
536 predictors related to the disturbance and permafrost conditions, snow cover duration and snow depth, soil
537 moisture and nutrient availability, and phenology, root properties, and microbial communities (Illeris et al.,
538 2003; Järveoja et al., 2018; Nobrega and Grogan, 2007).

539 Even though the geographical and environmental coverage of the flux sites was improved in our study
540 compared to previous efforts, our models included only ca. 10 sites from heavily disturbed conditions (see
541 Section 2.1.1). Consequently, our sites did not cover the full range of disturbance and post-disturbance
542 conditions and the associated impacts on CO₂ fluxes. For example, rapidly thawing permafrost and burned
543 landscapes remained largely under-sampled across Siberia. These disturbances have a substantial impact
544 on carbon cycling in high-latitude ecosystems (Abbott et al., 2016; Walker et al., 2019), including direct
545 emissions from the disturbance (not estimated with our models) and typically increased net CO₂ emissions
546 for several years to decades after the disturbance (Coursolle et al., 2012; Lund, Raundrup, et al., 2017;
547 Turetsky et al., 2020) which should ideally be captured by our models. The lack of flux data representing
548 disturbed conditions likely leads to underestimations in net ecosystem CO₂ emissions, and is generally
549 thought as one of the key limitations in statistical upscaling efforts (Jung et al., 2020; Zscheischler et al.,
550 2017).

551 4.3 Terrestrial ecosystem CO₂ budget and its uncertainty

552 Although our models may be biased towards sinks, our results suggested that high-latitude terrestrial
553 ecosystems were on average an annual net CO₂ sink during 1990–2015. The uncertainty of this budget was
554 high, as demonstrated by the low predictive performance of the annual NEE model, and the fact that
555 budgets derived from different predictions (individual NEE predictions and ER-GPP predictions) differed by
556 ca. 500 Tg C yr⁻¹ – the latter most likely being linked to the different numbers of observations and sites
557 available for each flux and model (Fig. 1). Nevertheless, the annual NEE budget was of similar magnitude to
558 the one estimated by CMIP5 models and larger (less negative) than the one estimated by FLUXCOM
559 (Supplementary Table 4). The boreal biome was responsible for most of this sink strength (–406 Tg C yr⁻¹,
560 from –499 to –239 Tg C yr⁻¹; 13.9 x 10⁶ km²), whereas the tundra biome was on average a small sink (–13 Tg
561 C yr⁻¹, from –81 to +62 Tg C yr⁻¹; 6.7 x 10⁶ km²) or a small source (+10 g C m⁻² yr⁻¹), based on our average
562 predictions and observations. This suggests that the tundra biome was on average close to CO₂ neutral,
563 suggesting that the strong CO₂ sink strength, indicated by the large soil organic carbon stocks of this region
564 (Hugelius et al., 2014), might be declining, demonstrating the sensitivity of the tundra carbon cycle to
565 climate change (IPCC, 2019). Our tundra budget is within the range (though on average more positive,

566 indicating stronger source) of the one comprising process and inversion models, and field-based estimates
567 by McGuire et al., (2012) ($-103 \text{ Tg C yr}^{-1}$, from -297 to $+89 \text{ Tg C yr}^{-1}$). However, it differs from the source
568 budget ($+462 \text{ Tg C yr}^{-1}$, from $+94$ to $+840 \text{ Tg C yr}^{-1}$; $10.5 \times 10^6 \text{ km}^2$; wetlands not included) estimated by
569 Belshe et al., (2013). The divergence of average annual NEE across our and Belshe et al. (2013) study is
570 likely explained by our inclusion of fluxes from wetlands, which were on average annual net ecosystem CO_2
571 sinks (Table 1). The discrepancy between our and the McGuire et al., (2012) study can be explained by a 50
572 % increase in new annual tundra source observations in our data set (see e.g., Celis et al., 2017; Euskirchen
573 et al., 2014), which were not included in the McGuire et al. (2012) analysis. Further, there are some
574 differences in the study domain boundaries (e.g., Belshe et al., 2013 included alpine tundra across the
575 globe to their aerial estimate of $10.5 \times 10^6 \text{ km}^2$) which might explain some of the discrepancies between
576 these studies, although the general patterns of these boundaries were rather similar (see e.g. Fig 1. in
577 McGuire et al., 2012 vs. our tundra domain in Fig. 1).

578 Our findings suggest that both the boreal and tundra biomes were strong CO_2 sinks during the growing
579 season. Our growing season CO_2 budgets estimated for the same seasons as in previous studies (see Section
580 2.2.1), derived both by predicting NEE as well as subtracting GPP from ER suggest that the growing season
581 net uptake is stronger than or similar to the estimates in Belshe et al., (2013) and Natali et al., (2019). The
582 growing season NEE budget calculated for 100 days in the tundra was $-296 \text{ Tg C yr}^{-1}$ in this study,
583 compared to $-137 \pm 80 \text{ Tg C yr}^{-1}$ in Belshe et al., (2013). The growing season NEE budget estimated for 153
584 days in the northern permafrost region in this study was $-1,122 \text{ Tg C yr}^{-1}$, whereas the process model
585 estimates varied between -687 and $-1,647 \text{ Tg C yr}^{-1}$ in Natali et al., (2019). Further, the observed daily
586 average growing season NEE in tundra demonstrated a stronger sink strength than the average growing
587 season NEE reported in McGuire et al., (2012) and Belshe et al., (2013) (-0.6 , -0.2 , and -0.3 g C m^{-2} ,
588 respectively). Even though we acknowledge that some plant uptake and CO_2 emissions occur outside of our
589 defined growing season (i.e., our growing season estimates did not capture the spring and autumn
590 seasons), our results demonstrate that growing season CO_2 uptake might be larger than previously thought.

591 4.4. Summary and next steps in high-latitude CO_2 flux upscaling

592 Overall, our findings suggest that statistical predictions aimed at describing high-latitude CO_2 flux patterns
593 provide new insights into the understanding of broad GPP and ER patterns but require caution when
594 attempting to directly estimate NEE. Furthermore, this study demonstrates that machine learning models
595 are a robust and accurate empirical approach to predicting high-latitude terrestrial CO_2 fluxes, and that, at
596 least in our case, no individual machine learning model definitively outperformed the others. This therefore
597 supports the use of ensemble predictions to reduce uncertainties associated with a single method and to
598 produce more robust predictions. Nevertheless, the building of better models with improved data remains
599 the highest research priority. Our results suggest that the next steps for future high-latitude upscaling

600 efforts are to 1) measure fluxes over the entire year in as many sites as possible, 2) establish new sites in
601 data-poor regions and regions where CO₂ predictions were most uncertain, such as in European Russia,
602 Siberia, eastern Canada, and Canadian Arctic Archipelago, and specifically in disturbed and high-Arctic
603 conditions, 3) develop better geospatial predictors (e.g., describing soil moisture and nutrients or
604 permafrost thaw) to explain fluxes, 4) conduct detailed sensitivity tests of the importance of the flux
605 measurement method, data distribution, and different predictor data sets influencing the budgets, and 5)
606 build models at a finer temporal resolution than annual and growing season, to capture rapidly changing
607 transition periods and bypass issues associated with temporal aggregation and varying definitions of
608 seasons. High-latitude specific models are needed to more accurately monitor current emissions and
609 improve understanding of the role of high-latitude regions in the global carbon cycle, as large changes in
610 carbon cycling are likely in the near future.

611

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640

641 Author contributions

642 AMV and ML designed the study. AMV extracted the flux data from the literature and the data from the
643 community call was designed and gathered by MM, TS et al. AMV, JA, and SP prepared the gridded data
644 sets. ML, JA, and AMV developed the modeling framework. TT, CT, BR, JDW, and SMN commented on the
645 analysis and AMV, with the help of JA and ML, conducted the analysis. Other authors contributed data and
646 all authors were involved in the writing.

647

648 Data availability

649 Data are archived and freely available at Zenodo. The synthesis dataset is available at [link added after next
650 week]. Averaged flux predictions and their uncertainties are available at [link added after next week]. The
651 codes to run the statistical models and predictions together with the uncertainty estimation can be found
652 in an R Markdown file as a supplement (Virkkalaetal_CO2flux_upscaling.pdf) [final edits to the document
653 after next week].

654

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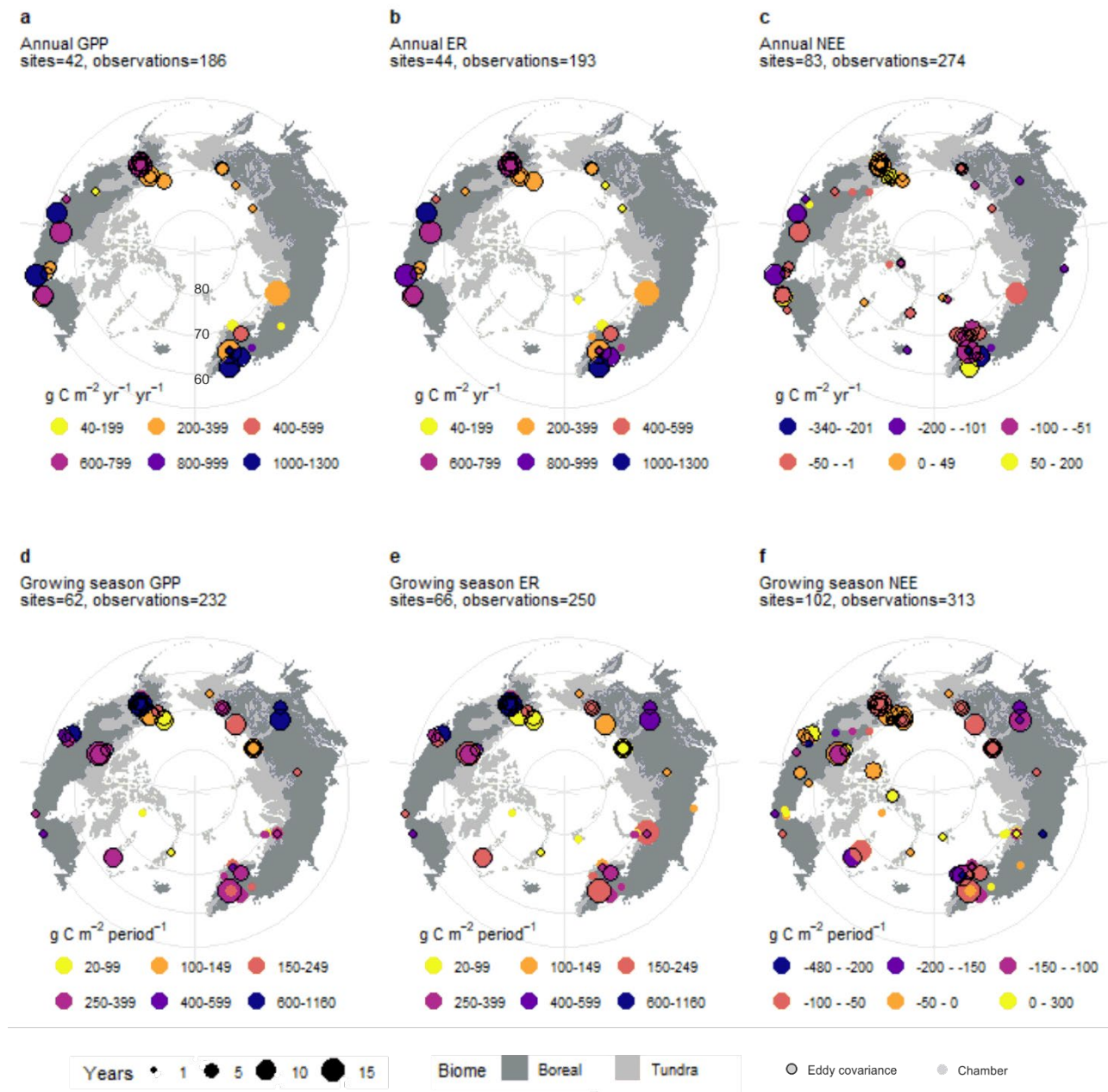


Figure 1. Measured median annual (**a-c**) and growing season (**d-f**) fluxes of GPP (gross primary production), ER (ecosystem respiration), and NEE (net ecosystem exchange) in the study domain (>45°N). The color of the point defines the median flux of the site (i.e., a sampling location), and the size of the point the number of observations that was measured (i.e., number of years). The background map represents the high-latitude region (dark gray = boreal biome, light gray = tundra biome). In all panels, sites that had only eddy covariance measurements are shown with black outline color around the point, and chamber measurements are without outline. One site had both eddy covariance and chamber measurements, but this is shown with black outline color. Positive numbers for NEE indicate net ecosystem CO₂ loss to the atmosphere (i.e. CO₂ source) and negative numbers indicate net ecosystem CO₂ gain (i.e. CO₂ sink).

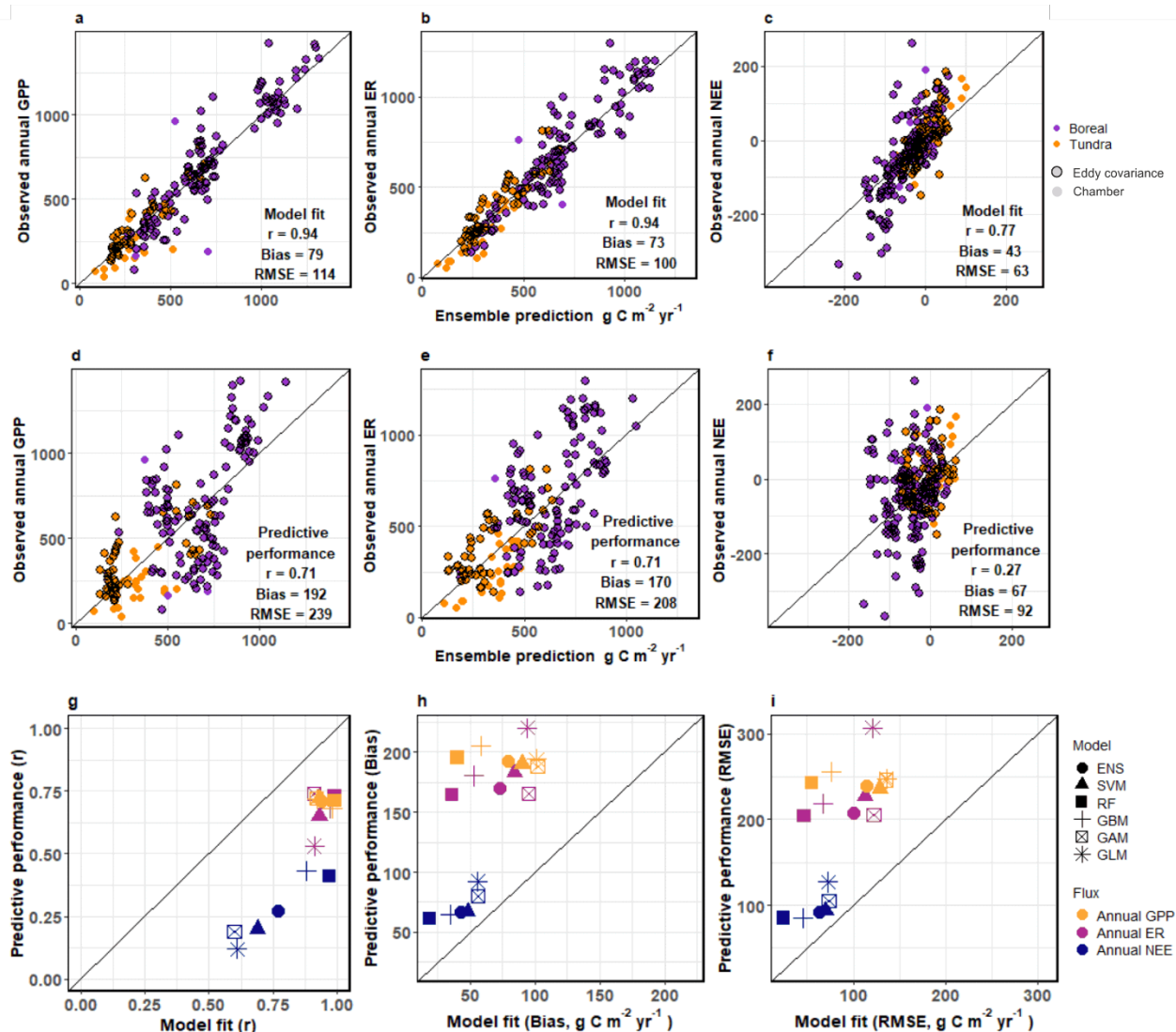


Figure 2. Observed and predicted annual fluxes of GPP (gross primary production; **a & d**), ER (ecosystem respiration; **b & e**), and NEE (net ecosystem exchange; **c & f**) based on model fit (**a-c**) and predictive performance (**d-e**). Model fit was evaluated by predicting fluxes over the entire model training data, while predictive performance was assessed using a leave-one-site-out cross validation scheme in which each site was iteratively left out from the data set, and the remaining data were used to predict fluxes for the excluded site. Model fit and predictive performance statistics (r = Pearson's correlation between observed and predicted fluxes, **g**; Bias = mean absolute bias, **h**; RMSE = root mean square error, **i**) across annual fluxes and five modeling methods (GLM = generalized linear model, GAM = generalized additive model, GBM = generalized boosted regression tree, RF = random forest, SVM = support vector machine) and their median ensemble (ENS) are shown in subfigures g-i. The black line indicates a 1:1 relationship.

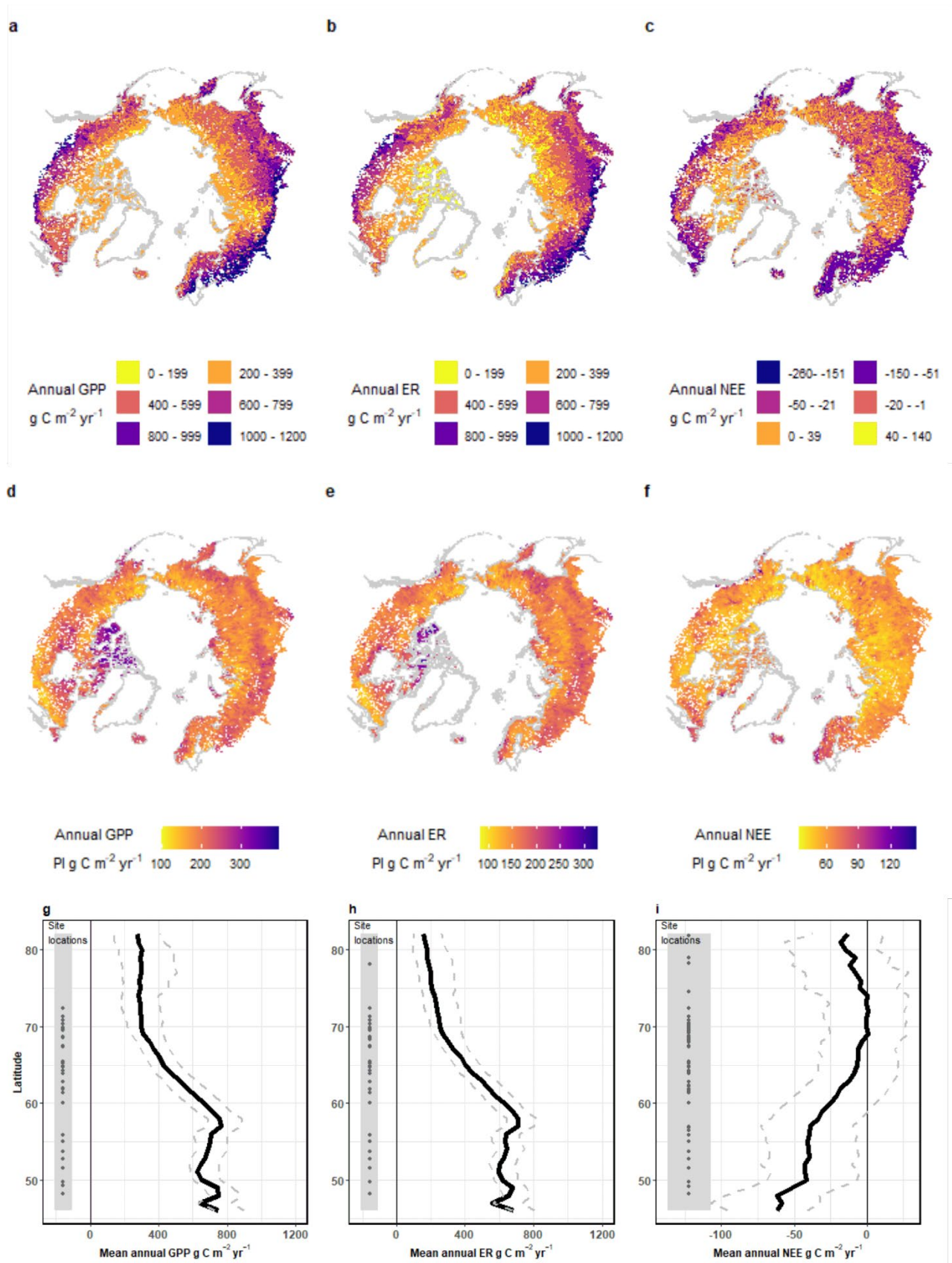


Figure 3. Average predictions of annual CO₂ fluxes at 1 km² resolution over 1990–2015. Annual predictions (a-c), associated uncertainties (d-f) and mean fluxes and uncertainties along latitudes (g-i) of GPP (gross primary production), ER (ecosystem respiration), and NEE (net ecosystem exchange) of the statistical model

ensembles over 1990–2015. The uncertainty (prediction interval, PI; 90 % uncertainty range) is quantified as the variability of predictions over a random subset of pixels ($n = 10\,000$) interpolated across the study domain based on a repeated ($n = 200$) bootstrap sampling procedure. It demonstrates how robust the relationships in the models are and how differences in model training data influence the predictions. The gray lines in **a-f** represent the borders of northern countries and points in **g-i** site locations.

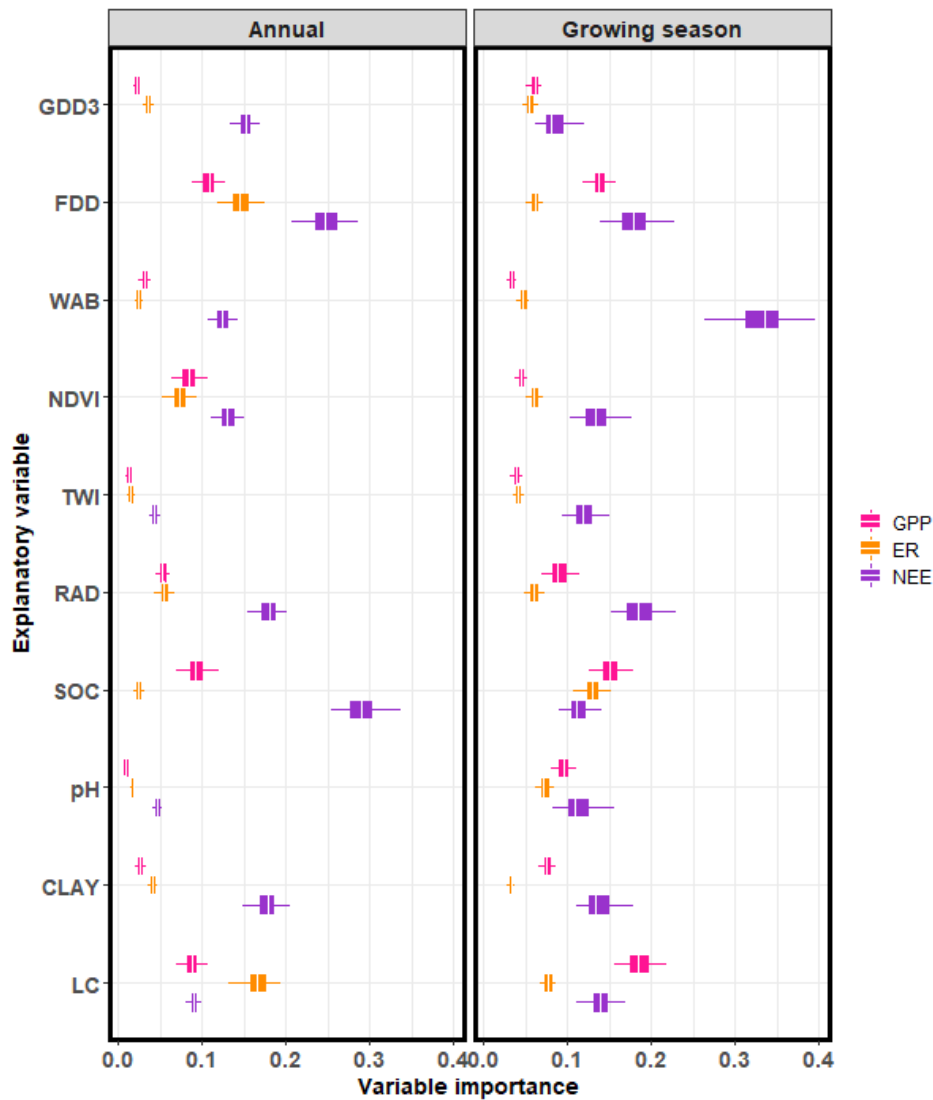


Figure 4. Variable importance for annual and growing season fluxes of GPP (gross primary production), ER (ecosystem respiration), and NEE (net ecosystem exchange). Explanatory variables are GDD3 (growing degree days), FDD (freezing degree days), WAB (water balance), NDVI (normalized difference vegetation index), TWI (topographic wetness index), RAD (potential incoming direct annual radiation), SOC (soil organic carbon stocks up to 2 m), pH (topsoil pH), CLAY (topsoil clay content), and LC (land cover). Variable importance was calculated by assessing how a randomly permuted predictor influences the predictions across all five statistical models. Values close to 0 and 1 indicate low and high importance of the predictor variable, respectively. The box corresponds to the 25th and 75th percentiles. The lines denote the 1.5 IQR of the lower and higher quartile, where IQR is the inter-quartile range, or distance between the first and third quartiles.

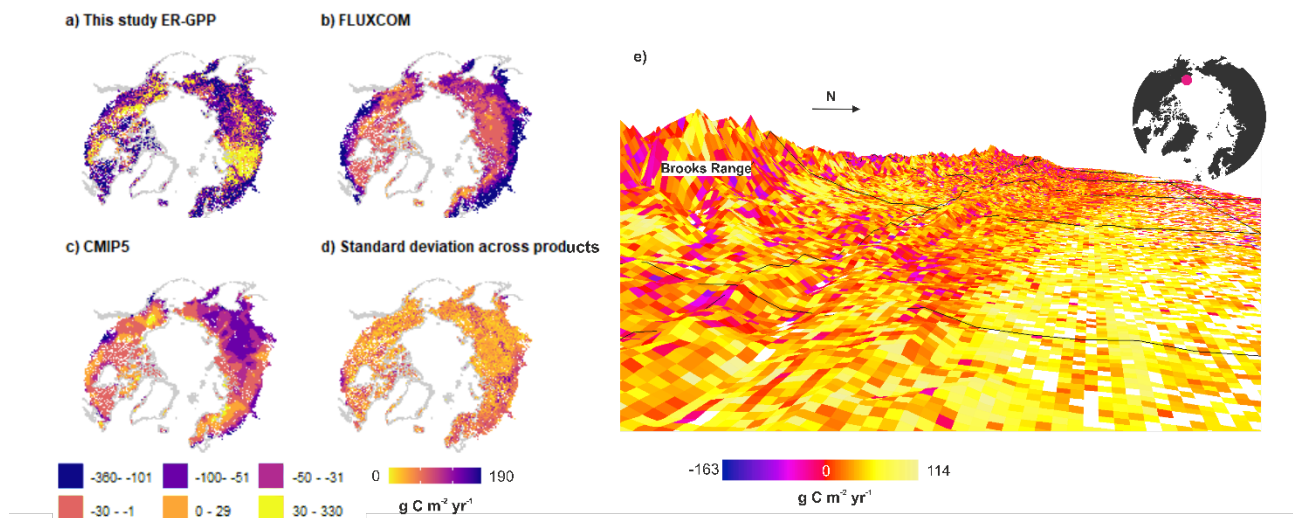


Figure 5. Complementing annual NEE predictions averaged over 1990–2015. Mean annual NEE derived by subtracting annual ER (ecosystem respiration) from GPP (gross primary production) in this study (a), from a global upscaling product FLUXCOM (b), and from a process model ensemble CMIP5 (Coupled Model Intercomparison Project Phase 5; c), and the standard deviation of these and the annual NEE developed in in this study (visualized in Fig. 3c) (d). A regional-scale example of the spatial variation of annual NEE in our prediction in northern Alaska, with black outlines depicting the size of the pixel in one of the highest resolution (smallest pixel size) models in the CMIP5 ensemble ($1.92 \times 1.5^\circ$; e).

Table 1. Summary statistics of observed and predicted (using the average ensemble prediction) annual and growing season GPP (gross primary productivity), ER (ecosystem respiration), and NEE (net ecosystem exchange) fluxes ($\text{g C m}^{-2} \text{yr}^{-1}$ for annual and $\text{g C m}^{-2} \text{period}^{-1}$ for growing season fluxes) in different environments across the high-latitude region over 1990–2015. Positive numbers for NEE indicate net CO_2 loss to the atmosphere (i.e., CO_2 source) and negative numbers indicate net CO_2 gain (i.e., CO_2 sink). The time-series of the sites were averaged prior calculating the observed mean flux (i.e., one flux value from one site was used when the regional averages were calculated). Note that ER and GPP do not sum up to NEE as different numbers of observations and sites were available for each flux and model. Moreover, some plant uptake occurs outside of our defined growing season, and consequently growing season GPP and annual GPP do not equal to each other. The average fluxes were calculated based on the extent of the high-latitude tundra and boreal biomes (Dinerstein et al., 2017), permafrost zones (Brown et al., 2002), and land cover (i.e. wetlands, and everything else is upland; ESA, 2017). The confidence intervals for the observed fluxes and the uncertainty ranges for the predicted fluxes can be found in the Supplementary Table 5.

Category	Annual GPP	Annual ER	Annual NEE	Growing season GPP	Growing season ER	Growing season NEE
Observed mean flux						
High-latitude	482	456	-17	317	262	-63
Boreal	624	605	-46	420	347	-87
Tundra	250	259	10	232	192	-44
Boreal upland	676	647	-47	432	350	-84
Boreal wetland	406	381	-38	347	330	-102
Tundra upland	250	259	16	232	192	-37
Tundra wetland			-24			-115
No permafrost	831	773	-90	405	370	-37
Permafrost	342	350	6	302	241	-67
Predicted mean flux						
High-latitude	554	508	-20	343	283	-50
Boreal	638	594	-29	396	327	-52
Tundra	378	326	-2	230	192	-46
Boreal upland	653	604	-30	399	328	-51
Boreal wetland	437	458	-18	358	303	-64
Tundra upland	378	326	-1	229	191	-45
Tundra wetland	367	347	-29	281	242	-71
No permafrost	805	736	-56	447	375	-53
Permafrost	489	448	-11	315	259	-49

Table 2. Annual and growing season average GPP, ER, and NEE budgets (Tg C yr^{-1}) over 1990–2015 across the environments and the spatial extent of each environmental category when permanent water bodies, urban areas, and croplands were masked away. The NEE budgets are based on upscaled NEE data and include an uncertainty range derived by bootstrapping. The budgets were calculated based on the extent of the high-latitude tundra and boreal biomes (Dinerstein et al., 2017), permafrost zones (Brown et al., 2002), and land cover (i.e. wetlands, and everything non-wetland is upland; ESA, 2017). Our area estimate of the permafrost region lacks a small permafrost region in the southeastern Asia, which did not belong to the tundra and boreal biomes. For the non-growing season CO_2 budgets estimated based on annual and growing season budgets, see Supplementary Table 4.

Category	Annual GPP	Annual ER	Annual NEE	Growing season GPP	Growing season ER	Growing season NEE	Area $\times 10^6 \text{ km}^2$
High-latitude	11,344	10,397	-419 (-559 - -189)	7,016	5,800	-1,018 (-1,332 - -455)	20.6
Boreal	8,850	8,241	-406 (-499 - -239)	5,496	4,531	-715 (-1,037 - -224)	13.9
Tundra	2,495	2,156	-13 (-81 - 62)	1,520	1,269	-303 (-338 - -224)	6.7
Boreal upland	8,437	7,808	-389 (-475 - -226)	5,158	4,245	-655 (-973 - -196)	12.9
Boreal wetland	412	433	-17 (-28 - -10)	338	287	-60 (-70 - -29)	0.9
Tundra upland	2,451	2,115	-9 (-78 - 66)	1,486	1,240	-294 (-330 - -218)	6.6
Tundra wetland	44	41	-4 (-3 - -1)	34	29	-8 (-9 - -6)	0.1
No permafrost	3,407	3,116	-238 (-288 - -185)	1,895	1,587	-223 (-353 - -45)	4.2
Permafrost	7,924	7,269	-181 (-305 - 32)	5,114	4,207	-793 (-1000 - -414)	16.3